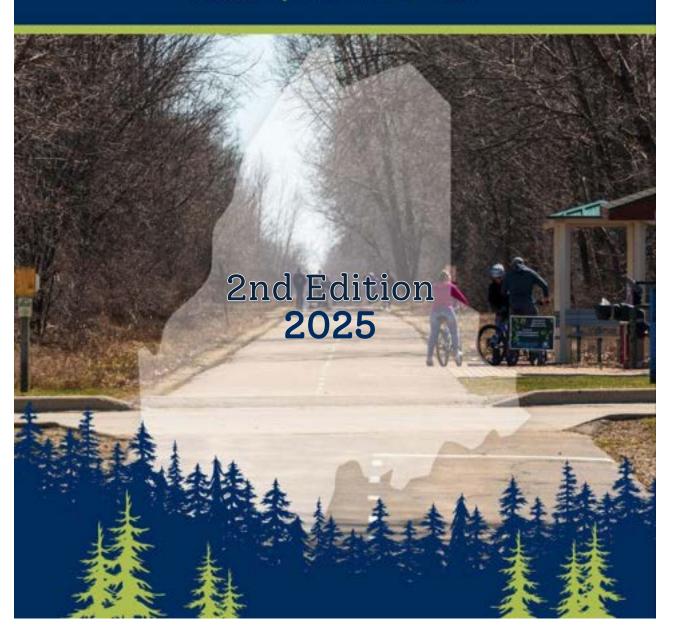
# Maine Community Resilience Workbook

A framework and how to guide for climate change assessment, collective climate action, and achieving community resilience outcomes



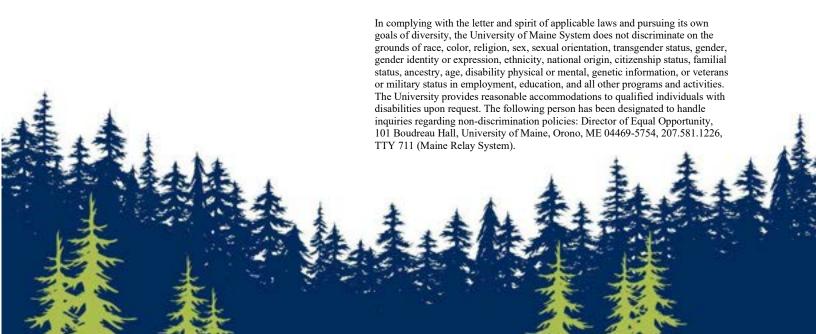
## **Foreword**

Communities across Maine are adapting to climate change. Collectively we are learning how to respond to issues including intensifying storm patterns, damaging winds and ice, warmer weather and waters, species shifts, ticks, pollen, and summer droughts. Municipalities, Wabanaki Tribal Nations, businesses, and homeowners are planning, investing in infrastructure, and making operational changes to keep people safe, keep communities vibrant, and build resilience to multiple challenges of climate change.

This workbook is intended for municipalities and service providers working with communities for climate change and climate change-related projects. It is also useful for community leaders and businesses.

We can expedite local climate solutions by communicating what is already working in communities across Maine and by learning together. This workbook enhances the effectiveness of people, communities, and networks in Maine that are taking climate actions. It also supports timely information sharing among these actors.

The Community Resilience Workbook was compiled during a transformative time for climate action in Maine. Best practices for climate resilience and Maine's specific landscape of climate preparedness activities are constantly evolving. Future versions of this resource will expand and refine guidance for climate action. Ongoing commitment and innovation are needed to ensure that this resource continues to foster meaningful outcomes for communities across Maine. We thank, in advance, the future contributors and participants who will guide this journey.



## **Authorship**

The Maine Community Resilience Workbook, 1<sup>st</sup> and 2<sup>nd</sup> Editions, would not be possible without many individuals who generously provided input as reviewers, authors, or advisory contributors to outline development, specific content revisions, outreach and testing, and who galvanized the energy for this publication, some of whom are recognized below:

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## **Table of Contents**

F	orewo	rd	1
Α	uthorsh	ıip	2
1	Hov	v to use the Community Resilience Workbook	6
2	Sta	rting a New Municipal or Regional Climate Adaptation Initiative	8
	2.1	Creating a Team	8
	2.2	Celebrate Your Current Successes	9
	2.3	Defining Resilience	10
	2.4	Generating Resilience Goals	11
	2.5	Principles of Resilience for Community Leaders	12
	2.6	Setting Up for Success Using a Resilience Building Framework	14
3	Dat	a, Resources, and Decision Support Tools	18
4	Ass	essment, Planning, Implementation, and Evaluation	22
	4.1	Introduction to Vulnerability Assessment and Adaptation Planning	22
	4.2	Natural Hazards in Maine	23
	4.3	Hazard Mitigation	26
	4.4	Community Engagement, Outreach, and Adaptive Capacity	27
	4.5	Whole Community Resilience	28
	4.6	Socioeconomic and Cultural Consideration	30
	4.7	Implementing a Plan, Continuous Assessment, and Budgets	30
	4.8	Resilience Assessment Criteria	31
5	The	Importance of Collaboration and Partnerships	34
	5.1	Outreach	35
	5.2	Community Workshops	37
	5.3	Case Studies of Maine Community Collaborations	37
	5.4	Statewide Collaborations and Efforts	45
6	Find	ding Technical Assistance	49
	6.1	Regional Planning and Economic Development Organizations	50
	6.2	Maine County Commissioners and County-based Organizations	53
	6.3	Volunteer or Fellowship Programs	56
	6.4	Nonprofits and Academia	59
	6.5	Maine-based Consultants	62

	6.6	State, Tribal, and Federal Partners	64
	8.7	Federal Technical Assistance Opportunities	65
7	Prof	essional Development Opportunities	67
	7.1	Ongoing Training	67
	7.2	Conferences and Symposia	69
	7.3	Professional Associations and Networks	72
8	Fund	ling and Financing Opportunities	75
	8.1	Grant and Loan Funds	76
	8.2	Finance Options	80
9	Inte	gration with Existing Community Activities	82
	9.1	Community Planning and Economic Development	83
	9.2	Materials and Food Waste Reduction and Management	90
	9.3	Ecosystems, Habitat, and Wildlife	93
	9.4	Energy Sources, Transmission, Distribution, and Communication	96
	9.5	Transportation	107
	9.6	Drinking Water	110
	9.7	Wastewater Utilities	113
	9.8	Stormwater Runoff and Inland and Coastal Erosion	115
	9.9	Buildings	122
	9.10	Public Health and Services	129
	9.11	Urban Forests and Forestry	130
	9.12	Working Waterfronts and Fisheries Sector Resilience	134
	9.13	Agriculture	142
	9.14	Education and Community Science Programs	144
	9.15 G	etting Out of Harm's Way: A Transformative Approach to Increasing Resilience	148
Α	ppendix	A – History of Maine Climate Actions	150
Α	ppendix	B – Example Questions for Community Workshops	155
Α	ppendix	C – Template for a Community Action Plan	157
Α	ppendix	D – Sample Risk Assessment Framework & Guiding Questions	160
Α	ppendix	E -Model Ordinance Language for Coastal Maine Municipalities	164
Α	ppendix	F – Funding Guide Maine Government Sources for Resiliency	176
Α	ppendix	G – Request for Input to Subsequent Editions	193

## 1 How to use the Community Resilience Workbook

Planning for climate change can be a daunting task. With many resources at the local, state, and national levels around climate adaptation, including decision support and analysis tools, guidance documents, and map viewers it can be difficult to know which ones are most helpful. The Community Resilience Workbook (CRW) synthesizes available guidance and resources into one document and provides a framework and stepwise process for including climate change in community-wide planning, municipal operations, or in homes and businesses for multiple users.<sup>1</sup>

Workbook content is also intended to guide a sustainable, long-term approach for communities and local governments. The Community Resilience Workbook's guidance draws on years of practice, learning, and success by Maine municipalities and their partners. These efforts have focused on building community resilience to climate change impacts and reducing greenhouse gas emissions. For example, you will find several example pathways to collaborate within your community and with other experts in the region. Both past endeavors and current initiatives continue to shape statewide policies and responses to community resilience needs.<sup>2</sup>

The Community Resilience Workbook aims to:

- Strengthen community resilience processes and outcomes by providing a comprehensive framework for building resilience
- Ensure communities receive appropriate levels of guidance to incorporate climate resilience into day-to-day decision-making, including questions to ask when developing policies, plans, programs, and projects.
- Provide communities a resource that gives initiative to climate action
- Provide clear decision support tools and information
- Ensure resources are allocated equitably and used effectively
- Ensure local efforts are defensible and replicable
- Create a community of practice for transferable lessons
- Offer metrics to evaluate projects that can be consistently applied across the region

<sup>&</sup>lt;sup>1</sup> As part of tailoring this workbook to Maine's unique needs, authors examined and synthesized best available community resilience guidance already in use in Maine as well as existing resources from other states, federal agencies, and climate change adaptation networks.

#### Orientation to Content:

Workbook content is organized into sections with supporting information in the appendices. Each section of the report includes case studies with examples and peer to peer connection opportunities. To simplify the orientation, sections are grouped here into five parts:

#### Part I: Organization (Sections 1 & 2)

- How to use the Community Resilience Workbook
- Starting a New Municipal or Regional Climate Adaptation Initiative

#### Part II: Assessment & Implementation (Sections 3, 4, & 5)

- Data, Resources, and Decision Support Tools
- Assessment, Planning, Implementation, and Evaluation
- The Importance of Collaboration and Partnerships

#### Part III: Building Capacity (Sections 6, 7, & 8)

- Finding Technical Assistance
- Professional Development Opportunities
- Funding and Financing Opportunities

#### Part IV: Integration with Existing Community Actions (Section 9)

#### Part V: Appendices

- History of Maine Climate Actions
- Example Questions for Community Workshops
- Template for a Community Action Plan
- Sample Risk Assessment Framework & Guiding Questions
- Model Ordinance Language for Resilience
- Funding Guide Maine Government Sources for Resiliency
- Request for Input to Subsequent Editions

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## Alignment with State and Maine Climate Council Strategies

- Strategy F: Build Healthy and Resilient Communities
- Strategy G: Engage with Maine People on Climate Action

Why adapt to a changing climate in this region?

- Protect the people, places, and environments that we care for
- Save money for communities and individuals by avoiding chronic repairs and damage
- Preserve each community's ways of life

To establish municipal climate initiatives, Maine's State Climate Plans recommend identifying lead points of contact, and creating underlying, common goals, and 'frameworks' to empower and to ensure communities adopt consistent, effective, and measurable strategies. Decision-making criteria and questions can be tailored for each community's needs, helping them to design adaptation projects and evaluate their effectiveness and broader impacts.

This chapter explores the foundations for building cross-group teamwork to incorporate climate change solutions into all projects practically. Sections 2.1 and 2.2 emphasize the importance of acknowledging current successes while setting new goals. Section 2.4 offers useful definitions for terms like resilience, adaptation, adaptive capacity, and mitigation, clarifying their distinctions. It also provides resources on advancing equity. Sections 2.5 and 2.6 present overall guidelines and principles, envisioning effective leadership in climate resilience.

## 2.1 Creating a Team

Successfully implementing adaptation and resilience projects hinges on establishing points of contact within municipal and Tribal government. Progress on climate action is often carried out through the dedicated work of small teams, often with supporting partners. This could include establishing a new committee, tasking an existing committee with new climate change-related initiatives, or designating staff or volunteers to coordinate activities.

Coordination across governments with multiple points of input can align and reinforce priorities for proactive and preventative responses. In the spirit of working together for long-term success, groups can share lessons and identify and agree on solutions. Equity should be a priority, with a commitment to high standards for participation and inclusion from vulnerable groups, and a focus on the unique risks and societal impacts faced by marginalized,

Section 2 - Community Resilience Workbook

underserved, or under-resourced populations.

Working in teams builds a sustainable foundation for continuity of work over time as multiple people participate with different roles and fluctuating levels of engagement. Ensuring good communication between coordinators and partners will increase the likelihood of including climate change solutions across all projects.

#### 2.2 Celebrate Your Current Successes

When climate change service providers begin working with communities, it is not uncommon for municipal officials to articulate that their town has taken few or no steps for climate change preparedness. Quotes from town officials like, "keeping up with day-to-day needs often takes priority [over work on climate change]," illustrate that climate resilience may be viewed as a discreet series of actions rather than an overarching consideration in municipal activities.

However, the same issues which are most important to climate change experts tend to regularly align with routine responsibilities of community government. The priorities of public works departments, the ongoing activities of emergency management agencies, and town planning efforts across municipalities in Maine consistently relate to the environmental and societal challenges which are exacerbated by climate change. Remember to take stock of what has already been done on behalf of community resilience, even when climate change was not central to the initiative. Use that knowledge to inform planning and future projects that articulate climate change risks and celebrate past progress as evidence of climate resilience that can be expanded.

Incorporating best practices for climate change into ongoing municipal activities, even in small ways, can have a significant impact. Maine public officials are increasingly thinking long-term about climate change risks and developing community resilience to hazards. Common concerns include:

- Sea level rise
- Storm surge
- Flooding (coastal and inland)
- Erosion
- Saltwater intrusion
- Wind damage
- Ice
- Drought
- Heat waves

- Wildfire
- Impacts of climate change on fisheries, agriculture, and forestry, and other natural resource industries
- The impact of people moving into and out of communities because of climate

As a result, communities are increasingly interested in incorporating climate change considerations into near-term projects while also taking a broader approach to prioritize climate resilience when assessing risk.

Section 2 - Community Resilience Workbook

## 2.3 Defining Resilience

Resilience refers to the ability of any individual, community, or system to prepare for and respond to social and environmental challenges in ways that allow it to bounce back or bounce forward; the ability to recover and continue to improve in the face of challenges. Using an example of inland flooding for a riverside community, resilience would be demonstrated when the people who live nearby understand their vulnerabilities (i.e., risk or exposure to harm), can organize opportunities for informed deliberation about responding as homeowners and as a community, and can acquire the needed resources to carry out informed decisions. Adaptation is the suite of actual responses to climate change, in this case, what is done by homeowners and the community about the increased risks associated with flooding. Adaptive capacity refers to the social and technical skills and strategies that are collectively available for individuals and communities to build resilience to climate change hazards. Examples include the ways in which people in a community connect with each other, learn, generate ideas, tap into diverse forms of leadership, and transform ideas into community and town government action. Finally, hazard mitigation is any sustained action taken to reduce or eliminate the long-term risk to human life and property from natural hazards.

Returning to the riverside community example, an important aspect of adaptive capacity includes how information about flood risk to riverside infrastructure is communicated and understood by stakeholders and decision-makers, as well as the formal and informal relationships between stakeholders and decision-makers that enable consensus, problemsolving, and adaptive action. The community may decide to relocate roads or infrastructure that is frequently flooded while homeowners may opt to fortify structures to safely handle occasional flooding and begin making plans to move further from harm's way. With better and broader adaptive capacity, specific climate adaptations and outcomes for communities are improved and diversified.

Another concept included throughout the Workbook is **climate mitigation**, actions that reduce the rate of climate change by limiting greenhouse gas emissions in the atmosphere through natural processes, technological advances, or behavior changes. Climate mitigation reduces both the rate and extent of future climate change. Due to the lag time in the climate system, the benefits of current mitigation efforts won't be apparent in the atmosphere for several decades (by mid-21st century). Consequently, climate change impacts projected for the next thirty years are unavoidable. Adaptation and hazard mitigation are strategies to reduce vulnerability to current and future climate change impacts. Resilience can be improved with adaptation and hazard mitigation actions.<sup>3</sup> Nevertheless, every fraction of a degree of warming avoided matters. Taking the right actions now could lead to transformational change essential

<sup>&</sup>lt;sup>3</sup> Definitions pages 109-110 Maine 2020 Climate Change Action Plan Maine Won't Wait

Section 2 - Community Resilience Workbook

for a sustainable, equitable world in the future.<sup>4</sup> Therefore, both climate mitigation and climate adaptation are crucial near-term goals.

## 2.4 Generating Resilience Goals

It is important to generate goals to help guide workflow. The Maine Climate Council made use of four overarching goals to develop the 2024 Maine Climate Action Plan. They are provided here as examples as they encompass a few of the major areas where climate change actions are taken. These may also be helpful as a starting point for municipal discussions that can be used or adapted for a town's use.

- Reduce Maine's Greenhouse Gas Emissions
- Strengthen Resilience to Climate Impacts
- Create Jobs and Economic Prosperity
- Bring Climate Action to All Maine People

When developing climate action goals, it is important to remember that hazard mitigation, climate adaptation actions, and improving our technology and energy systems to reduce air pollution and CO<sub>2</sub> are a part of everyday work and can lay the foundation for healthy communities and a sustainable economy. They are also often interconnected in projects that enhance resilience and reduce emissions. A central example is diversifying electricity generation and distribution—through solar, wind, and other renewable sources that can divide the grid into smaller regions—we reduce emissions while shielding areas from the shocks of regional power outages during storms. With the impacts of current greenhouse gas emissions "baked into" the climate system, investments to reduce emissions now while also adapting to near-term impacts is prudent and practical.

## Alignment with the Maine Climate Action Plan Goal to Advance Equity through Maine's Climate Response

The Maine Climate Council is working to ensure the benefits of climate adaptation projects are shared across communities. This started with a Mitchell Center report on <u>Assessing the Potential Equity Outcomes of Maine's Climate Action Plan: Framework, Analysis and Recommendations</u> which led to the formation of an <u>Equity Subcommittee</u> of the Maine Climate Council and an in-depth process of providing equity-focused recommendations to shape the implementation of Maine's four-year climate action plan, Maine Won't Wait.

This workbook draws from the Mitchell Center's report to define equity in the following way: "Equity takes into account the fact that systems of oppression keep certain people from accessing resources, and an equitable system seeks to provide increased resources to marginalized and disadvantaged communities. The risks and effects of climate change disproportionately fall upon people of color and low-income populations. It is, therefore,

<sup>&</sup>lt;sup>4</sup> Scientific Assessment of Climate Change and Its Effects in Maine (2024). Page 24.

Section 2 - Community Resilience Workbook

absolutely critical that policies intended to mitigate climate change or increase adaptive capacity to its impacts do not exacerbate existing burdens and, wherever possible, increase wellbeing and address the root causes of inequality."<sup>5</sup>

The Mitchell Center report provides an equity framework that can help guide community resilience and climate adaptation planning. This framework highlights the need to pay attention to (1) the **social impacts** of any proposed project and/or climate change impact, including changes in wealth, health, and accessibility; (2) types of **vulnerable populations** and impacts on financial, social/demographic, and geographic vulnerabilities; and (3) **participation and inclusion**, including whose voices are represented and if participation is accessible, adaptable to the needs of different groups, and where participation meaningfully influences a project or plan. The focus on participation and inclusion is also often described as procedural equity.

## 2.5 Principles of Resilience for Community Leaders

As community leaders and public officials establish climate initiatives, create goals, develop plans, and engage in greater depth on topics of resilience, adaptation, and climate/hazard mitigation, it may be helpful to acknowledge that the processes of good governance for climate change mirror many practices of effective community governance already in place at the local level. The examples below are guiding principles and considerations for your community's engagement in climate change resilience and adaptation.

## **Guiding Principles:**

**Provide leadership:** Ensure that the impacts of climate change and extreme weather are considered across decisions in local government and civic life.

**Develop guidance:** Create common objectives, principles, and evaluation criteria for project and program review. Ensure the overarching guidance is integrated into governance, organizational structure, and coordination across partners.

**Reduce vulnerabilities:** A first step toward adapting to future climate change is reducing vulnerability and exposure to present climate variability.

**Center climate co-benefits:** Many mitigation and adaptation approaches to climate change yield other community and environmental benefits. For example, urban shade trees planted to reduce heat impacts also benefit community health and capture carbon, electric school buses reduce air pollution, and coastal roads built to accommodate sea level and intensifying storms benefit coastal habitats and Maine's fisheries. Identifying co-benefits clarifies why efforts are valuable across diverse community interests.

<sup>&</sup>lt;sup>5</sup> Silka, Linda, Sara Kelemen, and David Hart, 2020. <u>Assessing the Potential Equity Outcomes of Maine's Climate Action Plan: Framework Analysis and Recommendations</u>, University of Maine's Senator George J. Mitchell Center for Sustainability Solutions: p.6.

Section 2 - Community Resilience Workbook

Simultaneously, municipal operations or routine maintenance of public infrastructure can often be adapted to further incorporate projected extremes of climate change (e.g., drought and heavy precipitation, summer heat waves, or storm surge on top of sea level rise). Accordingly, considering climate change across municipal activities can yield climate co- benefits and may have only marginal additional upfront costs when compared to non-climate- friendly designs.

**Context-Specific:** Decisions are most effective when they are place- and context- specific. No single approach for reducing risks is appropriate across all settings. Employing a place- and context-specific approach (1) requires that those involved in the planning recognize the diverse perceptions of risk, approaches to decision-making, and compounding influences on adaptation; and (2) underscores the importance of coordination across other adaptation or related hazard mitigation and emergency response plans.<sup>6</sup>

**Be inclusive:** Adaptation planning and implementation at all levels of governance are contingent on societal values, objectives, and perceptions of risk. Recognition of diverse interests, circumstances, social-cultural contexts, and expectations can improve decision-making processes and lead to successful implementation. Processes should fully recognize and respond to local context, the diversity of decision types, processes, and constituencies including the diversity of approaches taken by intersecting organizations, sectors, and communities.

**Maximize resource potential:** Existing and emerging economic instruments can foster adaptation by providing incentives for anticipating and reducing impacts.

**Design for scale and scalability:** Take a landscape-scale approach to decision-making regarding patterns of development, incorporating natural and working lands, past and future uses, natural hazards over time, and environmental, economic, and social impacts.

## Common Challenges:

Even when you've considered each of the principles above, projects and community initiatives can face obstacles. Thinking in advance about appropriate planning and carefully considering constraints and funding can help communities to overcome many of the common challenges associated with building long-term resilience to climate change.

**Forward-thinking plans:** Poor planning could lead to overemphasizing short-term outcomes or failing to anticipate consequences sufficiently which can result in maladaptation. Additionally, emergency management plans or emergency operation plans can be leveraged for cohesion across adaptation and hazard mitigation actions.

**Adequate resources:** Limited financial and human resources, governance coordination, impact uncertainty, differing perceptions of risks, competing values, absence of adaptation advocates

<sup>&</sup>lt;sup>6</sup> U.S. Department of Homeland Security. (2016). Resiliency Assessment, Casco Bay Regional Climate Change, <a href="https://www.cascobayestuary.org/publication/resiliency-assessment-casco-bay-region-climate-change/">https://www.cascobayestuary.org/publication/resiliency-assessment-casco-bay-region-climate-change/</a>

Section 2 - Community Resilience Workbook

and leaders, and limited tools to monitor and measure adaptation effectiveness can interact to

impede adaptation. However, incorporating climate change into the way projects are designed and implemented can best make use of existing funding, which is a fundamental way to close the gap between adaptation needs and funds available for adaptation.

## 2.6 Setting Up for Success Using a Resilience Building Framework

Community adaptation to climate change can be approached at multiple levels and starting points. This Resilience Building Framework provides a framework for taking stock of existing actions and aligning those actions so that a more directed approach to resilience can be charted (Figure 1).<sup>7</sup>

Resilience building is a continual cycle of various steps from **taking stock and visioning** at a high level to **assessing**, **planning**, and **implementing projects** (Table 1). Progress is often non-linear, and work can be concurrently accomplished at points along the cycle. Each proposal, project, or plan is an opportunity to ask how climate change can be integrated so communities build resilience incrementally.

Adaptation plans often call for shared solutions across sectors and community members. The path to resilience involves considering how decisions are made to include climate concerns and who participates in those decisions. Your work might focus on making a specific asset more resilient, updating an ordinance, or engaging in a higher-level planning process to chart a more resilient future overall. Community resilience-building processes offer many opportunities to empower additional groups to align their work with climate change resilience goals. Each project presents an opportunity, and the Resilience Building Framework can help identify essential steps to achieve successful, resilient outcomes.

When adapting systems and infrastructure, it is important to draw from the strengths of your town and the skills of local leaders. You can look to neighboring communities to learn about their climate-resilience initiatives. The process requires learning through your work and from peers to constantly improve. This workbook has compiled decision support tools, case studies of best practices, and training and networking opportunities from Maine that can support your work in each part of the Resilience Building Framework.

<sup>&</sup>lt;sup>7</sup> The Framework was developed by the Maine Climate Change Adaptation Providers Network to aid climate action efforts by providing orientation to a sample process that is informed by best practices identified through a variety of state and regional climate resources. This work continues earlier development within *Climate Adaptation and Resilience Planning for New England Communities: First Steps & Next Steps* (2016) developed by the NEEFC that presents planning tasks, guidelines and tools, and a suggested approach as an extension of what local governments already do. Report available: <a href="https://digitalcommons.usm.maine.edu/climatechange/">https://digitalcommons.usm.maine.edu/climatechange/</a>

<sup>&</sup>lt;sup>8</sup> Sample decision-making criteria are provided in section 4.8 of the workbook

Figure 1 Resilience Building Framework

#### Consider community and landscapes

#### Focus on:

- Characterization, Location, Systems
- Timeframes
- Partnerships and Teams

#### Consider:

- Diverse, Interdisciplinary, Inclusive
- Multi-Sector

#### Evaluate, monitor and adapt

#### Action:

- Resilience Indicators
- Decision-Making Criteria

#### Consider:

Metrics and Evaluation



#### Implement

#### Action:

- Funding and Finance Opportunities
- Projects, Policies, and Procedures

#### Consider:

- Long/Short-Term Actions
- Hazard Mitigation Linked With Adaptation

#### Assess risk

#### Compile and understand:

- Data, Tools, Models, Knowledge
- Exposure and Sensitivity, Adaptive Capacity and Potential Impacts

#### Consider:

- Past, Present, Future, Geographical, Economic, Human/Social, Critical Infrastructure, Built and Natural Environment
- Multi-Hazard

#### Make a plan

#### Action:

- Prioritize Vulnerabilities
- Identify, Evaluate, and Prioritize Strategies

#### Consider:

- Scales/Scalable, Risk-Based Planning, Robust, Redundant, Resourceful, Feasible, Compatible, Cost-Effective, Equitable, Objective
- Chronic / Acute Hazards

Table 1 Points of Entry to the Resilience Building Framework (reference Figure 1)

Resilience Building Framework							
Consider community and landscapes	<ul> <li>Characterization, location, systems</li> <li>Timeframes</li> <li>Partnerships and teams</li> <li>Decision-making criteria (continuous assessment)</li> </ul>	<ul><li>Consider:</li><li>Diverse, interdisciplinary, inclusive</li><li>Multi-sector</li></ul>					
Assess risk	<ul> <li>Compile and understand:</li> <li>Data, tools, models, knowledge</li> <li>Exposure and sensitivity, adaptive capacity and potential impacts</li> </ul>	<ul> <li>Past, present, future, geographic, economic, human and social, critical infrastructure, built and natural environment</li> <li>Multi-hazard</li> </ul>					
Make a plan	<ul> <li>Action:</li> <li>Prioritize vulnerabilities</li> <li>Identify, evaluate, and prioritize strategies</li> </ul>	<ul> <li>Scales and scalable, risk-based planning, robust, redundant, resourceful, feasible, compatible, cost-effective, equitable, objective</li> <li>Chronic and acute hazards</li> </ul>					
Implement	<ul> <li>Action:</li> <li>Funding and finance opportunities</li> <li>Projects, policies, and procedures</li> </ul>	Consider:  Long and short-term actions Hazard mitigation linked with adaptation					
Evaluate, monitor, and adapt	Action:  Resilience indicators  Decision-making criteria (continuous assessment)  Create new or adapting existing ways to track progress, evaluate process, and measure success using resilience outcome metrics	Consider:  • Metrics and evaluation					
	ing is a continual process. Progress is ofte	n non-linear with work being					
accomplished at different points in the process.							

Section 2 - Community Resilience Workbook



This figure provides an example of how a project can fit within the Resilience Building Framework. Working on projects that are specific to a place, such as improving a road or building, may inspire interest in building structures that are resilient to flood risk projections (Figure 2, Inner Circle). A community's broader vision for well-being (Outer Circle), such as having thriving working waterfronts or available emergency services during storms, can lead to assessments and implementation of climate-ready infrastructure and operations.

Specific funding opportunities and/or collaborations with climate adaptation specialists (Middle Circle) may initiate climate resilience efforts, leading to both specific projects and broader work for consensus and community goals.

As communities work on climate resilience, the impacts and processes resulting from their efforts will overlap. Communities may initiate a climate adaptation process based on unique circumstances, specific projects, outcomes, and/or priorities.

#### Section 3 - Community Resilience Workbook

## 3 Data, Resources, and Decision Support Tools

There are many resources available to support climate change adaptation and resilience. Table 2 compiles some of the most relevant resources and decision support tools developed by the State of Maine, Maine-based non-profit organizations, regional organizations, as well as several selected federal and private sector resources. Links will direct you to those resources. Checkboxes indicate the usefulness of each tool in the five-stage Resilience Building Framework: consider community and landscapes; assess risk; make a plan; implement; and monitor, evaluate, and adapt. 99 This is not an exhaustive list of the available resources and new tools continue to emerge in Maine. Identifying which tools best fit your project may be best answered through experts that are focused on your topic of interest.

Additional decision-support information, not found in Table 2, that can better suit your needs, may be available in the related section of your topic of interest within this workbook.

Table 2. Decision support tools and resources and possible applications in the Resilience Building Framework

Tools and Guidance for Climate Change Preparedness in Maine	Community & Landscapes	Assess Risk	Make a Plan	Implement	Monitor, Evaluate & Adapt
Preparing Coastal Communities for Sea Level Rise (C-RISE)	✓				
Climate Change Observatory Network long-term climate change photo monitoring program	<b>✓</b>				
Flood Insurance Maps for Maine	<b>√</b>	✓			
Coastal Hazards Map Viewers for Erosion, Tides, Storms, and Sea Level Rise	<b>✓</b>	<b>✓</b>			
Community Intertidal Data Portal Casco Bay nearshore environment	✓	✓			

<sup>&</sup>lt;sup>9</sup> Maine Climate Change Adaptation Providers Network, Resource, <a href="https://extension.umaine.edu/climatesolutions/adaptation-resources/">https://extension.umaine.edu/climatesolutions/adaptation-resources/</a>

Tools and Guidance for Climate Change Preparedness in Maine	Community & Landscapes	Assess Risk	Make a Plan	Implement	Monitor, Evaluate & Adapt
Coastal Resiliency Maps for Wetlands and Open Spaces	<b>✓</b>	<b>✓</b>			
Beginning with Habitat Maps for Conservation of Wildlife, Natural Communities, and Rare Plants	<b>✓</b>	<b>√</b>			
Conservation Lands in Maine	✓	✓			
Ecological Connectivity in Region	✓	✓			
Road and Culvert Map for State- Owned Infrastructure	<b>✓</b>	✓			
The Nature Conservancy Coastal Resilience Tool	<b>✓</b>	<b>✓</b>			
Maine Coastal Risk Explorer for Habitat, Aquatic Connectivity, and Social Vulnerability	<b>✓</b>	<b>~</b>			
Weathering Maine Flood Risk Map of Historic and Cultural Resources	<b>✓</b>	<b>✓</b>			
Environmental Health Impacts in Maine	<b>✓</b>	<b>✓</b>			
Climate Reanalyzer which evaluates past and future seasonal climate patterns	<b>✓</b>	<b>✓</b>			
Climate Change Trends and Data clearinghouse of risk and vulnerability information for Maine	<b>✓</b>	<b>✓</b>			
Adaptation Toolkit: Sea Level Rise and Coastal Land Use	✓	✓			
Northeast-Midwest Wildfire Risk Assessment Portal	✓	<b>✓</b>			

Tools and Guidance for Climate Change Preparedness in Maine	Community & Landscapes	Assess Risk	Make a Plan	Implement	Monitor, Evaluate & Adapt
Maine Flood Resilience Checklist (PDF)	✓	✓	✓		
Risk-based Adaptation Planning (EPA)	<b>✓</b>	<b>✓</b>	✓		
Living Shoreline Site Suitability		✓			
Climate Adaptation and Resiliency Planning for New England Communities First Steps and Next Steps		<b>✓</b>	✓		
Adapting Stormwater Management for Coastal Floods (NOAA Guide)		✓	✓		
Maine Municipal Climate Adaptation Guidance Series:  Transportation (PDF)  Stream Smart Crossings (PDF)  Wastewater Infrastructure (PDF)  Drinking Water (PDF)  Storm Water (PDF)  Comprehensive Planning (PDF)  Shoreland Zoning Ordinance (PDF)  Site Plan Review Ordinance (PDF)  Subdivision Ordinance (PDF)		<b>✓</b>	✓	<b>✓</b>	<b>\</b>
Maine Municipal Infrastructure Planning Toolbox for Stream Crossings, includes Maine DOT Culvert Sizing Guidelines		<b>✓</b>	✓	<b>✓</b>	
Model Ordinance Language for Maine Municipalities: Guidance for Coastal Resilience (Southern Maine Planning & Development Commission)			<b>√</b>	<b>✓</b>	

Tools and Guidance for Climate Change Preparedness in Maine	Community & Landscapes	Assess Risk	Make a Plan	Implement	Monitor, Evaluate & Adapt
New England Stormwater Retrofit Manual, U.S. EPA		<b>✓</b>	<b>✓</b>		
Hazard Mitigation Planning (links to County plans)			<b>√</b>		
Wastewater Adaptation		<b>✓</b>	<b>√</b>	<b>✓</b>	
Stormwater Management		<b>✓</b>	<b>√</b>	<b>✓</b>	
Maine Silver Jackets Program which offers guidance on reducing flood risk				<b>✓</b>	✓
Resources for Storm Response and Preparedness in Working Waterfront Communities		<b>✓</b>	>	<b>✓</b>	
Adaptation Decision-Support Tools Clearinghouse Maine Climate Hub, 11 topic areas for sector or cross- sector use		<b>✓</b>	✓	<b>✓</b>	<b>✓</b>
Natural Hazards and Land Use Planning for Maine Communities 101 Webinar Series	<b>✓</b>	<b>\</b>	<b>\</b>	<b>✓</b>	<b>✓</b>
U.S Climate Resilience Toolkit Steps to resilience: 1. Explore hazards, 2. Assess vulnerability and risk, 3. Investigate options, 4. Prioritize and Plan, and 5. Take Action	<b>✓</b>	<b>✓</b>	<b>~</b>	~	<b>✓</b>

The Assessment, Planning, Implementation, and Evaluation section provides information on several key elements for each of these actions, including decision support information, tools, and guiding questions. There are existing resources specific to Maine that can support these processes, and it is recommended to refer to them for further information. Sample questions to help decision-makers understand how natural hazards impact their community and identify resilient courses of action are also available in Appendix E: Sample Risk Assessment Framework & Guiding Questions. These questions can be used at the start of a project, for evaluation during the project, or after completion to determine if objectives were met. The questions are a starting point and can be expanded upon.

## 4.1 Introduction to Vulnerability Assessment and Adaptation Planning

Communities must account for climate change in their ongoing design and management of systems and infrastructure due to continually changing conditions. Although there will be opportunities for large-scale evaluation of community actions, it is important to integrate climate change considerations into individual projects and plans as they are developed.

As outlined in the US Climate Resilience Toolkit, the five "Steps to Resilience" are: 10

- 1. Explore Hazards
- 2. Assess Vulnerability and Risk
- 3. Investigate Options
- 4. Prioritize and Plan
- 5. Take Action

Vulnerability refers to the sensitivity of communities and the built and natural environment to climate change. Risk is closely related to vulnerability and refers to the magnitude, extent, or probability of harm from specific hazards. Identifying where communities are vulnerable, as well as the extent of the risks, are important steps in developing strategies that make a community resilient. Uncertainty analysis is a means of recognizing the limits of scientific knowledge about any climate change and hazard projection and what is unknown about projected risks. Recognizing uncertainties helps prepare a community to respond to unanticipated changes as they occur, particularly by taking actions that benefit the community under different scenarios.

<sup>&</sup>lt;sup>10</sup> The vulnerability assessment process covered in the Municipal Climate Adaptation Guidance Series (2017) stems from US CRT "Steps to Resilience" framework. The 2017 Guidance Series was developed collaboratively by the Municipal Planning Assistance Program of the Maine Department of Agriculture, Conservation and Forestry, Blue Sky Planning Solutions, and many of Maine's regional planning organizations to emphasize the importance of becoming familiar with the risk and vulnerability process for all municipal decision-makers tasked with climate change action.

Section 4 - Community Resilience Workbook

Select considerations included from the Municipal Climate Adaptation Guidance Series (2017):

- Infrastructure built to withstand conditions based on historical data may no longer be sufficient for anticipated future climate conditions.
- Reacting to emergencies without adequate preparation is more expensive than responding based on good preparation.
- Municipalities are more likely to undertake climate resilience and adaptation planning when this work can be integrated into existing municipal efforts and priorities, and when it is based on data appropriate for use at the local scale.
- To address the impacts of climate change, a community needs to determine its level of vulnerability. This is called a vulnerability (or impact) assessment.

### Example approaches include:

- The Greater Portland Council of Governments has initiated <u>Sustainability Data and Mapping</u> work using census data, future flood mapping, and sea level rise projections to identify high-risk areas throughout the region that are more prone to flooding due to projected climate change impacts. This data can support targeted community outreach and engagement to identify at-risk assets and prioritize resilience projects.
- The Southern Maine Planning and Development Commission has developed a
   Vulnerability Assessment Framework as part of their Climate Action Planning Toolkit.
   The <u>Vulnerability Assessment Framework</u> included is a guide for assessing climate
   hazards that are projected to impact an area as well as the things, people, and places
   that are vulnerable to those hazards.
- A Climate To Thrive developed an online <u>Community-Driven Climate Action Toolkit</u> with plug-and-play project templates to support community-driven work in energy solutions, resilience building, and engagement.

#### 4.2 Natural Hazards in Maine

Natural hazards in Maine might include climate changes that are observed and likely to continue, such as:

- Severe Summer Weather
- Severe Winter Weather
- Flooding
- Wildfire

- Drought
- Hurricane
- Erosion
- Landslides (Mass Wasting)

As described in the State Hazard Mitigation Plan, many natural hazards can occur during a single hazardous weather event. For instance, hurricane events introduce the hazards of storm surge, wind, inland flooding, and tornados, while blizzards introduce the hazards of wind, snow, and ice. Furthermore, natural hazards tend to occur in seasonal groups.

If developing a **community-wide assessment**, a multi-sector and multi-hazard approach is best. If developing a specific **infrastructure assessment**, it may be sufficient to use only a multi-hazard approach.

Impact assessments have been conducted in Maine that document the effects of climate change on the daily lives of Mainers and Maine communities. The following reports, and the literature referenced within, offer our most up-to-date scientific assessments for Maine:

Scientific Assessment of Climate Change and its Effects in Maine: The Maine Climate Council's Scientific and Technical Subcommittee final report is part of the State Climate Action Plan and summarizes the impacts of climate change in Maine and how it might impact our state in the future.

- Scientific Assessment of Climate Change and its Effects in Maine (2024) (PDF)
- <u>Scientific Assessment of Climate Change and its Effects in Maine report (2020) (PDF).</u> Read the report online in a flipbook format
- Maine Climate Science Dashboard

Maine's Climate Future: The <u>Maine's Climate Future Reports</u> are prepared by scientists across the State of Maine and the University of Maine's Climate Change Institute.

- 2020 Maine's Climate Future: 2020 Update, the University of Maine
- 2018 Coastal Maine Climate Futures, the University of Maine
- 2015 Maine's Climate Future: 2015 Update, the University of Maine
- 2009 Maine's Climate Future: An Initial Assessment, the University of Maine

The <u>DEP Climate Trends and Data webpage</u> contains the sources provided in the CRW as well as many others to provide a one-stop directory of best available data and reports to use while conducting vulnerability assessments. Furthermore, the <u>Maine Risk Assessment Map</u> includes relevant GIS information. The Maine Municipal Planning Assistance Program (MPAP) recently released a new Vulnerability to Risk story map.

Table 2 in this document includes decision support information and tools aligned with steps of the Resilience Building Framework (refer to the resources checked in the 'Assess Risk' column for impact reports, trends, and data sources to use for vulnerability assessments).

#### **Guiding questions**

- What natural hazards are potential risks to your community or infrastructure?
- What is already known from historical information about those natural hazards and the impacts they have caused on your community or infrastructure?

Section 4 - Community Resilience Workbook

- What is projected for these natural hazards with climate change in the future that corresponds to your community vision or infrastructure timespans?
- What scenarios create the worst-case events for use in your analysis?
- What data sources or community insights were used in your analysis?
- Did you consult with national, regional, and local experts for the best available information?

## 4.3 Hazard Mitigation

Hazard Mitigation: Prepare for Current Hazards and Future Emergencies

#### **Alignment with State and Maine Climate Council Strategies**

- Strategy A: Embrace the Future of Transportation in Maine
- Strategy B: Modernize Maine's Buildings: Energy Efficient, Smart, and Cost-Effective Homes and Businesses
- Strategy F: Build Healthy and Resilient Communities
- Strategy G: Engage With Maine People on Climate Action

Hazard mitigation describes sustained actions taken to reduce or eliminate long-term risks to people and property caused by natural hazards. Natural hazards are pervasive and can impact any community regardless of its location, sometimes with little notice. These impacts are only expected to grow with the worsening of climate change and the expected increase in the frequency of extreme weather events, including flooding, coastal storm surge, windstorms, and drought. Rather than simply rebuilding after each disaster's damage, hazard mitigation intends to break the cycle by implementing projects in non-disaster times that can reduce a community's vulnerability to future events. Healthy and resilient communities develop hazard mitigation plans to reduce risks to life and damage to property and the environment. Every \$1 spent on mitigation can save \$6 in future disaster costs, according to the National Institute of Building Sciences findings.

The goals of mitigation planning, akin to other local planning mechanisms, are means of anticipating and avoiding potential disruptions in community safety, services, communication, and business. Hazard mitigation and emergency management/preparedness are priority items for municipal budgeting due to the pervasive and potentially destructive nature of floods, hurricanes, ice storms, wildfires, and other natural hazards. As a result, hazard planning requires broad input from multiple stakeholders — community members, local/state emergency managers, regional planners, technical experts, and other local, state, and federal authorities. Hazard Mitigation Plans are formal documents reviewed and approved by the Federal Emergency Management Agency (FEMA) to encourage planning activities that reduce long-term risk and make communities eligible to apply for federal mitigation-related funding programs.

Communities are encouraged to look critically at their existing planning framework and align efforts with the goal of building a safer, more resilient community. These planning efforts lead to mitigation actions, varying from wildfire educational programs to the improved construction

of previously flooded road stream crossings, to relocating homes or critical facilities (hospitals,

<sup>&</sup>lt;sup>11</sup> IPCC Sixth Assessment Report:

https://www.ipcc.ch/report/ar6/wg1/downloads/report/IPCC AR6 WGI Full Report smaller.pdf

Section 4 - Community Resilience Workbook

fire stations, schools, etc.) away from hazardous areas.

Incorporation of these plans is specific to each community and depends on the vulnerability of the built environment and the capabilities provided by local emergency management and other critical facilities. In general, concepts of mitigation and emergency management are important planning elements for all land use, transportation, watershed management, natural and cultural resource protection, economic development, climate change, and sustainability.

Relevant and recent case studies of local projects in Maine include:

- Fort Kent Levee extension funded by FEMA's Pre-Disaster Mitigation (now BRIC) program
- Lincoln County's recent Hazard Mitigation Plan update funded by FEMA
- Houlton Morningstar Road culvert improvement project
- Willard Beach access project in South Portland

#### **Guiding Questions:**

- What geographical areas and populations are likely to be affected in the future due to climate change, and how do these impacts differ from the present?
- What are the specific impacts of climate change on the geographical area and population of interest, and how long into the future are those impacts expected to occur?
- What infrastructure is the most important to ensuring the safety of the town and its residents (e.g., hospitals, evacuation routes, etc.)?
- What built and natural infrastructure can be constructed, improved, or preserved to reduce the impacts of climate change?
- What built and natural infrastructure should be prioritized in terms of making a community more resilient to climate change?

## 4.4 Community Engagement, Outreach, and Adaptive Capacity

Involving diverse groups of people in a community's planning process is a key element of success for ensuring that plans and intended projects connect with people and places where climate change stands to have the greatest and most adverse impacts. Engaging the community can align plans and projects to reflect the needs and priorities of the people who will be most affected. Finally, engagement, outreach, and education efforts can help strengthen learning, relationship building, and network and community development, and improve adaptive capacities allowing communities to more effectively and equitably adapt over time. See Sections 5.2 and 5.3 for more information on how to best engage with communities.

Education, outreach, and community engagement are necessary to help people learn about, talk about, and plan for climate change impacts in their communities which is why the tools and resources for these issues are listed first in Section 3, Table 2: Tools and Guidance for Climate Change Preparedness in Maine. These efforts lay the foundation for adaptive capacities, which refers to social abilities like information sharing, learning, network formation, relationship building, the development of shared identities and sense of belonging, community histories and memories, and more. These adaptive capacities allow people to understand each

Section 4 - Community Resilience Workbook

other, connect with multiple forms of knowledge, and make shared and equitable decisions about how to promote resilience and what to do about climate change.

Social abilities can also support more flexible and effective governance. For example, if community leaders want to update their comprehensive plans and include consideration of projected climate change impacts so they can prepare for future emergencies (which would be an example of adaptive governance as resilience criteria and improved emergency preparedness as an outcome), people within that community need to understand what those projections are (i.e., improved scientific knowledge) and how different groups of people are more vulnerable to the changes than others (making equity a priority).

#### **Guiding questions**

- What does your community care most about?
- What does the community envision for its future?
- Who in this community is on the frontlines of climate change and what are they already doing to build resilience?
- What knowledge do community members have about climate change impacts here?
   What do community members need to know to make informed decisions about adaptation?
- How can educational materials share scientific information and multiple forms of knowledge about climate change? How can this information be designed to accommodate multiple languages, abilities, and perspectives?
- How can this project create opportunities for learning, relationship building, and networking?
- How can intentional approaches to learning, relationship building, and networking help this community change governance structures, like comprehensive plans, ordinances, and budget priorities?
- What do community members need to know and who needs to be involved to make governance decisions and changes?
- What do community members identify as priority improvements in local governance?
   What would it take to enact these changes to governance?

## 4.5 Whole Community Resilience

This section describes foundational considerations and guiding questions that can help shape resilience planning and assessment at all levels, so these processes are equitable and effective and connect with what communities care about most.

One of the reasons that climate change is such a pressing issue is that it is already negatively affecting people who may be the least able to adapt. In places where economic opportunities may be more limited, those who rely on natural resources for their livelihoods are already finding it difficult to adapt to environmental changes. For example, people in Maine communities and Wabanaki Tribes who need to access intertidal ecosystems to dig soft-shell clams or harvest mussels are already finding it difficult to maintain their livelihoods because rising ocean temperatures are leading to growing populations of the invasive green crab, which

Section 4 - Community Resilience Workbook

eat species of shellfish and threaten important fisheries. This ecological change has a clear and outsized impact on individuals and businesses.

Making **equity** a priority can help address often disparate impacts and consequences of climate change. A focus on equity can also strengthen community efforts by ensuring that project considerations are localized to reflect the interests, perspectives, and priorities of those who live there. It may take additional time to set up a process with inclusive engagement across the community and an approach that represents the interests of vulnerable communities. A project that is shaped by this approach will ultimately be more meaningful, effective, and sustainable because it will have broad community support. Inclusive participation processes can help support learning, networks, and related adaptive capacities that strengthen community resilience.

Sample questions related to the criteria outlined in Section 4.8 can help plan, monitor, and evaluate projects. The guiding questions, criteria, and metrics can be applied to better understand and improve projects over time. For example, by initially considering the guiding question "how can we best work with community members most affected by climate change," project leaders can establish intentional ways of measuring engagement, such as tracking the total number and demographics of participants over time. If community engagement is not considered until later in the project, valuable information may be lost, and opportunities for community engagement may be missed. Guiding questions are intended to improve the meaningful and measurable outcomes of a project.

#### **Guiding questions**

- Who in this community is most vulnerable and what are the specific risks to these groups?
- Who is or who will be most affected by changes in our community? How are those groups responding to these changes?
- Will some people be more affected by changes than others, and what helps explain the differences in who will be affected? Consider differences in race and ethnicity, gender and sexuality, income and socioeconomic status, ability, and age.
- What are the specific impacts of climate change on the geographical area and populations of interest, and how long into the future are those impacts expected to occur?
- How can affected communities participate in projects to ensure their voices and concerns are represented?
- Who else in this community is already working with affected groups and how can service providers and affected parties become involved?
- How can projects be inclusive in designing and implementing a project?

In addition to these guiding questions, the Equity Subcommittee has also identified the following cross cutting recommendations in their March 2023 report: Final Recommendations of the Equity Subcommittee.

General: The state, through its climate communications and equity work, should seek to foster

Section 4 - Community Resilience Workbook

a sense of shared ownership and shared prosperity in the climate transition.

**Participation and Procedural Equity:** All state policy, program, and other decision-making processes should seek to enable equitable participation from vulnerable and historically underserved communities. Enabling equitable participation might include:

- Paying vulnerable community members for their time
- Providing wrap-around services, such as transportation and childcare
- Increasing access to all programs in languages other than English
- Producing "plain language" guides that help explain particular decisions or decisionmaking processes
- Including representative participants of impacted groups in program co-design processes
- Utilizing existing social networks to engage communities in state decision-making
- Adjusting meeting times and locations to enable participation by diverse populations

#### 4.6 Socioeconomic and Cultural Consideration

Climate adaptation projects can have co-benefits or positive outcomes for a range of indicators. For example, a project that focuses on planting riparian buffers or forests alongside streams and rivers to help keep the water cooler and maintain brook trout populations can also strengthen food security and food sovereignty through selective planting. The resilience-related outcomes in this section assess some of the most important values in communities by providing metrics that evaluate the health of local economies, recreational opportunities, food systems, the quality of ecosystems, housing, and the prioritization of support for those who need it most.

#### Guiding questions

- What are the cultural, social, economic, recreational, and environmental co-benefits that could occur by adapting to climate change in specific ways?
- What and where are the cultural sites that need to be protected from the impacts of climate change? Who needs to be involved in decisions about cultural and historic preservation?
- How is climate change affecting community well-being? How can climate adaptation projects improve community well-being?

## 4.7 Implementing a Plan, Continuous Assessment, and Budgets

Many of the considerations mentioned above, such as equity, community engagement, education, and outreach, aim to design resilience and climate adaptation plans that generate momentum, support, and community investment for implementation and action. Assessment is a key focus of this section, as it strengthens how engagement can lead to action. By including assessment throughout a project, communities can continuously evaluate progress without necessarily needing to hire an evaluator. With some advanced planning, such as identifying key outcomes and metrics for data collection, continuous assessment can be achieved. This information can be a valuable resource for addressing challenges, maintaining flexibility,

Section 4 - Community Resilience Workbook

adaptability, and inclusivity over time. Assessments should also consider funding, including the capacity to implement a plan and how to secure funding for ongoing efforts.

#### **Guiding questions**

- What outcomes matter in this community and how will progress towards these outcomes and eventual success be measured? How can these data be used to inform the project as it evolves?
- Does the plan provide clear, well-defined, flexible, and timely strategies for implementation?
- Does the plan have a timeline for when actions need to be completed to ensure project goals are achieved?
- Are specific stakeholders assigned the responsibility for implementing and monitoring each action?
- Do all actions have well-defined cost estimates and corresponding funding sources?

#### 4.8 Resilience Assessment Criteria

This section identifies resilience metrics commonly used to evaluate processes and outcomes. It follows a "backward design" approach that is extensively used in educational settings. This approach enables people to identify their objectives or the project's end goal so they can work backward to determine how they will measure that outcome over time. This approach also applies to developing a planning document. For instance, if project collaborators aim to enhance their emergency preparedness, one metric could be the number of available shelter spaces for displaced families during extreme weather events. Backward design complements the Resilience Building Framework described in Section 2 by focusing on identifying outcomes and evaluation metrics during the "Make a Plan" and "Evaluate, Monitor, and Adapt" phases of the framework.

Using backward design and continuous assessment throughout the life of a project can help collaborators address and adapt to problems or challenges as they arise. For instance, if project collaborators prioritize the outcome of improved community engagement and begin to track the numbers and demographics of people participating in project-related meetings, they can more accurately identify the need to change engagement strategies if participation numbers are low or if key groups are not represented.

This section is organized by six main criteria for assessing resilience, including: (1) community engagement, outreach, and education; (2) adaptive capacity and governance; (3) socioeconomic and cultural considerations; (4) vulnerability, risk assessment, and uncertainty analysis; (5) infrastructure; and (6) plan implementation, continuous assessment, and budgets. Each criterion is associated with a set of broad outcome metrics, such as improved awareness and improved adaptive capacity. Each outcome is further associated with a series of metrics that provide specific ways to observe and measure the outcomes (see Table 3).

The 2024 Update to Maine Won't Wait included several targets and indicators on page 172 and

<sup>&</sup>lt;sup>12</sup> Grant P. Wiggins & Jay McTighe (Eds.). (2005). Understanding by design (2nd ed.). New York, NY: Pearson.

Section 4 - Community Resilience Workbook

173 of the plan. Many of these metrics are also tracked and reported on the progress dashboard.

The Climate Adaptation & Resilience Outcomes Tool (CAROT) provides a set of resilience criteria, outcomes, and metrics. Communities can use this downloadable Microsoft Excel-based workbook to define and measure success over time. CAROT features dropdown menus that allow users to identify potential resilience metrics (e.g., number of buildings) for evaluating adaptation outcomes (e.g., improved awareness). Outcomes are further broken down into specific foci (e.g., housing, engagement) to help narrow the list of metrics.

#### CAROT has three primary spreadsheets:

- 1. Tool: Drop-down menus for stakeholders to better navigate the metrics list to help stakeholders identify potential metrics;
- 2. Full Metrics List: The full list of climate adaptation outcomes, metric categories, metrics, and their respective sources; and
- 3. Sources: A reference list and description of the sources the metrics were obtained from.

Most users will only use the 'Tool' tab. However, the other tabs have been included for transparency and completeness. Please note, the Tool needs to be downloaded for all features to work properly.

As mentioned in Section 2, equity is a fundamental priority. This approach aims to integrate equity across all criteria rather than treating it as a stand-alone category. This workbook accomplishes this in two ways. It includes equity-related metrics on the full metrics list spreadsheet, which are based on the three equity categories described in the above framework: social impact, vulnerable populations, and participation and inclusion. For each category, metrics are available to assess equity by using specific practices such as collecting demographic information, providing educational resources or emergency notifications in multiple languages, or prioritizing climate change-related efforts (education, funding, projects, etc.) for underrepresented or minoritized groups.<sup>13</sup>

Table 3 Example resilience criteria, outcomes, and metrics within CAROT

Resilience Criteria	Outcome	# Metric Options
Community Engagement,	Improved awareness	58
Outreach, and Education	Improved community characteristics	69

<sup>&</sup>lt;sup>13</sup> The information in the equity metrics column provides a sample of the type of information that can be included to assess equity commitments. More work is needed to reduce the total number of metrics and further specify what equity means in practice for any community. When selecting outcomes and metrics, project collaborators should tailor metrics and questions to consider social impacts, vulnerable populations, and/or participation and inclusion factors that are relevant in their communities to further shape how equity-related outcomes are measured.

## **Assessment, Planning, Implementation, and Evaluation**Section 4 - Community Resilience Workbook

Resilience Criteria	Outcome	# Metric Options
	Improved engagement	13
Adaptive Capacity and	Improved adaptive capacity	5
Governance	Improved emergency preparedness	33
	Improved scientific knowledge	5
	Improved climate change mitigation	21
	Improved zoning	3
Socioeconomic and	Improved economic resilience	12
Cultural	Improved recreation opportunities	17
	Improved food security	12
	Improved ecosystem health	46
	Improved housing resources and infrastructure	19
	Improved resources for impacted populations	7
Vulnerability, Risk	Reduced flood risk	71
Assessment, Uncertainty Analysis	Reduced impacts on water quality	19
	Reduced mortality, morbidity, and disease	6
Infrastructure	Improved infrastructure	16
Plan Implementation,	Improved monitoring	7
Continuous Assessment, and Budgets	Improved planning	49

## 5 The Importance of Collaboration and Partnerships

## Alignment with State and Maine Climate Council Strategies and Community Actions (Community Resilience Partnership)

- Engage Maine People:
  - o E1 Establish or recognize an official committee of community stakeholders.
- Increase public awareness of climate change impacts and opportunities to take action:
  - E2 Create a climate change education, outreach, and engagement program, focusing on mitigation and adaptation for residents and businesses.
  - E3 Amplify public health advisories for climate-related health and weather events, such as air quality advisories, extreme heat or cold events, extreme storms, power outages, waterborne disease outbreaks, harmful algal blooms, vector-borne disease trends, etc.
  - E4 Engage youth in resilience, clean energy, and energy use reduction.
  - E5 Engage populations that are vulnerable to climate impacts in resilience, clean energy, and GHG emissions reduction.
- Engage the business community and recognize climate leadership:
  - E6 Create and support an energy reduction campaign or challenge among businesses.
  - E7 Initiate a community bulk purchasing program with a vendor, or vendors, to provide low-cost equipment such as heat pumps and solar for interested residents and businesses.
- Strategy F: Build Healthy and Resilient Communities
- Strategy G: Engage with Maine People on Climate Action

Effective community engagement and collaboration are fundamental to building resilient climate adaptation strategies. When communities work together, they can leverage collective resources, share knowledge, and implement proven solutions more efficiently. This collaborative approach not only reduces costs and streamlines implementation but also ensures solutions are both locally relevant and sustainable.

Moreover, by prioritizing equity in climate adaptation planning, communities can address systemic inequalities while building resilience. Inclusive decision-making processes that actively involve diverse stakeholders, particularly those from vulnerable populations, lead to more equitable and effective outcomes. This approach helps ensure that adaptation strategies benefit all community members and address existing disparities rather than reinforcing them.

## The Importance of Collaboration and Partnerships

Section 5 - Community Resilience Workbook

Inclusive collaborations can also support participatory budget processes, bringing together community officials with residents and business owners in design planning and project scoping. Then when the final budget vote does happen on a specific project that was years in the making, there might also be a higher likelihood of financing or funding support getting approved. And finally, collaborative efforts are a way to expand resources and can help increase capacity of staffing and funding, which are the most common underlying barriers to implementing climate actions in Maine. <sup>14</sup> Section **5.3** includes case studies as examples of regional community collaboration, and in **5.4** some history on statewide planning efforts. These examples offer starting points for how best to enact climate action with regional and state support.

#### 5.1 Outreach

Whether your community is addressing climate adaptation through existing avenues or a new plan or regional partnership, community engagement is key to understanding local perceptions, beliefs, and knowledge. This section outlines key steps in the process to effective stakeholder participation. Much of this content is derived from a publication by the NOAA Coastal Services Center, *Introduction to Stakeholder Participation (2015)*.

Once a project or process has been identified, one of the first steps is to consider when stakeholder participation is needed. There are a number of approaches, from scoping before a project starts, to incremental outreach events throughout the course of a project, and final meetings to report out or make decisions. It is important to consider the time and materials needed, as well as what data or informational resources are necessary to informed participation.<sup>15</sup>

The second step is to identify stakeholders, which are generally defined as anyone who has an interest in or is affected by a decision. Conducting a thorough stakeholder analysis can help identify who the stakeholders are for a particular issue, as well as start to identify what their positions and interests are on the issue. A stepwise approach to conducting a stakeholder analysis is included in a NOAA publication, <u>Introduction to Stakeholder Participation</u>.

The third step is to define the process elements of your stakeholder engagement plan. There are a number of approaches that can be considered to tailor your project. Table 4 highlights key features and process elements, which are described further in the NOAA publication.

<sup>&</sup>lt;sup>14</sup> Johnson, Eileen S., Esperanza Stancioff, Tora Johnson, Sarena Sabine, Haley Maurice, and Claire Reboussin. "Preparing for a Changing Climate: The State of Adaptation Planning in Maine's Coastal Communities." Maine Policy Review 28.2 (2019): 10 -22, https://digitalcommons.library.umaine.edu/mpr/vol28/iss2/3/.

<sup>&</sup>lt;sup>15</sup> NOAA Coastal Services Center, Introduction to Stakeholder Participation (2015), https://coast.noaa.gov/data/digitalcoast/pdf/stakeholder-participation.pdf

Section 5 - Community Resilience Workbook

**Table 4 Features and Process Elements of Successful Participatory Processes** 

Features	Process Elements	
Active participant involvement	<ul> <li>Opportunity for involvement</li> <li>Early involvement</li> <li>Motivated participants</li> <li>Influence over the final decision</li> </ul>	
Decisions based on complete information	<ul> <li>Best available information exchange</li> <li>Constructive dialogue</li> <li>Adequate analysis</li> </ul>	
Fair decision-making	<ul><li>Transparency</li><li>Representative participation</li></ul>	
Efficient administration	<ul> <li>Cost-effective</li> <li>Accessible</li> <li>Limited influence of sponsor</li> </ul>	
Positive participant interaction	<ul> <li>Positive social conditions</li> <li>Constructive personal behavior</li> <li>Social learning</li> </ul>	
Dalton 2005 as cited in NOAA 2015		

Before starting any community engagement process, it is important to decide how public input will be utilized in decision making and project implementation and to ensure clear communication with participants.

Once stakeholder audiences have been identified, there are numerous methods to solicit input. Each method has its applications for different issues and considerations for accommodating diverse stakeholders. Methods range from interviews, open houses, surveys, public hearings, workshops, field trips, and even more formal referendums. The NOAA publication <a href="Introduction to Stakeholder Participation">Introduction to Stakeholder Participation</a> summarizes common stakeholder participation techniques.

Once a plan to engage stakeholders has been carried out, it is important to evaluate the success and outcomes from the outreach initiative. There are many examples of criteria, and the NOAA publication describes the two more common categories: process criteria, which relate to the strength of process elements in a stakeholder participation process; and outcome criteria, which relate to the outcomes of results of stakeholder participation.

In summary, it is important to follow the steps outlined in this section as you are developing your stakeholder engagement plan for a particular project. This type of work takes more time

Section 5 - Community Resilience Workbook

and planning, although if conducted properly, should yield more inclusive, informed and thoughtful results. As this work is conducted, there are additional tips and techniques in this training resource on planning and facilitating effective meetings:

https://coast.noaa.gov/digitalcoast/training/effective-meetings.html

## **5.2** Community Workshops

If part of the community outreach includes workshops, this section includes questions municipalities and service providers can consider during the planning process. The five general questions that stakeholders can address in the workshop during discussions are as follows:

- Are there critical components of essential services in your community that face significant vulnerabilities due to climate change impacts?
  - Key areas to examine include:
    - Transportation networks (roads, bridges, public transit)
    - Energy systems (power plants, transmission lines, substations)
    - Water infrastructure (treatment plants, distribution systems)
    - Healthcare facilities
    - Emergency response centers
- Are there critical dependencies or interdependencies that could be affected by the projected impacts of climate change?
- Does your community have existing climate change adaptation plans or strategies?
- What are the barriers that prevent active and effective adaptation planning in your community?
- What does your community need to move forward with its adaptation planning efforts?

Specific questions that can be used during workshop discussions are included in Appendix C Example Questions for Community Workshops.

# 5.3 Case Studies of Maine Community Collaborations

The case studies described in this section include a diversity of collaborative initiatives, from multi-town and regional resource-intensive projects to projects in individual and rural towns with more limited capacity. Each case study highlights the purpose of the initiative, the process used, and lessons learned. The approach that each town takes needs to be tailored to the community needs, availability of resources (staffing, committees/volunteers, and funding), and the level of vulnerability (perceived and/or real).

#### 5.3.1 Neighboring: Portland/South Portland - One Climate Future

The Cities of Portland and South Portland collaborated on an 18-month process to develop <u>One</u> <u>Climate Future</u>, a roadmap for climate action. The process entailed significant community outreach and engagement, volunteers, a number of municipal staff, a Climate Planning Process

Section 5 - Community Resilience Workbook

Committee as well as a team of consultants. <u>Chapter 3</u> of *One Climate Future* details more about the process and how it was developed.

The Sustainability Managers for each city, Troy Moon and Julie Rosenbach, shared feedback on the overall process for other towns interested in this approach. They stressed the importance of teamwork between the state, cities, homeowners, and other stakeholders. There were synergies to working with neighboring towns, and they found it was not "twice the work." Their framing for this initiative was a "people-based plan" around maintaining great quality of life into 2050, rather than an infrastructure and energy systems adaptation plan. Their extensive survey work indicated significant support from community members and the respective city councils also supported this initiative. As resilience has a different meaning for different communities, they stressed the importance of defining 'resilience' for your community. The Cities of Portland and South Portland received external grant funding to support the consultants they hired. However, they mentioned that a town does not have to spend tens or hundreds of thousands of dollars to develop a similar plan, as there are many resources that municipalities can draw from and not start from scratch.

The cities are now working towards implementing recommendations from the report through various avenues, including comprehensive plan updates, land use planning and developing resilience overlay zones, and installing high water mark signage throughout the cities.

## 5.3.2 <u>Multiple towns: GOPIF Community Resilience Pilot Projects</u>

Maine's four-year climate action plan, *Maine Won't Wait*, recommended enhancing state support for communities to build climate resilience, such as by adopting official sea-level rise projections, incorporating climate change in land-use planning, and strengthening public-health monitoring, education, and prevention. Eight Maine communities were selected by the Governor's Office of Policy, Innovation and the Future (GOPIF) to participate in pilot projects for local climate resilience planning, to help them prepare for the effects of climate change and develop climate planning models for towns and cities in Maine. The selected pilot projects are partnerships among the following communities and organizations. <sup>16</sup> The approach for each project is described in this section.

## Windham and Bridgton, with the Greater Portland Council of Governments

In Bridgton and Windham, the Municipal Operations Review and Resilience Standard Setting project consists of a detailed review of relevant and significant town operational documents (for example, sections of comprehensive plans, key ordinances related to development, capital improvement plans), and identification of key policies, plans, or processes that are ideal for including climate resilience considerations. These operational documents will be prioritized by town staff and one or two key policies, plans, or processes will be selected for development of

<sup>&</sup>lt;sup>16</sup> GOPIF Press Release, website: https://www.maine.gov/future/news/eight-maine-communities-selected-for-local-climate-change-planning-projects?q=news/eight-maine-communities-selected-for-local-climate-change-planning-projects

Section 5 - Community Resilience Workbook

resilience standards or protocols. The standards or protocols will be developed using best practices and presented to town governing bodies for consideration.

The processes that municipal governments use to plan for future development, upgrade existing infrastructure, engage communities, and deliver services, are the levers by which change can be made. Creating protocols that standardize the consideration of climate change into decision-making will build resilience in Bridgton and Windham. Additionally, integrating them across departments and sectors will support goals identified in existing planning documents in both towns.

<u>Harpswell, Phippsburg and West Bath, with the New England Environmental Finance Center and Casco Bay Estuary Partnership at the University of Southern Maine</u>

The New England Environmental Finance Center (NEEFC) and Casco Bay Estuary Partnership (CBEP) partnered with the coastal towns of Harpswell, Phippsburg, and West Bath (together the 'coastal cohort') to prepare for the effects of climate change and secure initial funding for shared coastal resilience priorities. As leaders of small, peninsular communities reliant on natural resource economies and home to aging populations, town administrators and staff sought to better understand climate impacts like sea level rise, storm surge, flooding, and erosion and associated adaptation strategies and funding sources.

Over six months, CBEP and NEEFC piloted a three-part workshop series with the coastal cohort to identify community assets (physical, ecological, social), understand local climate-related hazards, vulnerabilities, and risks affecting those assets, and brainstorm and prioritize actions that build community resilience. Workshops included opportunities to hear directly from community members representing local conservation commissions, land trusts, and the shellfishing industry, as well as guest speakers on technical, scientific, planning, and funding-related topics and approaches. In parallel to the workshops, each town worked through a step-by-step vulnerability and risk assessment tool adapted from the U.S. Climate Resilience Toolkit to produce a prioritized list of vulnerable community assets, which informed subsequent town-specific and cohort-wide adaptation strategies and project ideas.

Participating in this facilitated process formed new relationships and established a foundation for regional collaboration, which led the three towns to craft a joint proposal for funding to advance shared priorities. The coastal cohort successfully secured funds from the Maine Governor's Office of Policy Innovation and the Future for engineering services to assess three town landings/wharves and their vulnerability to current and projected sea level rise, storm surge, and King tide events to inform a maintenance and upgrade plan for improved resilience. Preliminary designs and cost estimates resulting from these analyses led to state funding for engineering for adaptation to sea level rise.

<u>Caribou, Washburn, and Fort Fairfield, with the Northern Maine Development Commission and The Nature Conservancy in Maine.</u>

The Northern Maine Development Commission partnered with The Nature Conservancy to conduct

Section 5 - Community Resilience Workbook

Community Resilience Building workshops in Caribou, Washburn, and Fort Fairfield - three communities along the Aroostook River. These sessions identified hazards, assessed vulnerabilities, and prioritized actions for climate resilience.

A key finding across all communities was the critical need for windbreaks along major routes. Increasing wind events after winter storms create dangerous snow drifts, leading to road closures and strained maintenance budgets. In one recent storm with just 10-12 inches of snow but high winds, emergency services received over 400 calls for assistance.

The project aims to establish more fence windbreaks to reduce drifting, protect public safety, and optimize road maintenance resources. GOPIF has published a story map highlighting projects from the Community Resilience Partnership like those described above.

## 5.3.3 <u>Regional: Southern Maine Planning and Development Commission Regional</u> Sustainability and Resilience Program

The <u>Southern Maine Planning and Development Commission (SMPDC)</u> Regional Sustainability and Resilience <u>Program</u> works to foster more sustainable and resilient communities by leveraging regional collaboration to enhance the effectiveness of local government action. This pilot Program started in 2019, and now SMPDC is leading several projects and initiatives described in this section.

SMPDC is working with ten communities to develop a regional resilience plan. This initiative builds off of a vulnerability assessment conducted with six towns: Kittery, Kennebunk, Kennebunkport, Ogunquit, Wells, and York. The Program conducted a comprehensive assessment of individual town and regional actions taken to date to establish a baseline of sustainability and coastal resilience efforts. Each of the towns was evaluated using the same strategies and indicators. Results and findings from this regional assessment are summarized in a report published in July 2021: <u>Getting there from here: a baseline for advancing climate action in Southern Maine</u>. This report shares successful strategies for other towns embarking on similar initiatives. It they found that municipalities tend to make more progress on actions when:

- The action is incorporated into town long-term planning priorities
- The town has a committee that advises, directs, and champions resiliency efforts
- Outside funding is available for work on the action
- Community partners support the town's efforts

Conversely, the report found that there are common barriers and challenges that limit the towns' ability to implement actions, including:

- Lack of municipal staff expertise, capacity, and training on sustainability and resilience principles
- Low community engagement/participation
- Insufficient outside funding

Section 5 - Community Resilience Workbook

- Lack of technical expertise and guidance
- Insufficient State guidance and directives
- Limited municipal budgets
- Low prioritization of issues by municipal governing bodies
- Resistance to regulatory approaches

See the report for more details and examples. SMPDC, along with project partners at Wells Reserve, GEI Consultants, and Rbouvier Consulting developed *Tides, Taxes and New Tactics: Planning for Sea Level Rise and Coastal Adaptation in Southern Maine*. This project is investigating municipal-level economic and social impacts of sea level rise and storm surge hazards and developing locally relevant adaptation and resiliency planning strategies that address local and regional vulnerabilities.<sup>17</sup> The project uses local sea level rise projections, storm surge modeling, municipal geospatial data, and population and demographic information to complete a GIS-based socio-economic vulnerability assessment of coastal flood hazards.

The assessment is generating valuable information about flooding impacts on coastal property and populations, the assessed value of the impacted property, associated implications for the municipal tax base, and impacts on the local and regional economy. The assessment findings inform the development of locally relevant and tailored adaptation, mitigation, and resilience strategies that municipalities can employ to protect people, property, and natural resources from the impacts of coastal flooding now and into the future.

## 5.3.4 Regional: Climate Ready Casco Bay

The National Fish and Wildlife Fund (NFWF) Coastal Resilience project in the Greater Portland Council of Governments (GPCOG) region is a capacity-building and planning project that is engaging 11 Casco Bay coastal municipalities in a critically important collaborative planning initiative that will produce actionable resilience projects and address environmental, social, and economic issues from a regional perspective. Ultimately, one regional coastal resilience plan, plus a pipeline of individual green/nature-based resilience solutions will be produced that when implemented will reduce the impacts of climate change on habitats and communities.

## 5.3.5 <u>Community Intertidal Data Portal</u>

The <u>Community Intertidal Data Portal</u> is an interactive GIS tool that makes intertidal data and information more accessible, fosters connections between communities with an interest in the intertidal, and promotes a more nuanced understanding of issues within the nearshore environment of Casco Bay. The Data Portal supports communities as they adapt to the rapidly changing intertidal ecosystem due to the direct and indirect impacts of climate change. Providing these data in a centralized and visual format increases accessibility to information

<sup>&</sup>lt;sup>17</sup> For specific adaptation strategies, see the policy, land use, project, and funding strategy tables in pages 40-55 in the <u>Tides, Taxes, and New Tactics: Adaptation Planning for the Impacts of Sea Level Rise and Storm Surge in Southern Maine final report, July 2021.</u>

Section 5 - Community Resilience Workbook

needed for planning, especially in municipalities with limited staff and without access to a GIS license. The primary goal of the Data Portal is to help inform municipal coastal planning and climate adaptation, with a focus on the intertidal ecosystem and shellfish conservation. The regional scale of this project in Casco Bay serves as a template that could expand to other regions or coastwide in the future.

## 5.3.6 Regional: Better Safe Than Sorry and Social Resilience

The Wells National Estuarine Research Reserve (WNERR) annually hosts the "Better Safe Than Sorry" workshop series, bringing together representatives from ten Southern Maine coastal communities to learn from each other about how to plan and prepare for coastal storms, sealevel rise, and extreme weather events. The Reserve's Coastal Training Program assists Southern Maine communities by tracking actions taken by local governments in an annually updated spreadsheet to show progress over time. When communities come together every fall, they learn of others' efforts, discuss challenges, hear about the latest science, and learn new skills. In subtle ways, the action tracking nudges communities to "keep up with their neighbors."

#### 5.3.7 Regional: Social Resilience Project

The Nature Conservancy, Casco Bay Estuary Program, Wells Reserve, University of Maine's Maine Sea Grant and Cooperative Extension, Blue Sky Planning Solutions, Kennebec Estuary Land Trust, and Bowdoin College are collaborating with local communities to increase social resilience from storm impacts.

With changing climate conditions, coastal Maine faces more frequent and more severe weather events that bring flooding, high wind impacts, and damage to road and electric infrastructure in our communities. There are community members and groups who, due to economic and/or social circumstances, will be at greater risk and have fewer resources to respond to and recover from storm impacts. The <a href="Social Resilience Project">Social Resilience Project</a> connects various groups that could play a role in preparing for, responding to, and recovering from these events as well as reducing the impacts on our most vulnerable community members.

This project is focused on strengthening regional connections in eight communities: Harpswell, Brunswick, West Bath, Bath, Phippsburg, Georgetown, Arrowsic, and Woolwich.

## 5.3.8 Regional: Collaborating Towards Climate Solutions

<u>Collaborating Towards Climate Solutions</u> (CTCS) began in June 2020 in Passamaquoddy Bay and western Penobscot Bay to address the communities' scarce resources for implementing climate resilience projects. The CTCS framework proceeds through a series of activities intended to first learn from communities about current climate change efforts and needs, and then respond to those needs with community-specific and regional responses.

Through CTCS, Islesboro and Camden completed Maine's Flood Resilience Checklist. CTCS funded green infrastructure and tree planting efforts in Camden and Rockland, and there is ongoing work surrounding rain barrels and rain gardens with Vinalhaven and Rockland. GIS

Section 5 - Community Resilience Workbook

efforts through CTCS, in collaboration with Bowdoin College and UMaine Machias, include sea level rise story maps and work to map living shorelines suitability at municipal scales for local decision-making. Aspects of CTCS now continue through the service provider component of the Community Resilience Partnership, through continued student engagement in applied GIS services, and through services with the Knox County Emergency Management Agency.

## 5.3.9 Blue Hill Peninsula Tomorrow

Blue Hill Peninsula Tomorrow is a multi-town effort working for the future of the Blue Hill Peninsula. In 2021, communities began working together to address the issues of greenhouse gas emissions, climate change, sea level rise, and community resilience. Their mission is to identify the potential impact of climate change on the Blue Hill Peninsula and explore ways of maximizing mitigation and adaptation opportunities through interlocal cooperation.

Elected and appointed officials and community leaders of nine towns on the Blue Hill Peninsula in Hancock County — Blue Hill, Brooklin, Brooksville, Castine, Deer Isle, Penobscot, Sedgwick, Stonington, and Surry — meet monthly via Zoom as Blue Hill Peninsula Tomorrow. They explore inter- municipal initiatives to enhance energy efficiency and climate resilience. Since the beginning of Peninsula Tomorrow in May 2021, each meeting has featured presentations by subject-matter experts and discussions of state and federal funding opportunities. Congressional delegation representatives and state legislators participate in the monthly meetings.

Successes of the initiative include multi-town support that has helped secure state (and potentially additional congressionally directed spending) to upgrade a wastewater treatment facility that serves multiple regional community lifelines and an ongoing advocacy effort to accelerate and increase funding for infrastructure improvements to the flood-prone coastal roads and bridges. Mitigating wildfire risk in the towns' increasingly developed wildland-urban intermix was the objective of the towns' application for federal funding for a Community Wildfire Protection Plan

## 5.3.10 Individual town - TBD

We seek a case study from a small, rural inland town

## 5.3.11 Individual town - TBD

We seek a case study from an individual island or coastal community

## 5.3.12 Nonprofit and Community Lead: Sierra Club's Maine Climate Action Teams

<u>Local Climate Action Teams</u> are organizing in Maine to implement specific climate actions. At the time of publication, ten initiatives in various towns are addressing issues from installing solar energy, to developing town energy efficiency plans and increasing or starting recycling programs.

Section 5 - Community Resilience Workbook

## 5.3.13 Maine Climate Table

Founded in 2013, the Maine Climate Table is a broad, non-partisan partnership that includes participation from individuals and organizations from the business, nonprofit, philanthropic, and government sectors in Maine. The Climate Table's vision is to create a state-based model for climate initiatives that increases broad civic engagement and leads to climate action. Their primary goal is to engage more people in community-based climate action that will collectively help to reduce climate changing pollution, support adaptation to the changing conditions around us, and promote measures that will increase the resiliency of Maine's communities and small businesses. The Climate Table offers a number of workshops and webinars, as well as coordinating other projects.

## 5.3.14 A Climate to Thrive

A Climate to Thrive is a 501(c)(3) nonprofit organization working towards energy independence for Mount Desert Island (MDI) by 2030 through decentralized, local, renewable energy solutions including efficiency, electrification, and renewable energy generation. The group seeks solutions that build community ownership and equity and bring the community together around shared goals and priorities. Campaigns for solar, energy efficiency and electrification are models for sub-regional implementation. Ongoing virtual dialogues hosted by the organization are components of programming within and beyond the MDI community that are building a network of community-driven, solutions-focused climate action throughout the State of Maine. In the summer of 2025, ACTT launched an online toolkit on community-driven climate action with plug-and-play project templates to support community-driven work in energy solutions, resilience building, and engagement. ACTT also runs the Local Leads the Way program which supports community-driven climate action groups throughout Maine by facilitating resource sharing, collaboration, and providing training and additional support whenever possible.

## 5.3.15 Seeing for Ourselves

Seeing for Ourselves (SFO) is a nonsectarian 501(c)(3) nonprofit founded in 2010 and is based in Bay Shore, New York and Cape Elizabeth, Maine. SFO equips and trains marginalized individuals to take control of their own public narrative by documenting their lives photographically. They deliver a twelve-week college-level course in the art of visual storytelling, combine the output with a backstory about the topic at hand, and promote the resultant story in a book, film, or other media. The practice is known as "participatory photography." Areas of practice to-date include public housing, criminal justice, and climate change.

## 5.3.16 Directories for Additional Case Studies in Maine and New England

Across Maine there are hundreds of projects and communities to learn from and to connect with to expedite climate change solutions. Communities in Maine are not alone in taking climate action and should utilize the expertise elsewhere in New England or further abroad. Websites that help with research and peer connections include:

• Maine Climate Change Adaptation Providers Network – the Climate Change

Section 5 - Community Resilience Workbook

- <u>Adaptation Providers Network</u> website is an inventory of Maine-specific tools, resources, funding guides, and case studies for climate preparedness in our state.
- Maine Department of Agriculture, Conservation and Forestry, Municipal Planning
   <u>Assistance Program</u> Coastal Community Grant Program, and <u>case studies</u>. Since 2012,
   this grant program has provided over \$2.5 million for projects throughout coastal
   Maine. <u>List of Coastal Community Grant Awards (XLSX)</u>. Case studies can be found that
   address the goals of the <u>Maine Coastal Program</u> including: Preparing for Coastal Storms,
   Erosion and Flooding; Green Infrastructure; Restoring Coastal Habitats; Addressing
   Effects of Land Use Activity on Water Quality; Improving Coastal Public Access; or
   Ensuring Sustainable, Vibrant Coastal Communities.
- Environmental Protection Agency, Resilience and Adaptation in New England The Resilience and Adaptation in New England (RAINE) is a database of vulnerability, resilience, and adaptation reports, plans, and webpages at the state, regional, and community levels. It includes information on communities in Maine taking various actions to build resilience. This growing resource contains content searchable by different natural hazard types, specific products of plans where climate change was incorporated, and covers many topics from economics to ecosystems, to government planning, to many more types of specific infrastructure.

EPA's <u>Adaptation Resource Center</u> (ARC-X) is an interactive resource to help local governments effectively deliver services to their communities even as climate changes. Decision-makers can create an integrated package of information *tailored specifically to their needs*. Once users select areas of interest, they will find information about the risks posed by climate change to the issues of concern; relevant adaptation strategies; case studies illustrating how other communities have successfully adapted to those risks and tools to replicate their successes; and EPA funding opportunities.

- Georgetown Climate Center at Georgetown University The nonpartisan Georgetown
   <u>Climate Center</u> (GCC) seeks to advance effective climate and energy policies in the
   United States and serves as a resource to state and local communities that are working
   to reduce carbon pollution and prepare for climate change.
  - Adaptation Clearinghouse The <u>Adaptation Clearinghouse</u> is an online database and networking site that serves policymakers and others who are working to help communities adapt to climate change. The Adaptation Clearinghouse can be customized to meet your needs by becoming a member. Featured sectors include coastal, ecosystems, energy, public health, transportation, and water.
- Climate and Adaptation Knowledge Exchange The <u>Climate Adaptation Knowledge</u>
   <u>Exchange</u> (CAKE-X) is an interactive online source of nationwide climate adaptation case studies and resources.

## 5.4 Statewide Collaborations and Efforts

In Maine there is a legacy of actions to combat climate change in many different ways and

Section 5 - Community Resilience Workbook

contexts. Successes have built upon each other over time yielding achievements that distinguish the work occurring in Maine. Strong relationships have been established and maintained over time, lessons have been learned and shared so that implementing solutions is expedited, and Mainers and Wabanaki peoples have been resourceful and successful in accomplishing work and accessing various funding sources. The key advancements are summarized in this section, and a more detailed history of climate action achievements is detailed in Appendix A. **Note for future editions:** This section needs discussion of the negotiations to the Maine Indian Claims Settlement Act and Wabanaki Tribal Nations inherent rights to manage natural resources as a climate adaptation strategy.

## 5.4.1 Maine State Climate Plans

In 2001, eleven states and provinces within an association of New England Governors/Eastern Canadian Premiers (NEG/ECP), including Maine, developed the first subnational Regional Climate Change Action Plan (RCCAP). In 2003, Maine established goals for the reduction of greenhouse gas (GHG) emissions statewide (38 M.R.S. § 576). The Maine Climate Action Plan was adopted in 2004 to meet the reduction goals specified in Maine law. The action plan contained recommended options that would allow the state to meet the reduction goals through cost-effective strategies and actions, and that allows for sustainably managed forestry, agriculture, and other natural resources to sequester greenhouse gas emissions.

In 2009, the Maine Legislature directed the Maine Department of Environmental Protection to evaluate what options the state and its citizens have as we adapt to the impacts of climate change (124th Legislature, LD 460, "Resolve, To Evaluate Climate Change Adaptation Options for the State"). The development of *People and Nature Adapting to a Changing Climate:* Charting Maine's Course 2010 (Maine's Climate Adaptation Plan) brought together a broad cross-section of Maine people representing business, trade, agriculture, forestry, health, transportation, and conservation, as well as state and municipal government. More than 70 groups participated in creating the report and working on committees. The report builds directly on the climate impact assessment led by UMaine, *Maine's Climate Future: An Initial Assessment 2009*, which offered an informative and up-to-date summary of climate change effects in Maine. The adaptation plan contained numerous strategies and more than 60 recommendations.

Since 2003, Maine progressed on implementing over 70% of the recommendations in its first Climate Action Plan (LD <u>845</u>, P.L. 237), and over 80% of its recommendations in the Maine Adaptation Plan (2009 LD <u>460</u>), achieving both near-term mitigation goals and improving risk mapping and other decision-support tools development to support communities and industries adapt to impacts. In 2012, the 2010 Adaptation Plan was adopted as the State's working adaptation plan. Agency and sector-specific plans have also been developed (Appendix A).

On June 26, 2019 (LD  $\underline{1679}$ , P.L.  $\underline{476}$ ), the Governor and Legislature created the Maine Climate Council which is an assembly of scientists, industry leaders, bipartisan local and state officials, and engaged citizens to develop a four-year plan to put Maine on a trajectory to reduce emissions by 45% by 2030 and at least 80% by 2050. In September of 2019, co-chaired by the

Section 5 - Community Resilience Workbook

Governor's Office of Policy Innovation and the Future and the Maine Department of Environmental Protection, the <u>39-member Council</u> and its supporting bodies, the Science and Technical Subcommittee, and six sector-specific Working Groups started meeting to develop the climate action plan.

On December 1, 2020, the Council delivered the plan - *Maine Won't Wait: A four-year plan for climate action* - to the Governor and the Legislature. An Equity Subcommittee was established in 2021. That same year the State also adopted a carbon neutrality goal by 2045 (LD <u>1429</u>, P.L. <u>517</u>). The Science and Technical Subcommittee produced the most up-to-date <u>assessment of impacts</u> in Maine, <u>analyses</u> of greenhouse gas mitigation strategies and cost-benefit of adaptation actions were prepared, and thousands of <u>Maine people</u> offered their concerns, observations, ideas, and encouragement to create the plan.

Maine Won't Wait 2024 (PDF) contains a total of 37 recommendations and dozens of actions across the seven overarching strategies. The strategies within the Climate Action Plan can also serve a dual purpose for communities in Maine by providing areas where specific actions can be taken. These strategies are listed below and are referenced in relevant sections.

- Strategy A: Embrace the Future of Transportation in Maine
- Strategy B: Modernize Maine's Buildings: Energy Efficient, Smart, and Cost-Effective Homes and Businesses
- Strategy C: Transition to Clean Energy
- Strategy D: Create Jobs and Grow Maine's Economy through Climate Action
- Strategy E: Protect the Environment and Natural and Working Lands and Waters in Maine
- Strategy F: Build Healthy and Resilient Communities
- Strategy G: Engage With Maine People on Climate Action

Maine's Climate Action plan is a blueprint for bold, specific, and immediate action requiring transformational changes in the way Maine produces and consumes energy and incorporates climate change impacts and principles into day-to-day decision-making. Implementing the plan has required a collective effort across state agencies and with individuals, businesses, organizations, and leaders in Maine. Many of the topics and actions included in this Workbook align with strategies and recommendations identified in *Maine Won't Wait*. Best practices presented in this workbook represent areas where communities might inventory their own actions to identify gaps and pursue further action using the resources and guidance provided.

## 5.4.2 <u>Community Resilience Partnership</u>

The Governor's Office of Policy Innovation and the Future (GOPIF) launched the <u>Community</u> <u>Resilience Partnership</u> on December 1, 2021. Through grants and direct support to municipal and Tribal governments, the Community Resilience Partnership assists communities to reduce carbon emissions, transition to clean energy and become more resilient to climate change effects such as extreme weather, flooding, rising sea levels, public health impacts, and more.

Communities in Maine can join the Partnership individually, or through a regional group, after completing three simple steps including (1) adopting a resolution of commitment, (2)

Section 5 - Community Resilience Workbook

completing a pair of self-assessments, and (3) holding a community workshop to prioritize initial climate resilience and clean energy actions. Participation in the Partnership is open to all municipalities and federally recognized Tribes in Maine.

Communities with a record of climate action may join the Partnership by reviewing past activities, completing the self-assessments, providing proof of a qualifying community workshop, and passing or amending a resolution. Communities yet to begin climate action can choose to complete the steps on their own but may find greater benefit in working with a service provider or neighboring communities to join the Partnership as a group.

Community Action Grants can support two categories of climate action by communities: (1) actions from the List of Community Actions, an approved list of climate mitigation and adaptation activities that align with the strategies of *Maine Won't Wait*, and (2) other projects proposed by a community that support capacity building, planning, and implementation projects.

These options offer guidance for communities starting on climate plans and incentivize a baseline level of climate action across the state. They also provide flexibility by allowing communities to choose actions from the List that are most relevant and feasible, while also providing support for community climate and energy priorities that may not appear on the List of Community Actions.

Municipal officials have diverse project portfolios and responsibilities. Thus, even when climate data resources or tutorials target municipal audiences, these efforts may assume more specialization than is typical for municipal decision-makers.

Targeted information such as place-based forecasts for climate change, precipitation patterns, sea-level rise and storm surge hazards, can hugely improve projects to improve resiliency, but understanding this information requires a specialized expertise beyond the scope of many officials. Municipal or Tribal decision makers need accurate projections using the latest climate science and for those projections to be packaged as actionable guidance. Yet, the scope of public officials' and department leaders' daily activities also limits their availability to gain expertise within discrete subject matter. Thus, we need **climate adaptation practitioners** and boundary-spanning entities to provide services at the scale of individual municipalities.

Especially in coastal Maine, and at a time when community climate change adaptation has not matured into routine operations, it is essential to foster partnership and collaboration between municipal leadership and climate change practitioners. Staff at many university programs, NGOs, and consulting and engineering organizations are interested and available to collaborate with local efforts. County, regional and State level governing bodies also have staff with experience in climate resilience. It is important to acknowledge the social nature of collaboration and to start making connections with local and regional organizations. The Maine Climate Science Information Exchange Office upholds an inventory of climate active climate research and scientific expertise in Maine. The Peer- to-Peer connections on the Climate Change Adaptation Provider's (CCAP) Network website describe opportunities for community representatives to attend meetings and conferences that connect climate change practitioners state-wide. As communities engage with networks of practitioners, find out what motivates them and their respective institutions and explore ways that their mission and the needs of a community can overlap.

Connecting climate change practitioners with municipalities also improves the positioning of communities to acquire outside resources through various pathways. A discrete example is when practitioners share information about funding, assistance, or training opportunities available for towns. Similarly, climate practitioners can be helpful in crafting town proposals that are competitive in a landscape of grants with often exclusive academic parlance. More broadly, when practitioners collaborate directly with municipalities, critical insights can be gained regarding the most important barriers and opportunities to engage in adaptation to climate change. Practitioners and their networks are often part of broader contexts of developing research agendas and policies for climate resilience. Thus, practitioners' experiences from collaboration at the municipal scale can help shape institutional priorities to better meet community needs. All these steps align with the 'Consider Community and Landscapes' step of the Resilience Building Framework (Figure 1).

Section 6 - Community Resilience Workbook

## 6.1 Regional Planning and Economic Development Organizations

There are currently ten regional planning and economic development organizations throughout Maine. Each organization has a geographic scope, with several spanning more than one county. <sup>18</sup> The Maine Municipal Planning Assistance Program (MPAP) maintains a map of geographic areas covered by each organization on their website, along with a directory of the organizations' websites and contact information. <sup>19</sup> You can refer to this map and directory to find which organization provides services in your community. <sup>20</sup>

## 6.1.1 Androscoggin Valley Council of Governments

The <u>Androscoggin Valley Council of Governments</u> (AVCOG) has assisted communities with road washouts and culvert repairs due to flooding and storms and now also provides services related to the Community Resilience Partnership. AVCOG now has a regional resiliency coordinator and an environmental and resiliency planner. In the past, the primary climate adaptation program that AVCOG has worked on with their communities was the <u>Maine Department of Environmental Protection (DEP) grant</u> for stream culvert upgrades. They receive limited funding from the Maine Municipal Planning Assistance Program to help communities in applying for the DEP funds. Outside of these sources, AVCOG has not historically had funding for climate resilience work as an inland region. There has been less demand for these services among the communities they serve because assistance is provided on a fee-for-service basis.<sup>21</sup>

## 6.1.2 Hancock County Planning Commission

The <u>Hancock County Planning Commission</u> (HCPC) offers services to towns in their region ranging from Comprehensive Planning, economic development planning, climate resilience, recreational trails, transportation planning, and development review. They provide towns with assistance in identifying and preparing grant applications for multiple municipal and regional needs.

## 6.1.3 <u>Lincoln County Regional Planning Commission</u>

The <u>Lincoln County Regional Planning Commission</u> (LCRPC) provides service to municipalities throughout Lincoln County. In addition to services in economic and community development, LCRPC has a regional brownfields assessment program, a wide range of municipal planning services, and resilience staff. They issue "LCRPC Interprets!" a monthly climate newsletter with a focus on a particular topic from Maine Won't Wait and guidance on how municipalities can address the issue and find connections, action opportunities, and community resources.

## 6.1.4 Northern Maine Development Commission

The <u>Northern Maine Development Commission</u> (NMDC) offers the following services to municipalities in their region that are working on climate adaptation: grant writing, program

https://www.maine.gov/dacf/municipalplanning/technical/regional council.shtml

<sup>21</sup> Lauren Jordan, email message to author, October 4, 2021 and Shelly Norton, email message to author, October 5, 2021.

<sup>&</sup>lt;sup>18</sup> EDA directory of Maine economic development organizations website, https://eda.gov/resources/directory/states/me.htm

<sup>&</sup>lt;sup>19</sup> Maine Regional Councils, 2024. Accessed on October 11, 2024 from:

<sup>&</sup>lt;sup>20</sup> Current as of late 2024.

Section 6 - Community Resilience Workbook

development, project management, planning services, loans, and business counseling. As described in Section 5.3, NMDC and The Nature Conservancy (TNC) are also collaborating on a community resilience project funded by GOPIF.<sup>22</sup> The GROWashington Aroostook Plan and consortium in particular, which is co-lead by NMDC, focuses on climate change and infrastructure resilience.

## 6.1.5 Eastern Maine Development Corporation

The <u>Eastern Maine Development Corporation</u> (EMDC) is prioritizing climate resilience through the July 2021 release of the <u>Comprehensive Economic Development Strategy (CEDS)</u>, a five-year plan for regional economic development. The CEDS presents actionable strategies within five goal areas, one of which is Climate Resilience. A significant part of the CEDS implementation process will be expanding the technical assistance framework EMDC provides to include climate adaptation and resilience services.<sup>23</sup> EMDC formed a regional planning commission in 2022 and provides planning and land use services in Penobscot and Piscataguis counties.

The Climate Resilience Goal is to foster methods of adaptation and mitigation to strengthen the region's resilience against climate-related impacts. The first strategy to meet this goal is to support the goals and strategies of Maine's Climate Action Plan through the following objectives: develop a regional climate needs assessment, identify buildings and transportation infrastructure of key concern, and provide specialized technical assistance to communities. The second strategy is to support clean energy through assisting with grant development for projects that support renewable energy generation and climate adaptation and encouraging investments in climate-ready infrastructure.

The CEDS provides readers with data that quantifies the impacts of climate change on the regional economy and the greater consequences if immediate action is not taken. The report acknowledges that "Without increased technical assistance and impactful steps towards mitigation, the region risks job loss and decreased productivity in key sectors such as tourism, agriculture, forestry, and transportation". More information is available on the EMDC website: <a href="https://www.emdc.org/resources/comprehensive-economic-development-strategy/">https://www.emdc.org/resources/comprehensive-economic-development-strategy/</a>

## 6.1.6 Kennebec Valley Council of Governments

The Kennebec Valley Council of Governments (KVCOG) is a leader for local and regional planning, economic development, and strives to support the capacity of local governments in the Kennebec Valley. KVCOG is an active Service Provider in the Community Resilience Partnership providing municipalities with hands-on technical assistance to build resilience, address the impacts to climate change, and support community members. KVCOG also assists communities in grant writing, project development, and municipal planning services. The KVCOG Community and Economic Development Strategy 2022-2027 recognizes the steadfast resiliency of the KVCOG region and seeks to leverage the collective assets and unique character to not just grow but thrive.

<sup>&</sup>lt;sup>22</sup> Jay Kamm, email message to author, October 4, 2021.

<sup>&</sup>lt;sup>23</sup> Anna Stockman, email message to author, October 4, 2021.

Section 6 - Community Resilience Workbook

Their Resilience Series is one approach to engaging the community, and KVCOG has held webinars on culverts, flood preparedness, and planning, amongst other topics. Information from these and other outreach and community engagement efforts around resiliency will be included into their 5-year Comprehensive Economic Development Strategy (CEDS), implemented in 2022.

## 6.1.7 Midcoast Council of Governments

The Midcoast Council of Governments (MCOG) is a membership driven organization serving the entirety of Sagadahoc and Knox counties, and select communities in Cumberland County and Waldo County. MCOG was formerly the Mid-coast Economic Development District. In addition to traditional planning services, MCOG remains the Economic Development Administration (EDA) designated economic development district for the region; it identifies funding sources and assists with grant writing as part of membership dues. In Damariscotta, MCOG (then called MCEDD) assisted with grant writing in 2019 to receive funding from the Economic Development Administration (EDA) and Federal Emergency Management Association (FEMA) for their future floodwall and stormwater system.<sup>24</sup>

## 6.1.8 Greater Portland Council of Governments

The <u>Greater Portland Council of Governments</u> (GPCOG) supports both inland and coastal towns in the region on data collection, planning, and project implementation for climate action. Their Municipal Climate Action Planning program describes a series of projects to help support resilience in the GPCOG region. Specific projects and partnerships are described in the following sections of this workbook:

- Sustainability data and mapping (Section 4.1)
- Resilience Pilot program with Bridgton and Windham (Section 5.3)
- Climate Ready Casco Bay (Section 5.3)
- **GOPIF Community Resilience Partnership** as a CRP service provider supporting climate action grants for members.
- Community Intertidal Data Portal (Section 5.3)

## 6.1.9 Southern Maine Planning and Development Commission

The <u>Southern Maine Planning and Development Commission</u> (SMPDC) offers services to their communities and manages multiple regional resiliency projects. These are further described in Section 5.3. More information is available on their website:

https://smpdc.org/sustainability\_resilience

## 6.1.10 Sunrise County Economic Council (SCEC)

The Sustainable Prosperity Initiative (SPI) at SCEC supports Washington County communities in developing a sustainable natural resources economy. The initiative operates on the principle of "Bounce Forward Resilience," which emphasizes embracing and planning for change rather than returning to previous states. SPI implements ten key elements including acceptance, inclusion, and fairness. The initiative focuses on building regional resilience through local action, collaboration, and systems thinking. By supporting healthy ecosystems, community

<sup>&</sup>lt;sup>24</sup> Maxwell Johnstone, email message to author, October 1, 2021.

Section 6 - Community Resilience Workbook

agency, and strategic planning, SPI helps communities adapt to changing conditions while maintaining sustainable prosperity.

## 6.2 Maine County Commissioners and County-based Organizations

The voters in each of Maine's 16 counties elect three, five, or seven commissioners to four-year terms to oversee the operation of county government. Each commissioner serves a separate district within the county. Commissioners are the counties' chief elected officials and are ultimately responsible for the fiscal operations and policy decisions affecting county government. Additional duties include municipal tax abatement appeals and hearings on maintenance of town roads. They also serve, in effect, as the municipal officials in Maine's many unorganized territories. Maine's counties belong to the Maine County Commissioners Association.

In Maine, emergency management is coordinated regionally by Emergency Management Agencies (EMAs) in each of Maine's 16 Counties. County Commissioners appoint County Directors, and they are funded partly by the county and partly by federal funds provided through the Maine Emergency Management Agency (MEMA). A directory of the county EMAs is available on the MEMA website.

County EMAs provide an invaluable link between the almost 500 cities and towns in Maine, and the State. They provide support and leadership in preparedness, response, recovery, and mitigation to their local, business and volunteer partners.

**Soil and Water Conservation Districts** (SWCDs) are subdivisions of the State Government, governed by locally elected Boards of Supervisors. There are sixteen SWCDs in Maine which generally correspond to county boundaries, although there are a few exceptions (see Table 5). SWCDs establish local priorities for conservation efforts. Emphasis is on agriculture and forestry although urban development is a priority in some districts. SWCDs hold workshops, set up demonstrations, offer educational programs, review development plans, and set priorities for one-on-one technical assistance, at the request of land occupiers.<sup>25</sup>

<sup>&</sup>lt;sup>25</sup> Maine DACF, Soil and Water Conservation Districts website, https://www.maine.gov/dacf/about/commissioners/soil\_water/index.shtml

Table 5 – County and regional organizations

County Commissioners	Soil & Water Conservation District	Other County/Regional Planning and Economic Development Corporations
<u>Androscoggin</u>	Androscoggin Valley	Androscoggin Valley Council of Governments
Aroostook	St. John Valley Central Aroostook Southern Aroostook	Northern Maine Development Commission
<u>Cumberland</u>	Cumberland County	Southern Maine Planning and Development Commission (Baldwin only) Greater Portland Council of Governments Midcoast Council of Governments (Brunswick and Harpswell only)
Franklin	Franklin County	Androscoggin Valley Council of Governments
Hancock	Hancock County	Hancock County Planning Commission
<u>Kennebec</u>	Kennebec County	Kennebec Valley Council of Governments
Knox	Knox-Lincoln	Midcoast Council of Governments
Lincoln		Lincoln County Regional Planning Commission
<u>Oxford</u>	Oxford County	Androscoggin Valley Council of Governments  Southern Maine Planning and Development Commission
<u>Penobscot</u>	Penobscot County	Eastern Maine Development Corporation
<u>Piscataquis</u>	Piscataquis County	Eastern Maine Development Corporation
Sagadahoc	Androscoggin Valley	Midcoast Council of Governments
Somerset	Somerset County	Kennebec Valley Council of Governments

# Finding Technical Assistance Section 6 - Community Resilience Workbook

County Commissioners	Soil & Water Conservation District	Other County/Regional Planning and Economic Development Corporations
Waldo Washington	Waldo County  Washington County	Midcoast Council of Governments Kennebec Valley Council of Governments (western Waldo County) Sunrise County Economic Council
<u>York</u>	York County	Southern Maine Planning and Development Commission

Section 6 - Community Resilience Workbook

## **6.3 Volunteer or Fellowship Programs**

When evaluating capacity to conduct a particular project, there are opportunities for support beyond municipal staff, volunteers, and consultants. Depending on the type of project and availability of a supervisor or mentor, there are fellowship programs where young adults, students, or recent graduates can add capacity for a term, summer, or up to one year. This section describes some of the local fellowship programs in Maine.

## 6.3.1 AmeriCorps Resilience Corps

The Greater Portland Council of Governments (GPCOG) hosted the first cohort of Resilience Corps in 2021. Resilience Corps is a partnership between GPCOG and AmeriCorps, which supports local government agencies, nonprofit agencies, and regional cohorts in the Greater Portland area in their recovery from the COVID-19 pandemic. Fourteen Resilience Corps members assisted projects related to regional, community, or organizational resilience and helped to accelerate civic innovation, climate action, racial equity, and digital equity for all Mainers. There was a new cohort of fellows in 2022. The mission of this program is to add new capacity in local governments to adapt and proactively respond to both short-term and long-term challenges to economic, social, and environmental systems throughout the region.

## 6.3.2 Maine Climate Corps

The Maine Climate Corps Network launched in 2022; the Corps was recommended in *Maine Won't Wait* and created in State statute. Coordinated by Volunteer Maine, this network of service corps programs implements climate action projects, provides professional and personal development for the members who serve, and strives to achieve its goals through volunteerism and civic engagement. Currently, 11 programs are in the Maine Climate Corps Network, spanning energy efficiency, community forestry, resilience planning, and more. Members serve from a summer term up to a 2-year fellowship. The Maine Climate Corps Network is an implementing partner of the American Climate Corps.

Communities that need additional capacity and are also committed to workforce development should explore being a host site or sponsor for a Maine Climate Corps program. For the most up to date list of programs, please visit: <a href="https://volunteermaine.gov/programs/climate-corps-network">https://volunteermaine.gov/programs/climate-corps-network</a>

If the existing programs' geographic or sector focuses do not meet your community's needs, or you need more than 1 full time equivalent (FTE) to implement your project, you may consider launching your own Climate Corps program. AmeriCorps State funds are available from Volunteer Maine to launch service programs with as few as 2 full-time members. Climate Corps programming is a current funding priority for Volunteer Maine. Grant competitions are typically held two times a year. Visit <a href="https://volunteermaine.gov/grants/funding-proposals-request">https://volunteermaine.gov/grants/funding-proposals-request</a> for the most up to date information about grant competitions.

For questions contact service.commission@maine.gov

Section 6 - Community Resilience Workbook

## **Americorps Shore Corps:**

Maine's Shore Corps Stewards program will launch in 2025, aimed at strengthening coastal resilience across the state. This AmeriCorps-supported initiative will place trained Stewards in various communities to promote and implement nature-based solutions for shoreline stabilization.

Stewards will receive specialized training from the DEP Nonpoint Source Training Center, equipping them to serve as local resources for sustainable shoreline management. Their work will include conducting site assessments, providing guidance on green infrastructure and native plantings, and organizing hands-on demonstration projects to educate community members.

This program represents a significant step forward in Maine's commitment to coastal resilience, offering communities practical support and expertise in implementing nature-based solutions. Through education, outreach, and direct assistance, Shore Corps Stewards will help Maine communities better prepare for and adapt to changing coastal conditions.

## 6.3.3 Island Fellows Program

The Island Institute Fellows program places recent college and master's degree graduates in Maine's coastal and year-round island communities for two-year fellowships. This provides a unique opportunity for graduates to apply their skills and gain experience in building sustainability within communities facing various challenges.

The Island Institute Fellows Program supports Maine's island and coastal communities by:

- Strengthening local management of historical, cultural, natural, economic, and informational resources.
- Assisting with local research, planning, education, and technology projects.

Island or remote coastal organizations apply to Island Institute with a community-supported project that could benefit from an extra set of hands. The Institute then matches highly qualified recent graduates to live for 24 months in the community. Immersion in the community connects Fellows directly with islanders, providing an intimate understanding of island life and the needs and opportunities around the identified project. Fellows are expected to be active community participants and share their experiences and skills.

## 6.3.4 Other Local Fellowship and Internship Programs

Please see Table 6 for a list of relevant programs that communities may plan to use when following the Resilience Building Framework (Figure 1).

Table 6 Fellowship, volunteer, and internships programs

Organization	Type of program(s)	Contact
<u>Bates</u>	Purposeful Work Internships, national fellowships, environmental internships, environmental capstone courses	Bates Center for Purposeful Work
Bowdoin College	National fellowships, summer fellowships, academic year research	<u>Cindy Stocks</u> , Director of Student Fellowships and Research
<u>University of Maine</u>	<ul> <li>The Bodwell Center for Service and Volunteerism</li> <li>Student Success and Credential Attainment</li> <li>National Research Traineeship (NRT) in Conservation Science,</li> <li>Innovate for Maine,</li> <li>Mitchell Center for Sustainability Solutions</li> <li>Maine Climate Science Information Exchange Internship Program</li> </ul>	See contact information for each of the programs listed to the left.
University of Southern Maine	Data Innovations Project - Applied Research Fellowship	Becky Wurwarg
<u>Volunteer Maine</u>	<ul><li>AmeriCorps, Maine Service Fellows</li><li>Maine Climate Corps</li></ul>	Volunteer Maine Service Commission
<u>Island Institute</u>	Island Fellows Program providing residential fellowships supporting island and coastal communities.	Lisa Millette

Note: The organizations and programs listed are a sample of those available in the state, and do not represent an exhaustive list.

# 6.4 Nonprofits and Academia

There are many nonprofits and academic institutions in the state that have climate programs and projects, from research and monitoring to adaptation and mitigation. Table 7 illustrates program areas and/or services offered by a selection of organizations and institutions. This information represents a snapshot of programs and services that will change, so please visit their websites for more information. This is not an exhaustive list, as there are many other local organizations and academic institutions working on climate-related issues.

Table 7 Organizations that focus on climate adaptation and may provide resilience-related technical support.

Organization	Program Areas/Services*	Contact
A Climate to Thrive	A Climate to Thrive builds community-driven, equitable climate solutions. Based in Mount Desert Island and focused on renewable energy, transportation, building performance, zero waste, food systems, and public policy.	admin@aclimatetothrive.org
Casco Bay Estuary Partnership	Research and monitoring; Casco Bay Academy (municipal training); State of the Bay (5-year monitoring reports); Casco Bay Monitoring Network and Plan; habitat protection and restoration; data clearinghouse	cbep@maine.edu or Director: Curtis Bohlen
Center for an Ecology- Based Economy	CEBE expands local awareness of the climate crisis and offers accessible and economically viable solutions work for climate justice in underserved, rural western Maine communities.	Call 207.739.2101 Email: info@ecologybasedeconomy.org
<u>Downeast Institute</u>	Marine research, shellfish hatchery, ocean acidification lab, and education	info@downeastinstitute.org For research questions: Dr. Brian Beal

Organization	Program Areas/Services*	Contact
First Light Initiative	Bridge between conservation organizations and Penobscot, Passamaquoddy, Maliseet and Micmac Communities to expand Wabanaki stewardship of land.	info@firstlightmaine.org  To learn about joining the  Community:  Kara Wooldrik
Friends of Casco Bay	Science - water quality monitoring and analysis, Baykeeping, community engagement	Staff Scientist: Mike Doan Casco Baykeeper: Ivy Frignoca
Gulf of Maine Research Institute	Climate Center; preparing communities for sea level rise; middle-school climate education (LabVenture); resilience training; fisheries modeling; convening	info@gmri.org or Municipal Climate Action Program Manager Gayle Bowness
Island Institute	Community-based climate planning focused on energy transitions and sea level rise adaptation; marine electrification; seaweed and shellfish aquaculture; working waterfront preservation; funding programs; training programs	info@islandinstitute.org Director, Center for Climate and Community: Susie Arnold Director, Center for Sustainable Communities: Kate Tagai Director, Center for Marine Economy: Sam Belknap
Maine Climate Science Information Exchange Office	A statewide office of information coordination for climate science and resilience located at the University of Maine.	Director: Ivan Fernandez Associate Director: Parker Gassett
Maine Sea Grant	Healthy coastal ecosystems; resilient communities and economies; preparing for climate change; safe and sustainable seafood; environmental literacy and workforce development	umseagrant@maine.edu Senior Extension Program Manager Community Engagement: Kristen Grant

Organization	Program Areas/Services*	Contact
Manomet	Research and monitoring - fisheries, forestry, and agriculture; Climate Smart Land Network (CSLN); education; watershed resiliency; green infrastructure; habitat resiliency (coastal and migratory birds)	Senior Director of Fisheries: Marissa McMahan
Mitchell Center for Sustainability Solutions at the University of Maine	Community partnerships, sustainability science, environmental justice, education and professional networking, collaborative grant opportunities	umgmc@maine.edu or Director: David Hart
New England Environmental Finance Center	Capacity building and technical assistance to help communities fund and finance climate adaptation and resilience, stormwater management, drinking water and wastewater infrastructure, and pollution prevention across New England.	efc@maine.edu or Director: Martha Sheils Deputy Director: Chloe Shields
The Nature Conservancy	Sea level rise and inland flooding planning and adaptation; decision support tools; financial and technical resources for community adaptation programs; incorporating social vulnerability consideration in adaptation planning; nature-based solutions; hazard mitigation.	naturemaine@tnc.org  or  Climate Adaptation Program  Director: Jeremy Bell
Wells National Estuarine Research Reserve	Lobster and shellfish biology; water quality monitoring; sea level rise planning and adaptation; Southern Maine watershed protection efforts; municipal and teacher training programs; environmental education	Research Director: Jason Goldstein Coastal Program Training Director: Jessica Brunacini

<sup>\*</sup>Listed program areas/services represent a sample of climate-related services. Please visit the respective websites for a complete list.

## 6.5 Maine-based Consultants

There are many consultants and businesses that provide technical assistance, project management, and program development services. Some are national or international businesses, and others are small, independent businesses. Whether large or small, local expertise and knowledge of your community is key. Table 8 includes a sample of businesses that are either based in Maine or have offices in Maine. These businesses were selected primarily because of their work on local projects. Inclusion in the workbook does not imply endorsement by any of the authors or funders.

Table 8 A list of Maine-based consultants, their service areas and example projects

Company	Location(s)	Key Service Areas*	Local Projects**
FB Environmental	Portland, ME; Dover, NH	Climate change monitoring, impact analysis, municipal resilience planning, vulnerability analysis, adaptation strategies, stakeholder engagement, capacity building, resilient infrastructure planning and design	Bar Harbor, Maine Flood Resilience Checklist Wells; Maine Flood Resilience Checklist (w/ SMPDC); model coastal resilience ordinance with Tremont, Wells, Kittery, Vinalhaven and South Portland; Cape Elizabeth, Living Shoreline Project; Blue Hill, Living Shoreline Project; coastal resilience assessment for the Town of Gouldsboro; Comprehensive plan updates for the towns of Wells and Bar Harbor (climate resiliency focus)
GEI Consultants	Portland, ME; Nationwide	Municipal infrastructure, coastal and waterfront engineering, transportation, environmental planning, climate adaptation, land use planning	Tides, Taxes and New Tactics

Company	Location(s)	Key Service Areas*	Local Projects**
<u>Linnean</u> <u>Solutions</u>	Portland, ME; Boston, MA	Climate adaptation and resilience planning; GHG inventories; climate action planning (mitigation); municipal sustainability planning; climate hazards vulnerability assessments; resilience and sustainability policy and design standards; community process design, facilitation, and training	One Climate Future (Portland/S. Portland)
Naomi Mermin Consulting	Portland, ME	Clean energy and renewable strategy, community engagement, facilitation, evaluation and planning	Lewiston Tree Streets Net Zero Energy Community Feasibility Findings and Roadmap
Rbouvier Consulting		Economic analysis, risk mitigation, social and environmental sustainability, workshop design and facilitation	Tides, Taxes and New Tactics City of Portland Integrated Stormwater & Wastewater Management Plan Or Carrying Capacity of the Blue Hill Peninsula
Resilience Works	Brooksville, ME; New Jersey	Resilience funding and financing, community Initiative Leadership,	GOPIF Community Resilience Pilot
Waterview Consulting	Harpswell, ME	Project management; needs assessment; strategic communication; graphic design; writing and editing; co-production of knowledge; information collection, analysis, and synthesis	Casco Bay Climate Vulnerability Report (CBEP)

<sup>\*</sup>Listed service areas represent a sample of services. Please visit the respective websites for a complete list.

<sup>\*\*</sup>Listed local projects may not represent all relevant projects in Maine.

## 6.6 State, Tribal, and Federal Partners

The Maine Interagency Climate Adaptation (MICA) Work Group is coordinated by the Department of Environmental Protection (DEP) and has representatives from eight state agencies sharing the information forum. The group continues a 2013 Governor's request to create an interagency effort to coordinate state adaptation activities (the Environment and Energy Resources Work Group). Members consolidate resources for adaptation, resilience, and mitigation, and collaborate on opportunities for cross-agency projects including making available existing information and assistance opportunities on the state climate webpages and Maine Adaptation Toolkit. More information, including a list of the state agencies and relevant programs, is available online: <a href="https://www.maine.gov/dep/sustainability/climate/mica.html">https://www.maine.gov/dep/sustainability/climate/mica.html</a>

President Biden's Executive Order 14008 (January 28, 2021) required major Federal agencies to develop an adaptation and resilience plan to address their most significant climate risks and vulnerabilities. On October 7, 2021, the White House announced the release of more than 20 Federal Agency Climate Adaptation and Resilience Plans. As part of these efforts, agencies will integrate adaptation and resilience planning and implementation throughout their operations and programs and will continually update their adaptation plans. The agency plans are available online: <a href="https://www.sustainability.gov/adaptation/">https://www.sustainability.gov/adaptation/</a>

Wabanaki Tribal Nations actively steward and manage natural resources and many of these activities are led through their respective natural resource departments as listed in Table 9. Representatives of these departments are involved in many collaborative efforts with state and federal agencies, non-profit organizations, and academia.

Table 9 Wabanaki Tribal Nations natural resource programs and contact information

Tribal Nation	Programs	Contact Information
Mi'kmag Nation	Air quality, drinking water quality, indoor air quality, natural resource management, emergency planning and preparedness, environmental lab, and environmental education	codonnell@micmac-nsn.gov
Houlton Band of Maliseet Indians	Environmental planning, environmental protection and forestry, water resources, and real estate services	http://naturalresources.malis eets.com/contact-us/

Tribal Nation	Programs	Contact Information
Penobscot Nation	Department of Natural Resources: Air quality, brownfields, conservation law enforcement, big game biology, fisheries, forestry, GIS mapping, and water resources	https://www.penobscotnatio n.org/departments/departm ent-of-natural- resources/natural-resources- staff- contact/https://www.penobs cotnation.org/departments/ natural-resources/staff- contact
Passamaquoddy Tribe - Indian Township Reservation	Water resources	https://www.passamaquodd y.com/?page_id=7
Passamaquoddy Tribe - Pleasant Point Reservation	Environmental planning, water quality, brownfields, GIS, environmental science and fisheries, and climate change	Email Marvin Cling, Sr. (marvin@wabanaki.com) or call (207) 853-5134

## 8.7 Federal Technical Assistance Opportunities

The Department of Energy and the National Renewable Energy Laboratory offer technical assistance programs that are open to municipalities, utilities, and community-based organizations. Two key programs they offer are the Energy Transitions Initiative Partnership Project (ETIPP) and Clean Energy to Communities (C2C).

## 8.7.1. Energy Transitions Initiative Partnership Project (ETIPP)

The Energy Transitions Initiative Partnership Project (ETIPP) is meant for island, coastal, and remote communities that are looking to address community energy challenges. Through this program, a community, utility, or community-based organization engages with the National Renewable Energy Laboratory for technical expertise and the regional partner, Island Institute, to proactively identify and implement unique strategic changes. The program is generally 18-24 months long, requires community buy-in from stakeholders beyond the applicant, and is community-driven.

Island Institute is the Northeast Regional Partner for ETIPP; if you are interested in learning more about the program or would like to apply, reach out to Jamie Cook (jcook@islandinstitute.org).

Section 6 - Community Resilience Workbook

## 8.7.2 Clean Energy to Communities (C2C)

Clean Energy to Communities (C2C) offers three tracks for technical assistance led by the National Renewable Energy Laboratory. In-Depth Partnerships are a 3-year long partnership with local governments, community-based organizations, and utilities. This track is meant for communities interested in sustained support through project design and deployment. The second track is Peer-Learning Cohorts, which is a 6-month time commitment where communities have the opportunity to learn from similar communities with expert guidance about clean-energy topics. The third track is expert match, the shortest time commitment, only equaling about 40-60 hours of technical assistance tailored to an individual community's renewable energy, energy efficiency, or transportation electrification support. This is generally for communities that want to explore solutions to an energy challenge but lack the capacity and/or expertise for an analysis.

There are several professional development opportunities throughout the state and region. Each training is geared toward a specific skill, process, tool, or resource. The Climate Change Adaptation Provider's (CCAP) Network website compiles opportunities on its <u>peer-to-peer connection webpage</u>. The other opportunities listed in this section offer training courses at different intervals, some quarterly, while others are annual opportunities. Many of these offerings are low or no cost to participants. The regional planning organization listed in Section 8.1 may also be able to provide information on other training opportunities. In addition to training, there are workshops and professional associations that offer development and networking opportunities.

Why is it important to invest in professional development?

- Provide leadership to increase staff capacities and capabilities to obtain the knowledge and skills needed to respond to adaptation needs.
- The absence or limited capacity of adaptation experts across society, and particularly in municipalities, can impede participation in existing programs and integrating adaptation concepts into processes and decision-making.
- Investing in staff professional development for knowledge and skill building to address challenges of limited capacity or expertise.
- Creating peer-to-peer training opportunities for knowledge-sharing.

# 7.1 Ongoing Training

## 7.1.1 Community Resilience Informed by Science and Experience (C-RISE)

Coastal rural communities have deep cultural connections to and rely heavily upon the marine environment and economy. Due to their remoteness, isolation from central planning agencies, and lack of financial and municipal resources, they are highly vulnerable to climate impacts such as sea level rise. The Gulf of Maine Research Institute (GMRI) and key project partners, Upswell and the Island Institute, have developed, and now convene and facilitate, community resilience trainings. Through them, Maine's rural coastal communities can increase their capacity to plan and prepare for coastal climate impacts by developing the knowledge, skills, and relationships necessary to create data- and community-informed climate resilience plans. These trainings engage resilience professionals in Maine to share and represent their resources as communities apply those to their newly acquired skills and frameworks for community planning and decision making. Community leaders from the regional trainings continue their learning through participation in a professional learning community. Community resilience trainings build climate literacy and capacity for developing coastal resilience plans that benefit the social, environmental, and economic health of the community and align with Maine's Climate Action Plan.

Section 7 - Community Resilience Workbook

The development and implementation of this project is guided by an advisory group that includes representatives from NOAA's Office for Coastal Management, Maine Sea Grant, Wells National Estuarine Research Reserve, the State of Maine's Governor's Office of Policy Innovation and the Future, Maine Geological Survey, Maine Department of Environmental Protection, the Town of Vinalhaven, and the Town of St. George. Researchers at the University of Maine, Orono are serving as project evaluators.

## 7.1.2 <u>Coastal Training Program (CTP)</u>

The <u>Coastal Training Program</u> provides science-based information, tools, and skills for better managing coastal resources. The CTP is managed by Wells National Estuarine Research Reserve, and offers technical assistance, trainings, and workshops. Their primary audiences are municipalities (staff, boards, committees), land trusts and property owners, state and federal agencies, public utilities (water, sewer, energy), as well as developers and contractors.

## 7.1.3 Casco Bay Coastal Academy

<u>Casco Bay Coastal Academy</u> is a quarterly workshop series aimed at building the knowledge base of municipal board members about critical coastal issues and providing skills training to support their project planning and implementation. Casco Bay Academy is offered through a partnership between Casco Bay Estuary Partnership, the New England Environmental Finance Center, and Cumberland County Soil and Water Conservation District.

## 7.1.4 <u>Climate Resilience Funding Workshop Series</u>

The New England Environmental Finance Center organized a series of workshops in 2020 focused on crafting successful proposals toward sustainable financing of climate resilience and stormwater related projects. This series offered knowledge sharing, idea exchange, and real-world advice and inspiration. Participants were led through sessions that built upon one another, from understanding what grant agencies look for in their applications, to beginning the process of establishing sustainable financing sources. Workshop presentations and a Community Funding Guidance Series are posted on the NEEFC webpage: Climate Resilience Funding Workshop Series. Check the webpage for future training opportunities.

## 7.1.5 Island Institute's Trainings and Events

The Island Institute hosts a number of <u>events</u>, <u>workshops</u>, <u>and conferences</u> throughout the year for community leaders, small businesses, educators, artists, fishermen, and island and coastal residents to increase <u>resilient leadership</u> in their communities.

#### 7.1.6 <u>Facilitation Training</u>

Maine Sea Grant offers customized facilitation training for organizations whose mission aligns with theirs. For more information, visit: <u>Sea Grant Facilitation Skills Training.</u> Good Group Decisions in Brunswick, Maine offers meeting facilitation training, amongst other training seminars. For more information, visit: <u>Craig Freshley's Trainings on How to get Along.</u>

Section 7 - Community Resilience Workbook

## 7.1.7 <u>Leadership Development for Systemic Resilience</u>

Regenerative Change Lab offers leadership development courses, workshops, retreats, and coaching for courageous leaders who are working to generate lasting positive change for themselves, their teams, and their organizations and communities.

The program helps leaders become Future Ready, using living systems principles and change leadership practices to better navigate today's complex challenges, increase systemic resiliency, and create conditions that are life-affirming for all.

## 7.1.8 Wabanaki Reach Workshops

<u>Wabanaki Reach</u> is a nonprofit organization with the following mission: "We support the self-determination of Wabanaki people through education, truth-telling, restorative justice, and restorative practices in Wabanaki and Maine communities. We design our structures and processes to be responsive to Wabanaki communities and beneficial to Wabanaki people."

They convene Wabanaki Reach Workshops, which provide an opportunity to reflect on histories of colonization and ways to transform injustices that Wabanaki Tribes continue to face and resist. These workshops are designed for non-Native people and include a brief history of U.S. government relationship with Native people, awareness of white privilege, and an introduction to decolonization.

## 7.1.9 U.S. Climate Resilience Toolkit Training Guides

In October 2022, NOAA and partners released <u>Implementing the Steps to Resilience: A Practitioner's Guide</u>, a handbook for national climate resilience. The book, with accompanying online resources, is designed to help climate adaptation practitioners work with local governments and community organizations to incorporate climate risk into equitable, long-term decision-making. With this user-friendly guide, resilience and adaptation professionals can learn how to implement the <u>U.S. Climate Resilience Toolkit</u>'s <u>Steps to Resilience</u>.

All five of the guides are available in the NOAA Institutional Repository:

- Implementing the Steps to Resilience: A Practitioner's Guide
- Ready-to-Fund Resilience supports practitioners' work within the climate resilience funding and finance system.
- <u>Centering Equity in Climate Resilience Planning and Action: a Practitioner's Guide</u> recommends equity principles to apply during adaptation and resilience planning.
- How Will We Know We're Adapting? Moving From Faith-Based to Tested Adaptation Process and Approach emphasizes evaluation and measurement of adaptation practices.
- <u>Incorporating Nature-based Solutions into Community Climate Adaptation Planning</u> uses the "Steps to Resilience" framework to explore nature-based adaptation.

# 7.2 Conferences and Symposia

There are many conferences in Maine, New England, and throughout the United States on climate adaptation and related topics. This section describes a sample of relevant and ongoing

Section 7 - Community Resilience Workbook

conferences that provide opportunities for learning, networking, and sharing your local projects.

## 7.2.1 Maine Climate Council Conference

Governor Mills and the Maine Climate Council invited city, town and Tribal leaders, interested community members, to a day-long climate conference with useful tools, resources, and how-to case studies for communities across Maine.

The first Climate Council Conference was held June 17, 2022 at the Augusta Civic Center as a Day of Inspiration, Collaboration, & Action:

https://www.maine.gov/climateplan/conferences/community

Additional meetings have been held in the lead up to the publication of Maine Won't Wait's 2024 edition. The 39-member Maine Climate Council, along with over 200 working group and subcommittee members, conducted many public meetings in 2023 and 2024 as part of the plan update, including in-person public meetings of the full council and working group members to share progress and solicit feedback. These meetings have typically been held at the Augusta Civic Center or at state office facilities, while also including virtual participation options.

## 7.2.2 Maine Sustainability & Water Conference

The Maine Sustainability & Water Conference provides an annual forum where professionals, researchers, consultants, citizens, students, regulators, and planners gather to exchange information and present new findings on sustainability and water resource issues in Maine.

Launched in 1994 by the University of Maine's Senator George J. Mitchell Center with a primary focus on the future of Maine's water resources, the conference has grown to incorporate topics related to many of the sustainability challenges facing Maine, including issues related to climate change, energy futures, agriculture, forestry, fisheries, tourism, and municipal planning. The conference attracts a broad audience of close to 400 participants from across the state.

The conference is typically held at the end of March. More information is available online: https://umaine.edu/mitchellcenter/news/maine-water-conference/

## 7.2.3 <u>Beaches Conference</u>

The <u>Beaches Conference</u> works to provide continuing opportunities for exchange of the most current information among beach and coastal stakeholders with diverse interests to facilitate informed decision-making, as well as celebrating beach monitoring and stewardship, building strong partnerships, and taking informed action on coastal issues. The conference is held everyother-year, typically during the month of June in southern Maine.

## 7.2.4 <u>Maine Partners in Emergency Preparedness Conference</u>

The Maine Emergency Management Agency sponsors this conference, along with the State Emergency Response Commission and the Maine Association of Local Emergency Managers

Section 7 - Community Resilience Workbook

(MALEM). More information is available online: https://www.maine.gov/mema/maineprepares/maine-partners-emergency-preparedness-conference

#### 7.2.5 Local Solutions Conferences

Antioch's Center for Climate Preparedness and Community Resilience partnered with the U.S. Environmental Protection Agency (EPA) and the National Oceanic and Atmospheric Administration (NOAA) to convene biennial Local Solutions climate preparedness conferences. The conferences were held in 2014, 2016, 2018, 2021, and 2023. More information from these conferences is available online: https://www.communityresilience-center.org/education-andtraining/local-solutions-conferences/

## 7.2.6 <u>Maine Fishermen's Forum</u>

Founded in 1975, the mission of the Maine Fishermen's Forum is to provide opportunities on a continuous basis to educate the public and the fishing industry about fisheries and marine resource issues, and to provide a neutral platform for constructive discussion and decision making. The Forum is held annually, always on the first weekend in March at the Samoset Resort in Rockport. The Forum is a three-day event that includes a trade show, concurrent seminars, receptions that feature local fish, and a fundraising auction. The first day of the Forum is dedicated to Shellfish Focus Day, which is hosted by Maine Department of Marine Resources (DMR) and the Shellfish Advisory Council. The following two days focus on a variety of state and federal fisheries research, conservation, and management topics, in addition to safety training and cooking demonstrations. More information is available online:

## https://mainefishermensforum.org/

## 7.2.7 Climate Work Maine Conference

Climate Work Maine Conference: Annual Summit hosted by a network of businesses and business leaders that are taking action on climate change, to meet its challenges and to seize the opportunities it presents to build a more sustainable economy for the future.

## 7.2.8 National Adaptation Forum

The National Adaptation Forum is a gathering of adaptation professionals to innovate, network, and focus on established and emerging climate adaptation issues.

There are in-person events as well as online content. The virtual Forum provides a space for the adaptation community to share best practices, learn new skills and ideas, and build networks in the time between their in-person meetings. The Forum includes opportunities for professional development through formal training sessions, facilitated practitioner presentations, and informal exchange of information in the form of in-person gatherings and online.

The goals of the National Adaptation Forum are:

- Provide a professional development opportunity for adaptation practitioners
- Contribute to the development of a community of practice around climate adaptation
- Create a space for practitioners to share information, progress, and strategy, building the capacity of the community as a whole and the individual

# **Professional Development Opportunities**

Section 7 - Community Resilience Workbook

 Support on the ground implementation by providing practitioners with a community to exchange knowledge of and tools for incorporating climate adaptation into their work

#### 7.3 Professional Associations and Networks

There are a number of professional associations and networks focusing on climate adaptation and resilience. Each organization has unique offerings, including professional certifications, training, resource libraries, and meetings. This section provides an overview of the leading organizations in the region, country, and internationally.

## 7.3.1 New England Municipal Sustainability Network

The New England Municipal Sustainability (NEMS) Network is a consortium of New England cities and towns that collaborate to build more sustainable communities consistent with the goals of the Global Covenant of Mayors. Their vision is to create a sustainable New England by building strong connections among municipal sustainability professionals throughout the region, allowing members to accomplish more than would be possible alone.

The NEMS Network consists of municipal sustainability professionals who advance mutually beneficial sustainability goals in the region through collaboration and information sharing. The NEMS Network is a recognized member of the Urban Sustainability Directors Network. The University of New Hampshire Sustainability Institute serves as the backbone organization for the NEMS Network. More information is available online: http://www.nemsnetwork.org/

## 7.3.2 <u>Association of Climate Change Officers</u>

The Association of Climate Change Officers (ACCO) is a professional development organization and cross-sector community of practice for individuals addressing climate change in their organizations' operations and mission. ACCO membership fosters collaboration with practitioners, policy makers, climate scientists and researchers to advance solutions and build capacity in addressing climate change.

ACCO offers professional development, networking, leadership development and a credential as a Certified Climate Change professional. More information is available online: https://climateofficers.org/

## 7.3.3 American Society of Adaptation Professionals

The American Society of Adaptation Professionals (ASAP) supports and connects professionals to better prepare for climate change. ASAP helps members strengthen their professional network, exchange best practices and practical advice, and accelerate innovation-all leading to a more equitable and effective climate adaptation practice. More information is available online: <a href="https://adaptationprofessionals.org/">https://adaptationprofessionals.org/</a>. Example resources:

• <u>Living Guide to the Principles of Climate Change Adaptation</u> - a synthesis of existing and aspirational principles of effective adaptation practice. The content draws on a variety of field-spanning literature describing adaptation practice, as well as ASAP member and

# **Professional Development Opportunities**

Section 7 - Community Resilience Workbook

adaptation community reflections on the state of the field.

- Knowledge & Competencies Framework for Climate Change Adaptation and Climate
   Resilience Professionals articulates a holistic set of foundational knowledge concepts and
   core competencies that are necessary for all climate change adaptation and climate
   resilience professionals. ASAP uses the Framework to guide and assess members' and
   partners' climate change adaptation and climate resilience education programs
- ASAP Code of Conduct and Professional Ethics the values, beliefs, principles, and guidelines climate change adaptation and climate resilience professionals should adhere to ensure ethical and effective practice. Individuals sign onto the Code of Ethics when they become ASAP members.

### 7.3.4 <u>International Council for Local Environmental Initiatives (ICLEI)</u>

Local Governments for Sustainability (or ICLEI) is a global network of more than 2,500 local and regional governments committed to sustainable urban development. They are active in more than 125 countries and influence sustainability policy and drive local action for low emission, nature-based, equitable, resilient, and circular development. Their members and team of experts work together through peer exchange, partnerships, and capacity building to create systemic change for urban sustainability.

ICLEI makes sustainability an integral part of urban development and creates systemic change in urban areas through practical, integrated solutions. They help cities, towns, and regions anticipate and respond to complex challenges, from rapid urbanization and climate change to ecosystem degradation and inequity.

The local and regional governments in the ICLEI network confront these challenges by incorporating sustainability into day-to-day operations and policy. ICLEI invests in the capacity and knowledge needed to design solutions and make decisions informed by data, scientific evidence and local realities and pressures. More information is available online: https://www.iclei.org/

### 7.3.5 International Society of Sustainability Professionals

The International Society of Sustainability Professionals (ISSP) is the world's leading professional association of sustainability professionals. ISSP works to make sustainability standard practice through empowering professionals to advance sustainability in organizations and communities around the globe. As a professional association, ISSP improves the skills of sustainability practitioners through ISSP Sustainability Professional Certification, education, knowledge sharing, research, and professional credentials. More information is available online: https://www.sustainabilityprofessionals.org/

#### 7.3.6 Maine's Salt Marsh Restoration Network

Maine's salt marshes are changing in the face of sea level rise, and there are concerns that some salt marsh area will decline as sea level rise inundates older marshes more quickly than marshes can accrete sediment or migrate landward, or in situations where coastal development would prevent landward marsh migration. To help track changes to salt marshes, the Maine Coastal

# **Professional Development Opportunities**

Section 7 - Community Resilience Workbook

Program and other state, federal, university, and nongovernmental partners have established the Maine Salt Marsh Monitoring Network. Partner organizations help to collect and share data from long-term monitoring sites. More information is available online:

https://www.maine.gov/dmr/programs/maine-coastal-program/coastal-science-and-research/marsh-monitoring-network

### 7.3.7 Maine Blue Carbon Network

The Maine Blue Carbon Network provides a forum to exchange information about advances in Blue Carbon science and coastal carbon inventory methodologies to inform Maine's inventory development and advance coastal carbon research and policy.

## 7.3.8 <u>Climigration Network</u>

The Climigration Network is a group of practitioners with expertise in community-led engagement, communications, facilitation, policy, research and academia, and other disciplines to advance transformative, community-led approaches to climate displacement and relocation in the U.S. and its territories. More information is available online: https://www.climigration.org/

#### 7.3.9 Global Covenant of Mayors for Climate and Energy

The Global Covenant of Mayors envisions a world where committed mayors and local governments – in alliance with partners – accelerate ambitious, measurable climate and energy initiatives that lead to a low-emission and climate-resilient future. They support ambitious, locally relevant solutions in sectors where cities can have the most significant impact. These cities register, implement, and monitor their strategic action plans and make information on their efforts and results publicly available. More information is available online: <a href="https://www.globalcovenantofmayors.org/">https://www.globalcovenantofmayors.org/</a>

# 8 Funding and Financing Opportunities

Two of the core principles of climate adaptation are that inaction increases risk and action reduces risk. Further, many financial analyses indicate the cost of doing nothing far exceeds associated costs for proper planning and implementation of climate adaptation and mitigation projects. Following a recommendation from the Maine Climate Council, the State of Maine and Eastern Research Group, Inc. produced a report, Assessing the impacts climate change may have on the State's economy, revenues, and investment decisions. Volume 1 of the report is a Vulnerability Analysis (including maps) and Volume 2 is the Cost of Doing Nothing Analysis.

Refer to the respective maps and reports for more information on this research and analysis, and how it applies to local communities.

This introduction provides guidance for municipal officials that was developed through local, regional, and federal partners. **Section 7.1** provides information and resources on available federal, state, and private grant opportunities. **Section 7.2** provides an outline and introduction to these different types of financing mechanisms.

In 2020, the New England Environmental Finance Center (NEEFC), Casco Bay Estuary Partnership (CBEP), and Maine Department of Environmental Protection (DEP), with assistance from Resilience Works, LLC, and support from USEPA Region 1, offered a workshop series focused on crafting successful proposals toward sustainable financing of climate resilience and stormwater related projects. This series offered knowledge sharing, idea exchange, and real-world advice and inspiration. Materials and recorded presentations from several funding programs available through various Maine state agencies including Maine Department of Transportation (DOT), Maine Department of Agriculture, Conservation, and Forestry (DACF), and Maine DEP are available on the workshop website.<sup>26</sup>

Participant feedback from these workshops was also synthesized into a Community Resilience Funding Guidance Series, which assembles the wisdom and expertise of local municipal officials on the challenges and opportunities to funding community resilience initiatives.<sup>27</sup>

The information in the Community Resilience Guidance Series report, *Setting Municipalities up for Success*, is focused on how to build a team, how to engage with community members on plans and projects, how to become more self-reliant for financing, and how to access outside

<sup>&</sup>lt;sup>26</sup> New England Finance Center (NEEFC) Climate Resilience Funding Workshops website, <a href="https://neefc.org/climate-resilience-funding-workshop-series/">https://neefc.org/climate-resilience-funding-workshop-series/</a>

<sup>&</sup>lt;sup>27</sup> NEEFC Resilience Funding Guidance Series: Setting Municipalities up for Success (2021), <a href="https://neefc.org/wp-content/uploads/2021/05/Resilience-Funding-Guidance-Series">https://neefc.org/wp-content/uploads/2021/05/Resilience-Funding-Guidance-Series</a> Municipalities 052621.pdf

funding sources with steps that align with the Resilience Building Framework. Excerpts of key recommendations from this report include:

- **Establish a team and build capacity** Project teams with knowledge of the funding and fiscal landscape will be better prepared to develop and implement a resilience strategy.
- Focus on community outreach and build local support An engaged community can provide the public incentive leaders need to justify the cost of building climate resilience into the town budget. Educate your fellow leaders, identify those with expertise, and tap into the existing knowledge base. Town leaders and staff need to be educated, too. If everyone begins to look at climate resilience as a component of everything they do, undiscovered expertise and previously unrecognized opportunities for cross-collaboration may be found.
- Self-reliant financing: Assess your needs with climate resilience in mind It is
  important to prioritize actions according to needs and budgets. Incorporate "no regrets"
  actions into routine municipal improvements such as road repair with stormwater
  upgrades, including green infrastructure and culvert replacements. Aim for holistic
  planning.
- **Find funding sources** It is important to pick the right one(s) and get the application in on time.
- Share resources: Take a regional approach Look for towns that share your challenges and partner with them.

#### 8.1 Grant and Loan Funds

Grant opportunities are numerous, and programs exist for a plethora of municipal needs ranging from community organizing, outreach, and planning to construction and town purchases. There are also many grant programs for land protection and open space that can allow for wildlife/habitat conservation and adaptation, or other resilience needs. Grants for resilience can effectively carry out community actions. However, the grant process itself can also be a departure from the itinerary of local governance, with challenges including:

- Competing in grant programs requires staff capacity to apply, to carry out time-stamped implementation, and for project reporting.
- There can be rigid restrictions in how grant money is used, limiting integration across community needs.
- The intervals of awards and interruptions in available funding from grants can make it harder for municipal staff to retain knowledge from past experiences.

Collectively, while grant-based funding for municipal efforts is often essential, such approaches can have consequences for community climate adaptation and can reinforce an unoptimized patchwork of local climate resilience initiatives.

# Funding and Finance

Section 8 - Community Resilience Workbook

Nonetheless, well-chosen grant programs can powerfully galvanize community action and accelerate local adaptation to climate change. The following resources and guides provide more information on relevant state, federal and private funding sources.

#### 8.1.1 State Funding

#### Maine Climate Change Adaptation Providers Network

The Maine Climate Change Adaptation Providers Network (CCAP) Funding and Financing webpage includes a Funding Guide that includes descriptions of State funding programs relevant to climate change adaptation and mitigation as well as a number of additional federal, state, and private funding sources. The Guide also includes information on eligibility and example projects for which those grants are commonly used. The Guide is available in Appendix F. Visit the webpage for updates to this Guide.

Maine and New Hampshire Compiled Funding List\_from the Climate Ready Coast - Southern Maine project team and NH Coastal Adaptation Workgroup.

### Community Resilience Partnership

The Governor's Office of Policy Innovation and the Future (GOPIF) launched a new funding program for municipalities and service providers in Maine – the <u>Community Resilience</u>

<u>Partnership</u>. Refer to Section 5.4 for an overview of this program and visit the website for more information on the funding mechanisms.

### Maine Department of Agriculture, Conservation and Forestry

<u>Coastal Community Grants</u> is a competitive grant program for projects designed to improve water quality, increase adaptation to erosion and flooding, restore coastal habitat, promote sustainable development, and enhance the coastal-dependent economy while preserving coastal natural resources within <u>Maine's coastal zone</u>. Since 2012, this grant program has provided over \$2.5 million for projects throughout coastal Maine. Case studies focus on sharing lessons learned in their own words and can be found on the <u>Coastal Community Grant Case</u> Studies webpage.

#### Maine Department of Environmental Protection

The Maine Department of Environmental Protection (DEP) grants and loans webpage includes information on current state loans and grants, many of which are also detailed in the CCAP Funding Guide.

#### Maine Department of Marine Resources

The <u>Shore and Harbor Planning Grant</u> program provides resources on a competitive basis for shoreline access planning, waterfront and harbor planning, identification and resolution of waterfront use conflicts, and planning, feasibility, and design efforts for resilient waterfront infrastructure. Shore and Harbor Planning Grant projects are often well prepared to compete for construction funding through other sources.

#### Maine Department of Transportation

The Maine Department of Transportation (MaineDOT) offers several grant programs for improving resilience of transportation infrastructure, including grants for culvert improvements, upgrades to roadways and bridges, wildlife and aquatic habitat crossings, and more. Information on specific grant programs is available at: <a href="https://www.maine.gov/mdot/grants/">https://www.maine.gov/mdot/grants/</a>

#### 8.1.2 Private Foundation Funding

In addition to these state and federal funding sources, there are also opportunities to apply for grants from private foundations, many of which utilize the <a href="Maine Community Foundation">Maine Community Foundation</a> (MCF) as their administrator for the grantmaking process. MCF offers a number of grant-funded opportunities that are detailed on their <a href="webpage">webpage</a>. The <a href="Maine Philanthropy Center">Maine Philanthropy Center</a> (MPC) maintains an up-to-date <a href="Grantmaker's Directory">Grantmaker's Directory</a> that includes over 400 foundations that have a history of supporting Maine nonprofits. The Directory is available in online and print versions.

MPC members have free access to the online directory, and non-members can either access the print version by visiting their office at the University of Southern Maine's Glickman Family Library or by purchasing a print copy.

The Island Institute offers funds to support Maine's island and coastal communities through the Tom Glenn Community Impact Fund, a catalyst for community development. This fund aims to build a more diverse coastal economy by investing capital through planning grants, loans, and equity support for small businesses. To learn more, visit Community Impact Fund. These funds include:

- Business Resilience Grants: Support small businesses in promoting resilience, addressing ongoing disruptions from the pandemic, and adapting to economic changes.
- **Spark! Grants**: Fund working waterfront energy projects that improve energy efficiency, switch to renewable energy, and/or reduce fossil fuel consumption.
- **ShoreUp Grants**: Offer flexible funding and support, enabling communities to plan for sea level rise and take the necessary steps that are right for them.

#### 8.1.3 <u>Federal Funding Opportunities and Guidance</u>

There are numerous Federal Funding Opportunities (FFO) that can be searched on the <a href="Grants.gov">Grants.gov</a> website. In addition, the resources in this section provide details on key FFOs for climate resilience, coastal resilience, and community resilience through nature-based solutions.

#### New England Environmental Finance Center

In 2021 the New England Environmental Finance Center (NEEFC) released a federal funding guide: <u>Navigating the Federal Funding Landscape: A Guide for Communities</u>. Across federal agencies, there are many grants and loans to help communities fund local environmental and climate-related priorities. Yet at the community level, navigating these programs and identifying the appropriate opportunities for a particular jurisdiction is a big challenge and a

# Funding and Finance

Section 8 - Community Resilience Workbook

barrier to taking action toward funding priority projects.

This guide was developed with small- and mid-sized villages, towns, cities, and Tribes in mind to help these communities align priorities with available funding and serve as a jumping-off point for additional research, before investing time and energy into the application process.

This guide provides a snapshot of more than 20 major federal funds that support local environmental and climate-related priorities. To relate this guide to ongoing technical assistance provided by the NEEFC and its partners in communities around the country, we have focused on federal grants and loans that support activities related to four key themes: climate resilience, water resource management, renewable energy, and sustainable agriculture.

#### **United States Environmental Protection Agency**

The Environmental and Climate Justice Community Change Grants program provides grants of \$10-\$20 million for environmental justice activities. These must benefit disadvantaged communities through projects that reduce pollution, increase climate resilience, or build community capacity to tackle various climate challenges. "Place-based investments will be focused on community-driven initiatives to be responsive to stakeholder input. They are designed to deliver on the transformative potential of the IRA for communities most adversely and disproportionately impacted by climate change, legacy pollution, and historical disinvestments." Eligible applicants must include a community-based organization (i.e., a 501c3) and at least one additional partner, specifically: a local government, a Federally-Recognized Tribe, an institution of higher education, or another community-based organization. Applications are accepted on a rolling basis and applicants can submit, receive a debriefing with EPA, and re-submit a revised application.

#### <u>Funding sources for Open Space and Wetland Conservation</u>

- North American Wetlands Conservation Act (NAWCA) Grants
- National Coastal Wetlands Conservation Grants U.S. Fish and Wildlife Service
- Land for Maine's Future Maine Department of Agriculture, Conservation and Forestry
- Maine Outdoor Heritage Fund Maine Department of Inland Fisheries & Wildlife

## NOAA Digital Coast – Guide for Funding and Financing Coastal Resilience

This <u>Guide</u> includes information on different types of funding for coastal resilience, and details benefits, considerations, and examples for each type of funding.

#### <u>Federal Emergency Management Agency (FEMA) Mitigation Grants</u>

Visit the Maine Emergency Management Agency (MEMA) Mitigation Grants website for more information.<sup>28</sup>

Building Resilient Infrastructure in Communities (BRIC) – supports states, local communities,

<sup>&</sup>lt;sup>28</sup> MEMA Mitigation Grants: https://www.maine.gov/mema/grants/mitigation-grants

# Funding and Finance

Section 8 - Community Resilience Workbook

Tribes, and territories as they undertake hazard mitigation projects, reducing the risks they face from disasters and natural hazards. The BRIC program guiding principles are supporting communities through capability- and capacity-building; encouraging and enabling innovation; promoting partnerships; enabling large projects; maintaining flexibility; and providing consistency.<sup>29</sup>

**Flood Mitigation Assistance (FMA)** – funds states, local communities, Tribes, and territories to reduce or eliminate the risk of repetitive flood damage to buildings and structures insured under the National Flood Insurance Program (NFIP). This grant program strengthens national preparedness and resilience and supports the mitigation mission area through FEMA's strategic goal of building a culture of preparedness.

**Hazard Mitigation Grant Program (HMGP)** – These are post disaster grants that become available after a Presidential disaster declaration. This program provides funding for eligible mitigation activities that reduce disaster losses and protect life and property from future disaster damages in communities that have already experienced significant damages from a major natural disaster.

**Building Community Resilience with Nature-Based Solutions: A Guide for Local Officials** – The 'Implementation Phase' section of this <u>Guide</u> provides information on various sources of public and private investments, from grants, taxes, fee-based incentive programs, bonds, loans, public-private partnerships, credit trading, and other typologies.

# 8.2 Finance Options

When grants are not appropriate for a community initiative, or when efforts require ongoing and long-term support, there are a variety of financing mechanisms to meet the fiscal needs of municipal climate change adaptation such as revolving loan funds, taxes and fees, bonds, and public-private partnerships.

Funding & Financing information - New England Environmental Finance Center developed <u>Demystifying the Language of Climate Resilience Financing</u> in 2022 that clarifies key financial terms and industry jargon with simple definitions and real-life examples to address information gaps and share innovative options to pay for climate resilience needs.

It includes descriptions of asset classes and innovative and developing financing tools, including:

- Bonds: A bond is a financial instrument representing the debt of the company (i.e., corporate bond) or government (i.e., government bond) that issued it. Examples covered include municipal, green, catastrophe (insurance-linked security), resilience bonds, and bond banks.
- **Enterprise Fund**: Enterprise funds are self-supporting government funds that provide public goods and services for a fee, which is then used to continue supporting that good

<sup>&</sup>lt;sup>29</sup> BRIC: https://www.fema.gov/grants/mitigation/building-resilient-infrastructure-communities

#### or service.

- o Internal example: fee-based enterprise funds (wastewater, stormwater for example); or a financing agency as a component unit of the government.
- External example: publicly chartered financing authority, and Public-Private Partnerships (P3s).
- Value Capture: Value capture is the process of recovering project costs by capitalizing
  on the value that the project creates. Examples covered include tax proceeds, special
  assessment district, tax incremental financing, and joint development.
- **Impact Investing**: Impact investing connects investors' capital and business skills to social or environmental enterprises.
- Public-Private Partnership (P3): A public-private partnership is a cooperative arrangement between a public sector entity and a private sector company to finance and implement a project.
- **Performance-Based Financing**: Performance-based financing (also referred to as results-based financing and pay-for-performance) is a contractual agreement between a funder and an implementer that establishes specific outputs or outcomes an implementer must achieve to receive payment by the funder.
- **Insurance**: Insurance is the guarantee of financial reimbursement in the event of a specified event in exchange for payments (i.e., premiums). Examples of climate risks that can be insured against include flooding, sea level rise, coastal ecosystem destruction, crop yield loss, and other natural disasters.
- **Green or Resilience Bank**: Green or resilience banks are publicly sponsored, mission-oriented financing authorities. These public or quasi-public institutions combine private and public funds and expertise.
- Credit Trading System: Credit trading systems, often referred to as "cap and trade," put
  a limit or "cap" on the units of pollution allowed within a specified area, such as carbon
  emissions or nutrient pollution in watersheds, and allow entities to trade or sell permits
  so that pollution across the system can be abated in the most efficient way possible.
- **Revolving Loan Fund:** Revolving loan funds are established by an initial investment that is then loaned out; as loans are repaid, the fund is replenished, and that capital can be reloaned for additional projects.

Local and Tribal governments and communities have hundreds of projects on their to-do lists. Often these are critical infrastructure improvements that are long overdue. Even status quo upgrades for roads, culverts, water lines, wastewater, stormwater systems, and other public infrastructure have costs that extend beyond existing capital improvement plans. Community decision-makers have the difficult task of selecting only a few efforts from a multitude of needs.

This section intends to support towns and Tribes in connecting resilience planning and climate change designing as an extension of their existing needs and activities. It will also provide an overview of the diverse priorities a community or region should consider when conducting climate action planning or project planning and implementation. Climate change science and long-term resilience planning can consistently become incorporated into each planning process or project. Having the right pathways to climate information, access to decision-support tools, consensus building processes, and diverse partners to share leadership with public officials will streamline this process. Each subsection starts with a description of municipal priorities and core audiences that could be the focus of climate action planning. Following these are synopses of climate change-related issues, specific best practices to address these issues, and helpful resources. Section nine connects sectors of community work with related climate change adaptation strategies. They also include case study examples where these projects have already taken place in Maine.

Community Planning and Economic Development 83
Materials and Food Waste Reduction Management 90

**Ecosystems, Habitat, and Wildlife** 95

**Energy Sources, Transmission, Distribution, and Communication** 98

Transportation 108
Drinking Water 111
Wastewater Utilities

Stormwater Runoff and Inland and Coastal Erosion

114

Buildings 123

Public Health and Services 130 Urban Forests and Forestry 131

Working Waterfronts and Fisheries Sector Resilience 135

Agriculture 143

**Education and Community Science Programs** 145

Getting Out of Harm's Way: A Transformative Approach to Increasing Resilience 149

119

## 9.1 Community Planning and Economic Development

# Alignment with State and Maine Climate Council Strategies and Community Actions (Community Resilience Partnership)

## Strategy F: Build Healthy and Resilient Communities

- Plan for Community Resilience:
  - o F1 Conduct a community vulnerability assessment that identifies climate risks and vulnerable populations and includes a review of existing plans and policies. Adopt a climate resilience plan that describes high-priority strategies for reducing risk and vulnerabilities (maybe a standalone plan or included in a comprehensive plan).
  - F2 Update the local or county EMA hazard mitigation plan to address changing/future conditions and identify specific strategies to reduce vulnerability and increase resilience to climate change impacts.
  - F3 Develop or enhance early warning systems and community evacuation plans.
  - o F4 Develop a storm debris management plan.

#### Reduce Flood Risk:

- o F5 Complete the Maine Flood Resilience Checklist.
- F6 Participate in the National Flood Insurance Program (NFIP).
- Enroll in the NFIP's Community Rating System (CRS) at Class 9 or better, reducing flood insurance premiums for community residents.
- F7 Achieve CRS Class 6 or better, maximizing flood insurance savings for community residents.
- F8 Map sea level rise projections in the local or county EMA hazard mitigation plan.
- F9 Require consideration of sea level rise projections and impacts in planning and permitting coastal development.
- F10 Adopt freeboard requirements in the special flood hazard area and higher freeboard critical infrastructure and long-lifespan assets.
- F11 Adopt a low-impact design (LID) standard for stormwater management.

While Section 4 provides examples and approaches to initiate climate action planning, this section focuses on approaches to integrate climate adaptation in existing municipal activities. There are many different aspects of community life that can be integrated with climate resilience. Each community will need to discuss and prioritize which activity is the right fit and timing for their needs and available resources. Communication between municipal committees, town staff, and the town council or board of selectmen is key to streamlining these processes.

Section 5 includes resources and best practices for community engagement within many different work areas, including for public education.

"Maine municipalities have many existing tools to address resilience to changing climate conditions. Hazard mitigation plans, comprehensive plans, economic development plans, transportation plans, capital improvement plans, zoning ordinances, and site plan review and subdivision ordinances all provide existing platforms. In fact, these plans and ordinances should be interconnected to ensure that the municipality's plans for growth and development and for effective hazard mitigation are supportive of one another. The following section provides brief descriptions of the municipal planning tools that are covered in more detail in individual guidance documents". <sup>30</sup> Figure 3 depicts the three general types of plans, as well as examples of specific plans that can accommodate climate action planning.



**Figure 3 Cascading plans that can be influenced by resilience planning** (cited from the Regional Resilience Toolkit: 5 Steps to Build Large-Scale Resilience to Natural Disasters.)<sup>31</sup>

https://www.maine.gov/dacf/municipalplanning/docs/CAGS 01 Overview.pdf

<sup>&</sup>lt;sup>30</sup> Municipal Climate Adaptation Guidance Series: Overview (2017),

<sup>&</sup>lt;sup>31</sup> Regional Resilience Toolkit (2019), <a href="https://www.epa.gov/sites/default/files/2019-07/documents/regional resilience toolkit.pdf">https://www.epa.gov/sites/default/files/2019-07/documents/regional resilience toolkit.pdf</a>

#### 9.1.1 <u>Integrating Climate Adaptation into Comprehensive Plans</u>

"Developing a comprehensive plan is an extraordinarily powerful process through which a community develops a vision for its future along with the strategies to implement that vision." The Land Use and Planning Regulation Act (30-A MRSA, Chapter 187) also known as the Growth Management Act (GMA) sets forth the State's goals for economic growth and natural resource protection. The State relies on municipal and regional plans to support those goals. Comprehensive plans are also a municipality's 'business plan' - laying out strategies for important government functions such as land use regulation, economic development, natural resource protection, capital investment, transportation, recreation, community engagement, and public safety. This is a logical and important place for a municipality to integrate concerns about the impacts of a changing climate.

Enacted in April 2022, LD <u>1970</u> P.L. <u>590</u> now implements recommendations from Maine Won't Wait by amending the laws governing municipal planning and land use regulation. The changes enable the development of local climate action plans, and incorporation of plans into a municipality's or multi-municipal region's growth management program and could enable land-use ordinance changes. Chapter 590 is further supported through an agency financial and technical assistance program housed at the Department of Agriculture, Conservation and Forestry.

Climate resilience and adaptation can be incorporated as a separate chapter in comprehensive plans or integrated throughout the document. A comprehensive plan's climate resilience policies and implementation strategies can provide municipal leaders with the basis to budget for, seek grant funding for, and carry out specific actions to increase resilience. For example, a plan's transportation policy to, "Assure culvert capacities reflect trends for increasing storm intensity" can be complemented by a strategy to "inventory and assess existing culverts and prioritize replacement of those that have insufficient capacity for a 50-year storm event." 32

For detailed instructions on how to integrate climate actions into comprehensive plans, consider reviewing:

- The <u>Municipal Climate Adaptation Guidance Series on Comprehensive Planning</u> provides specific examples in each section of a comprehensive plan that is required by state regulation.
- <u>Maine's Flood Resilience Checklist</u> is a useful blueprint for crafting a discussion around resilience and adaptation (also see Table 2 for additional decision support tools).

## • Other National Guidance

Municipalities and nonprofit organizations in other states have developed templates and resources for integrating climate adaptation into comprehensive planning. "Comprehensive

<sup>&</sup>lt;sup>32</sup> Municipal Climate Adaptation Guidance Series: Comprehensive Planning (2017), https://www.maine.gov/dacf/municipalplanning/docs/CAGS 06 Comprehensive Planning.pdf

planning that is done within the frame of climate consciousness can replace the need for a separate Climate Action Plan". 33

 <u>Climate Change Adaptation through Local Comprehensive Planning</u> is a guidance document for Puget Sound Communities developed by EcoAdapt in 2017. It provides a rationale for why communities should include climate change in their comprehensive plans and background on expected climate change impacts to the Puget Sound Region. It also includes a model process for incorporating climate change into each element of a plan, as well as a section on plan implementation.

Beginning in 2010, the American Planning Association (APA) developed best practices for comprehensive planning and sustainability. This work, under the project name Sustaining Places, "offers a framework with standards for creating livable, healthy communities in harmony with nature — communities that have resilient economies, social equity, and strong regional ties." Four steps show how to turn those principles into a plan and score the results. Insights from 10 pilot communities add the real-world perspectives of big cities, small towns, and everything in between. Out of that initiative in 2012 came *Sustaining Places: The Role of the Comprehensive Plan*, a guide that makes the case for building sustainability into long-range planning. Now APA has gone further, explaining how to put those plans in place.

 Sustaining Places: Best Practices for Comprehensive Plans from the American Planning Association - This report is a guide that makes the case for building sustainability into long-range planning. It is a resource with principles, processes, and pointers communities can use to forge their own solutions as they meet the greatest planning challenge of our time."<sup>34</sup> More information is available online, including how to purchase the report: https://www.planning.org/publications/report/9026901/

#### 9.1.2 Case Studies of Maine communities' climate action plans

Increasingly, municipalities in Maine are integrating climate adaptation and mitigation measures into comprehensive plans. Different approaches abound, though there are efforts to provide templates for towns and regions to make the process more accessible and streamlined. This section provides a few examples.

#### Georgetown Comprehensive Plan

The Town of Georgetown updated their comprehensive plan in 2019.<sup>35</sup> This plan used recommendations from the 2015 Climate Change Adaptation Report developed by the Georgetown Conservation Commission. Their comprehensive plan identifies climate change and sea level rise as major risks to the Town's future and has specific goals and action items to address those risks.

<sup>&</sup>lt;sup>33</sup> Lara J. Hansen, Stacey J.Nordgren and Eric E. Mielbrecht. 2016. <u>Climate Change Adaptation through Local Comprehensive Planning: Guidance for Puget Sound Communities</u>. EcoAdapt, Bainbridge Island, WA.

<sup>&</sup>lt;sup>34</sup> Sustaining Places: Best Practices for Comprehensive Plans (2012), https://www.planning.org/publications/report/9026901/

<sup>&</sup>lt;sup>35</sup> Georgetown Comprehensive Plan website, <a href="https://www.georgetownme.com/?page\_id=70">https://www.georgetownme.com/?page\_id=70</a>

The plan was developed in collaboration with the University of Maine. Georgetown was one of 30 towns that were reviewed as part of a larger research project. From this collaboration and research, the partners at the University of Maine published a paper in 2019, "Comprehensive plans as tools for enhancing coastal community resilience".

#### York Comprehensive Plan

In 2013, the Town of York and the Southern Maine Planning and Development Commission developed a Sea Level Rise Chapter within their comprehensive plan, with funding from the Coastal Communities Grant Program. The Chapter inventories the best available data on trends in sea level rise and offers the best available predictions for the future.<sup>36</sup> In 2021 while updating the comprehensive plan, the Town of York also developed a climate action plan to help the community to prepare for climate impacts and to meet climate emissions reductions goals. This plan was adopted by voters on May 21, 2022.

#### Kennebunkport Comprehensive Plan

The Town of Kennebunkport and its Comprehensive Planning Committee finalized a new comprehensive plan, having released a draft in 2021. The new plan was accepted by voters on June 14, 2022. Climate change is addressed among all relevant sections. "We aspire to a comprehensive plan that puts our community on a sustainable path, one that is responsive to the challenges posed by a changing climate." More information is available online: https://kennebunkportcp.info/

## Kittery Comprehensive Plan

The Town of Kittery's Comprehensive Plan 2015-2025 (Volume I: Comprehensive Plan) has a goal and section devoted specifically to coastal resilience. The goal is to: "Establish short, medium and long-term plans to address the effects of climate change, including increased storm frequency and strength, coastal erosion and rising ocean levels, and transition of both public and private energy consumption to low and zero impact methods." To meet this goal, the Plan describes the following objectives:

- Establish plans to address the effects of climate change.
- Reduce energy consumption and transition to low and zero impact methods.
- Provide education and incentives to protect the environment and improve quality of life.<sup>37</sup>

The Town is now making progress towards this goal and underlying objectives, and with a climate adaptation committee has started to develop a climate action plan.

We seek examples from inland and Downeast, Maine for the next edition.

#### 9.1.3 Capital Improvement Plans

<sup>&</sup>lt;sup>36</sup> Coastal Community Grant Case Studies, SMPDC and Town of York (2012), https://www.maine.gov/dacf/municipalplanning/docs/TK14-SMPDC FY12 CCG York SLR Chapter.pdf

<sup>&</sup>lt;sup>37</sup> Town of Kittery Comprehensive Plan: Volume 1 (2018), https://www.kitteryme.gov/kittery-2015-2025-comprehensive-plan

This is a provisional section that requires content from external contributors.

#### Capital Improvement Plan Case Studies

This is a provisional section that would benefit from other case studies, including local to Maine, if applicable.

The following example is from Maryland:

• Integrating Resilience into Local Capital Improvement Projects

#### 9.1.4 Integrating Climate Adaptation into Ordinances and Zoning

Communities are adept in using ordinances and zoning to encourage the most appropriate use of land in a locality as well as the general welfare of community members. Mechanisms include floodplain management; zoning (including shoreland); subdivision / Site Plan Review (SPR); stormwater management; and wetlands, sustainability, and shellfish ordinances. These are established approaches that can be used to steer local actions and activities that reduce the risks and impacts of climate change.

In 2022, The Southern Maine Planning and Development Commission (SMPDC) developed a Municipal Guidance Document that outlines opportunities for incorporating coastal resilience measures in existing municipal and land use ordinances. A draft table that provides an inventory of zoning and ordinance options to guide a community's deliberation is in Appendix B. SMPDC has also developed a Model Coastal Resilience Ordinance.

A few examples of how to use land use ordinances to increase resilience to climate change are included in the Municipal Climate Adaptation Guidance Series Overview document, including links to the specific topics in the series:

- **Zoning Ordinance**: The zoning ordinance is the logical tool to use to manage development in areas vulnerable to the impacts of sea level rise or increased levels of precipitation. Overlay zones can be created in high hazard areas that set different standards based on the specific type of hazard.
- Shoreland Zoning Ordinance: The model shoreland zoning ordinance that most Maine communities have adopted includes a requirement that new construction be elevated a minimum of one foot above the base flood elevation. Several communities in Lincoln County are considering amendments to their shoreland zoning ordinance to increase the minimum elevation to three feet about the base flood and Damariscotta has in place a requirement for new construction in FEMA flood zone A and AE to be built 3 feet above base flood elevation.
  - o Municipal Adaptation Guidance Series: Shoreland Zoning
- Floodplain Ordinance: Most towns with a floodplain management ordinance adopt the state's model floodplain management ordinance. Among other provisions it requires that new construction within certain flood zones be built to a minimum elevation of 1 foot above the base flood elevation (BFE). However, a municipality has the authority to adopt a higher minimum elevation above BFE to accommodate sea level rise, and

- several Maine municipalities have done just that.
- Site Plan Review Ordinance: A municipal Site Plan Review Ordinance can be used to
  encourage or require consideration of changing climate conditions in proposed projects.
  It can be used along a continuum from simply requiring that an applicant consider
  changing climate conditions to requiring an explanation of how the project proposal
  incorporates that consideration to requiring that projects meet certain specified
  standards related to changing climate conditions. Suggested language is provided in the
  Model Site Plan Review Ordinance guidance document.
  - o Municipal Adaptation Guidance Series: Site Plan Review Ordinance
- **Subdivision Ordinance**: Like a Site Plan Review Ordinance, municipal Subdivision Ordinance can be used to encourage or require consideration of changing climate conditions in the design and development of a new subdivision.
  - Municipal Adaptation Guidance Series: Subdivision Ordinance

These approaches can help the community to gradually relocate away from high hazard areas; ensure that new development avoids climate risks; establish building standards for vulnerable infrastructure to tolerate forecasted water levels for flood and sea level rise; and minimize flood and pollution risks by intentionally thinking about how water moves through the landscape of your community.

#### Maine Case Studies

This is a provisional section, and we request further examples from across Maine.

- SMPDC <u>Model coastal ordinance</u>, an EV charging station, sustainable road model ordinances, as well as one for MS4 communities
- LCRPC <u>Sea level rise ordinance project</u>
- Case study Harpswell Conservation Commission and the Climate Resilience Implementation Task Force
- Examples from inland Maine requested.

## 9.2 Materials and Food Waste Reduction and Management

## **Alignment with State and Maine Climate Council Strategies**

- Strategy B: Modernize Maine's Buildings
  - Use incentives and demonstration projects to reduce costs of lowcarbon building materials
  - Promote low-carbon building materials through education and technical support
  - Divert demolition debris from landfills by encouraging municipalities to give two weeks' notice for salvage opportunities
- Strategy D: Create Jobs and Grow Maine's Economy through Climate Action
  - Set up new purchasing pathways for state and local governments and public institutions that create opportunities for Maine-made, climatefriendly products
  - Expand industry partnerships to ensure trainees gain in-demand skills and secure jobs
- Strategy E: Protect the Environment and Natural and Working Lands and Waters in Maine
  - O Create a Maine Food Plan
  - Strengthen Maine farms, fisheries, and other food producers with funding, technical assistance, and processing and distribution infrastructure
  - Create more Maine markets for Maine producers and increase access to local food
  - O Develop a plan to **reduce and capture methane** from landfills
  - Provide incentives for methane capture, anaerobic digestion, and keeping food, manure, and other high methane producing materials out of landfills
- Strategy F: Build Healthy and Resilient Communities
  - Increase capacity to manage storm debris
  - Support reuse, refill, and repair
  - Lead by Example at state facilities through food waste prevention, reuse and repair
  - Develop a consumption-based emissions inventory for Maine's greenhouse gas reporting
  - Coordinate and fund regional waste management planning
  - Increase access to waste reduction and diversion programs through educational materials and tools

#### Mitigation through Materials Management

While many members of the public do not associate climate change with food waste or materials management, there are significant links. The US EPA has estimated that approximately 40% of US emissions can be linked to the goods and foods that we consume (USEPA2009). Despite the input of materials, energy, labor, emissions and resources embodied in all the goods we buy, more than 90% of materials buried or burned within six months of their purchase (McKinsey 2016). This means that our current production-consumption-disposal systems waste valuable resources and contribute to climate change. The climate impacts are even more pronounced for some forms of waste like organic materials. These organics decompose anaerobically in landfills producing the potent greenhouse gas methane. The Food and Agriculture Organization (FAO) calculated the global emissions associated with food waste and found that if food waste were a country, it would be the third largest emitter, only behind the US and China. The mitigation potential associated with materials and food waste management is therefore significant. Finally, gains in reducing embodied emissions in products are being counteracted by increases in total consumption. The 2024 EPA report *Consumption-Based Greenhouse Gas Inventories for Northeastern States* that Maine completed with several other Northeastern States found that increases in the consumption of goods and services from 2012-2019 negated the reduction in overall emissions per a dollar.

The 2024 Update to *Maine Won't Wait* included several solid waste management actions that complement and are nested within several strategies of the plan. These strategies were developed by the Materials Management Task Force that met in 2024-2025 and identified significant opportunities to reduce emissions generated through the production, transport, and disposal of goods in the areas of source reduction and waste prevention, recycling and composting, and recovering energy from materials not suitable for higher and better uses. *Maine Won't Wait* also includes several related goals including 1. 30% of food consumed in Maine from in-state food producers by 2030, 2. Reduce food loss and waste by 50 percent by 2030, and 3. Reduce food loss and waste 50% by 2030.

#### **Enhanced Resilience**

Many Maine communities struggle to manage a never-ending waste stream. Waste services take up a significant portion of municipal budgets, drawing scarce human resources and finances away from issues like climate planning and community resilience building. The mitigation potential associated with waste reduction and recycling is important, but there are also co-benefits with significant potential to improve community resilience. Food waste can be easily be avoided and community resilience improved by following the Maine Food Recovery Hierarchy (https://www.mainelegislature.org/legis/statutes/38/title38sec2101-B.html) which prioritizes food waste reduction and redistribution before recycling and disposal. Incineration and landfilling should be the last resort to avoid emissions and ensure that valuable foods are used to feed hungry community members rather than landfills and incinerators.

Studies suggest that we can also improve community resilience, save money, reduce waste, and mitigate climate change by extending the lifetimes of most existing materials through the repair and reuse of products (Isenhour et al 2016). When we use goods longer, there is the potential to reduce demand for resource extraction and the emissions associated with new production. Second hand economies that keep products in circulation longer are vibrant in Maine —and while their contribution is often overlooked —recent studies suggest that these exchange networks can improve resilience in the face of economic or supply chain disruptions associated with climate change, enhance the community social capital necessary for adaptation, and contribute to environmental and climate public policy goals (Berry 2021). Many municipalities, in an attempt to mitigate climate change and improve community resilience, have implemented innovative programs to keep valuable nutrients and goods in local circulation longer. From community tool libraries and repair cafes to regional composting pilots and food gleaning campaigns,

there are many ways to, at once, reduce emissions and improve community resilience.

For more information specific to materials and food waste reduction, visit the following websites and resources:

- USEPA "Opportunities to Reduce Greenhouse Gas Emissions through Materials and Land Management Practices" (2009)
- USEPA "Waste Reduction Model"
- Maine DEP <u>Solid Waste Diversion Grant Program</u>
- Maine DEP Tips to Make Less Waste for Maine Municipalities
- Northeast Waste Management Official's Association Climate Projects & Resources
  - EPA published "<u>Consumption-Based Greenhouse Gas Inventories for Northeastern States</u>", a report describing the first consumption-based greenhouse gas (GHG) emissions inventories (CBEI) for the Maine along with the eight total NEWMOA states. Consumption-based emission inventories for participating states were found to be 40-60% higher than their territorial inventories which are a primarily method used to inform climate strategies and actions development.
  - "What Can We Do As Consumers About Climate Change?" is available for others to download and customize for their own use and branding.
  - NEWMOA and its partner organization the Northeast Recycling Council (NERC) published a brochure and <u>blog post</u> to educate consumers on the climate impacts of consumption and steps individuals can take to limit these impacts.
  - o 2009 Climate-Waste Action Plan

# 9.3 Ecosystems, Habitat, and Wildlife

# Alignment with State and Maine Climate Council Strategies and Maine Community Actions (Community Resilience Partnership)

- Strategy E: Protect the Environment and Natural and Working Lands and Waters in Maine, Promote Natural Climate Solutions and Increase Carbon Sequestration
  - E2 Incorporate a goal into conservation plans of conserving 30% of land in the community by 2030 (including undeveloped town property), with a priority on addressing conservation gaps related to high biodiversity areas, undeveloped blocks, and land and water connectivity.
  - E3 Create or update a watershed plan to identify flooding and water quality priorities and adaptation options.
  - E4 Develop a natural resource and habitat inventory that includes climate stressors and impacts.
  - E5 Conserve, revegetate and reconnect floodplains and buffers in riparian areas.
  - E6 Preserve climate-threatened natural areas such as wetlands, riparian areas, and headwater streams through zoning or other regulations.
  - E8 -Adopt policies that prioritize natural, nature-based or ecologically enhanced shoreline protection for coastlines, rivers, and lakes.
  - E9 Identify and protect sites for living shorelines and saltmarsh migration areas.
  - E10 Identify and protect open space in the floodplain to increase flood buffers and community resilience.

Climate change will have broad impacts on Maine's natural and working lands, and the essential ecological functions they provide. For ecosystems, habitats, and wildlife across Maine, climate change introduces a range of new stresses including hotter summers, warming waters, changes in rainfall, the reduction or loss of snow cover, and sea level rise.

Municipalities have home-rule authority and autonomy in day-to- day jurisdiction and land use planning that impacts natural resources and habitats. Recent assessments of species and habitat vulnerability and exposure to climate change have highlighted the extent and magnitude of factors that will shape living conditions for Maine's native plants and animals. If plants and animals are to successfully adapt to climate change, they will need access to suitable places to live; they also may need to move around the landscape to respond to changing conditions. Despite extensive predicted changes, the actions that towns can take to

protect ecosystems from climate change mirror long-proven approaches to planning for future growth in concert with maintaining natural ecosystem integrity.

One element of maintaining ecosystem integrity is maintaining "landscape connectivity." Landscape connectivity can play out at very broad scales – facilitating a northward-shift in the range of entire species which requires protection of larger linked habitat blocks; and at very local scales – allowing individual animals or small populations to move between local habitat patches. This can include moving to find certain micro-climates such as going to higher elevations, or along stream corridors to cooler and wetter areas. Both scales are critical to support the plants and animals that live in our communities.

Maintaining terrestrial and aquatic habitat connectivity; protecting large habitat blocks; and avoiding impacts to rare and vulnerable habitat features remain tenets of ecosystem resiliency. Integrating ecological function into planning and design is not only good for native plants and animals, but also benefits the resilience of human communities through future cost avoidance, improved air and water quality, and protection of traditional recreation and natural resource dependent economies.

For example, the needs to address water quality issues of urban impaired streams, replace failed road crossing structures, and restore once productive intertidal resources are becoming increasingly common and expensive challenges faced by towns and Tribes. These examples of resource degradation likely could have been avoided with prior and more sophisticated environmental planning. Instead, years of incremental stream buffer clearing, increases in impervious surface areas, and poor storm runoff control have led to current degraded conditions. Fortunately, both modern development practices and our knowledge of landscape functions have evolved, and communities can minimize impacts to natural resources through proactive planning practices. Anticipated changes to global climate and the expected human response, including human climate migration, have expedited the need for robust planning processes that will result in resilient and connected natural landscapes while still accommodating growth needs.

For communities in Maine, Beginning with Habitat has developed planning tools to help understand where key natural resources and sensitive habitats occur while highlighting opportunities to build greater habitat resiliency through straightforward planning.

**Beginning with Habitat (BwH)** equips Maine communities, landowners, and conservation partners with tools to protect, restore, and connect important habitats and ecosystems in a changing climate.

The BwH team creates comprehensive packages for each Maine municipality which include multi-layer maps, information about native habitats, and localized conservation strategies. The maps are a great starting place for thinking about the resilience and connectivity of conserved lands, undeveloped habitat blocks, and unique natural resources in your community. Beginning with Habitat also provides custom presentations and guidance on how to use these resources to develop an open space plan or take other actions to support resilient open space in your community including planning assistance.

In addition to providing mapping and planning support, Beginning with Habitat works with interested private landowners to manage their land to both promote wildlife and improve resiliency.

For more information on the Beginning with Habitat program and available resources, visit the following links:

- Beginning with Habitat homepage
- Interactive BwH Map Viewers and Data Sets
- Request a presentation, map package, or site visit by our landowner outreach biologist

Communities that manage town forests or other municipal open space should also be aware that climate change may alter how our forests look and function ecologically. We are likely to see changes in tree cover, loss of familiar tree species, and an increasing prevalence of invasive species. These changes will impact both the resilience and the "feel" of protected open spaces; warmer temperature and changes in winter snow cover may also change when and how people recreate on conserved lands. Developing an invasive species or forest management plan and assessing the vulnerability of trails to flooding and increased frequency and severity of storm events can help communities prepare for those changes. Beginning with Habitat has a Landowner Outreach Biologist ready to assist with local habitat management needs.

For additional information specific to open spaces, visit the following websites and resources:

- The Nature Conservancy Resilient Land Mapping Tool
- Northeast Conservation Planning Atlas
- Maine Land Trust Network (MLTN)

Example funding sources specifically for open space and/or wetland conservation are included in Section 7.

# 9.4 Energy Sources, Transmission, Distribution, and Communication

Power generators, fuels, lines, pipes, and towers – This is a provisional section.

# Alignment with State and Maine Climate Council Strategies and Maine Community Actions (Community Resilience Partnership):

## **Strategy C: Transition to Clean Energy:**

- Reduce Greenhouse Gas Emissions:
  - C1 Conduct a baseline for energy usage by municipal/Tribal government including electricity, heating and transportation fuels, and other energy sources.
  - C2 Identify and track a simplified set of emissions indicators for community emissions reduction (e.g. number of EVs registered in the community, number of homes with solar panels, number of heat pump rebates from Efficiency Maine).
  - C3 Adopt a resolution setting targets and a plan for reducing emissions and advancing clean energy from municipal/Tribal operations that align with the state's targets.
- Advance Clean Energy Adoption:
  - C4 Adopt a renewable energy ordinance(s) that allows, enables, or encourages community-appropriate renewable energy and energy storage installations.
  - C5 Adopt a streamlined permitting process for small-scale renewable energy installations.
- Transition to Clean Energy:
  - C6 Enter into a long-term service contract or power purchase agreement (PPA) or adopt a clean power purchase policy to ensure increasing local government energy supplies come from renewable energy.
  - O C7 Install a renewable energy project (solar, wind, geothermal, anaerobic digestion, etc.) on municipal/Tribal property (e.g. school rooftop, wellhead protection area, landfill, brownfield site, etc.).

## Strategy D: Create Jobs and Grow Maine's Economy through Climate Action

- Support Clean Energy Jobs and Businesses:
  - D3 Assess the suitability of privately-owned brownfield and disturbed/contaminated sites for clean energy projects and encourage project development.
  - D4 Establish incentives for clean energy industry or businesses to locate in community.
  - D5 Encourage and support clean energy industries in economic development plans.

The production and use of fossil fuels as an energy source are a significant contributor to climate change, and account for 65% of gross greenhouse gas emissions in Maine in 2021 [1]. Many communities in Maine and around the world have undertaken climate change mitigation efforts, including implementing more sustainable methods of energy generation and use (renewable energy, energy efficiency and conservation in electricity, transportation, and heating). The current energy system is vulnerable to the impacts of climate change; residents of the State of Maine and Wabanaki tribal nations are particularly vulnerable to the negative impacts of climate change on the U.S. energy system due to a widespread reliance on oil for heating [2]. The State of Maine uses the most heating oil per capita and by volume out of the entire United States [3]. 78% of Maine households use fossil fuel as a heating source; 56% are heated with fuel oil, and 10.5% now use electricity for heating [4]. Mainers get approximately 60% of their electricity from fossil fuels (primarily natural gas) [5] as well as 100% of their transportation fuels from fossil fuels [6]. Climate change could cause disruptions in the supply chain for fossil fuels, as well as other energy sources that require large amounts of water, such as thermoelectric power plants [7]. Climate change effects also increase the demand for heating and cooling during heat waves, storms, and natural disasters [7].

The price of oil is set in a global market. It is therefore sensitive to supply disruptions caused by geopolitical events and the increasing difficulty and expense of finding and accessing more oil [8]. Rising prices of oil and other fossil fuels increase the proportion of income spent on energy, also referred to as an energy burden, hitting low-income or underserved communities the hardest. Low-income households in Maine experience an average energy burden of 19% compared to the rest of the State, which has an average energy burden of 6% [9]. The State average for energy burdens at 6% aligns with many definitions of energy poverty, which is defined as "a minimum energy burden in the range of 6 to 10 percent of household income" [9]. The significant energy burdens, reliance on oil for heating, and the centralized energy system all represent challenges for Mainers to adapt to and mitigate climate change.

Community energy initiatives, defined by Klein and Coffey [10] as renewable energy and energy efficiency projects in which local people organize, manage, and/or receive benefits from the project, can address these challenges. These projects can range from small scale energy efficiency and conservation projects to larger scale distributed energy generation projects relating to electricity, heating, and transportation. The implementation of distributed energy generation on the community scale is particularly important as the

current energy system in the United States is predominantly centralized, meaning that energy is produced on a larger scale at a centralized location, then distributed to consumers across long distances. Within distributed energy generation systems, energy is produced on a smaller scale and distributed to consumers near the point of production [11].

The process of beginning and sustaining community energy initiatives aligns with many of the same steps for other community resilience initiatives outlined in this Workbook:

- Organize teams of people within the community to take action
- Join networks of others taking action in other communities to learn from each other
- Support these teams in developing local capacity to help residents with energy-related projects that also increase climate resilience
- Address larger infrastructure projects that can improve access to clean energy generation, reliable electricity distribution systems, and energy efficiency and conservation at the community scale

Community energy initiatives can also advance energy and climate justice objectives by

- Investing locally in renewable energy generation
- Keeping energy cost savings and profits within communities and ensuring the most vulnerable community members can access these benefits
- Working toward energy sovereignty
- Increasing marketable skills and potentially developing local clean energy jobs
- Ensuring communities' needs are met and impacts mitigated when outside developers seek to implement projects in or near the community, through Community Benefits Agreements (CBAs)

These initiatives help communities respond to the needs and concerns of their residents, which the University of Maine recently examined in the report, "Amplifying Voices: Engaging Diverse Populations in the Maine Climate Plan Update" [12]. In this survey of communities that are rural, disadvantaged, or climate vulnerable—representing approximately 70% of Maine's population—residents expressed strong interest in community energy actions while highlighting challenges in their own lives:

- 61% of respondents faced high heating and utility bills, and at least 45% had problems with drafty or poorly insulated homes.
- 84% would consider rooftop solar for their own homes, in addition to heat pumps (70%), weatherization (57%), and electric vehicles (31%).
- But 77% said that high upfront costs prevented them from implementing these energy options in their own lives.
- 78% want their communities to pursue more rooftop solar; residents also wanted to see more adoption of heat pumps (80%), battery energy storage (63%), and solar (57%) and wind (44%) on public lands, among other strategies.
- 82% wanted to see documentation of community benefits from large-scale renewable energy projects, while only 6% did not want their communities to allow these projects.

Organize **community energy teams** and join networks to support community energy initiatives

To build and sustain strong community energy team participation, it is ideal for two broad pathways to work together and support each other. On the first pathway, staff and/or elected officials within municipal and tribal governments initiate energy projects and incorporate energy goals into ongoing operations, including local ordinances, infrastructure projects, and resident assistance programs. These government employees/public servants might include town managers, council/select board members, directors and coordinators of Community Initiatives, Housing, Natural Resources, Economic & Community Development, and Public Works Departments, and others.

On the second pathway, residents organize a volunteer energy committee or a nonprofit citizen group. Sierra Club Maine offers a guide to how to start a citizen action team, and the Vermont Energy and Climate Action Network (VECAN) has developed guides and case studies on how to start a volunteer energy committee. These committees may or may not become official arms of the local or tribal government. Regardless, it is helpful to maintain consistent and clear communication between both pathways on an ongoing basis.

Regional and statewide networks are coordinating and supporting teams on both of these pathways—municipal/tribal staff and energy-focused teams—in addition to enlisting many other community-based organizations that want to incorporate climate and energy action into their missions.

The Wabanaki Sustainable Energy Team and Community Sustainable Energy Team (WSET/CSET), facilitated by Dr. Sharon Klein and a team at the Mitchell Center for Sustainability Solutions, are cohorts of local representatives that meet monthly to discuss how to advance their community's energy goals. [The Passamaquoddy Tribe at Pleasant Point, Penobscot Nation, Mi'kmaq Nation, Houlton Band of Maliseet Indians, and Millinocket are some of the participants in these peer-to-peer learning networks geared toward supporting underserved communities.]

Local Leads the Way, a network of climate action groups convened by the organization A Climate to Thrive, meets monthly to share resources and lessons learned, with an emphasis on supporting citizen-led and grassroots teams.

The Maine community-led Energy and Climate Action Network (MAINECAN) focuses on connecting and supporting communities along both pathways (local/tribal government and citizen-led) that want to develop community energy and resilience projects, alongside nonprofit service providers and a team at the Mitchell Center for Sustainability Solutions. This Maine-based initiative builds on the community support experience of VECAN, Clean Energy NH, and other local energy action networks across the United States, from the Renewable Energy Alaska Project (REAP) to the Mass Climate Action Network (MCAN).

As discussed elsewhere in this Workbook, the Maine Community Resilience Partnership (CRP)

provides funding for local and tribal governments to implement community resilience projects, which can include energy-related projects and support for developing citizen energy committees.

Joining multiple networks on this list at the same time provides multiple, complementary benefits. For example, the CRP provides access to funding and Regional Coordinator support to help develop projects over time. MAINECAN and Local Leads the Way provide peer-to-peer learning opportunities and resources, so community teams are not feeling like they are working in isolation or "recreating the wheel."

# Start with a residential-focused **community energy conservation and/or energy efficiency project** to help build local capacity and reach vulnerable community members

A residential weatherization initiative can be a practical and inspirational first project for a community energy team, because it is tangible, gets people from the community working together side-by-side, and directly benefits community members by reducing heating bills and improving home comfort. Being involved in these hands-on experiences with direct and immediate benefits can strengthen the capacity of community energy teams and build community support for further energy initiatives. Community workshops to build winter window inserts, energy audits, community insulation and heat pump bulk purchases, and home energy coaching programs are great places to start. Programs like Volunteer Maine, the Community Resilience Partnership, and partnerships with university faculty, staff, and students (e.g., Sharon Klein at the University of Maine and David Gibson at College of the Atlantic) can help community energy teams build the capacity needed for these types of projects.

#### **Community Window Insert Building Workshops**

Window inserts are solid frames wrapped in plastic and lined with weatherstripping that are inserted into the interior side of existing windows to reduce heat loss and save energy and money. Individuals can make these inserts on their own using online designs. WindowDressers is a volunteer-led, Maine-based nonprofit organization that trains and equips local leadership teams to help their neighbors build these inserts in their own communities. By contacting WindowDressers, anyone may start a new build event in their community or join one of the nearly 30 Maine community builds already organized, if one is nearby. Training typically begins in early spring, followed by visits to clients' homes to measure their windows and a week-long event to build the inserts in the fall.

#### **Community-led Home Energy Assessments and Coaching Programs**

Community-wide energy assessment programs are also an effective way to build awareness of energy challenges shared across the community and to initiate home weatherization projects on a larger scale.

Since 2014, students at the College of the Atlantic's Community Energy Center have gained handson experience in their energy audit training program. They have brought their energy audit teams to Eastport and the Cranberry Isles, in addition to Mount Desert Island.]

Home energy coaching programs can jump start community interest in energy efficiency and electrification projects. York Ready for Climate Action has been developing and sharing its

peer-to-peer home energy coaching program, in which volunteers attend training sessions then give their fellow community members home energy assessments and project advice. This approach to community-scale engagement is now a featured goal for statewide action in the *Maine Won't Wait* 2024 climate plan.

The Southern Maine Energy Navigator pilot program is another model for guiding residents and businesses through energy upgrades. Supported by the U.S. Department of Energy's (DOE) Energy Efficiency and Conservation Block Grant (EECBG) and other funds, this coalition of southern Maine towns takes the step from energy upgrade advice and project implementation by subsidizing the upfront costs of home energy projects for homeowners with low incomes.

The Town of Brooklin's Climate Response Committee, in partnership with an Island Institute Fellow, manages a *Neighbor to Neighbor Home Visits* program. This free service offers 90-minute home consultations where trained committee members assess energy efficiency and suggest comfort improvements. They also guide homeowners through available rebates and tax incentives to help make these improvements more affordable.

#### **Community-led Home Weatherization and Electrification**

With organizing and support from residents, it is possible to launch a community weatherization or electrification program. Such programs build on residents' trust of community-based organizations and leaders to reduce barriers for homeowners to participate in home energy efficiency upgrades, lower community energy demand, and improve resilience to extreme weather.

Through the Weatherize Mount Desert Island (MDI) and Weatherize Ellsworth initiatives, A Climate to Thrive and Green Ellsworth helped organize group purchasing of home insulation in their communities, with each securing small discounts from their partner installer in addition to Efficiency Maine rebates.

Resilient Harrison helped residents access Efficiency Maine rebates and Inflation Reduction Act tax incentives through a heat pump bulk buy program, by coordinating with a local installer.

Expanding community access to state programs and cost-saving rebates: Efficiency Maine Program Community-led energy coaching and home upgrade initiatives can help more community members take advantage of state programs and rebates. Efficiency Maine serves as the state's primary administrator for incentive programs designed to improve energy efficiency and reduce greenhouse gas emissions throughout Maine. As a resource for both residents and businesses, the organization manages a comprehensive suite of initiatives aimed at making energy-efficient improvements more affordable and accessible.

**Programs and Services:** At the heart of Efficiency Maine's mission is the Home Energy Savings Program, which provides substantial rebates and incentives for residential energy improvements. This includes support for heat pump installations, weatherization projects, and other home efficiency upgrades. The organization has also emerged as a leader in supporting Maine's transition to clean transportation through its electric vehicle initiatives, offering rebates for both EV purchases and charging infrastructure.

For the business sector, Efficiency Maine provides targeted support through its commercial and industrial programs, helping organizations implement energy-efficient solutions that reduce operational costs and environmental impact. Recognizing the importance of equity in energy efficiency, they also maintain specialized initiatives for income-eligible households, ensuring that energy-saving benefits are accessible to all Maine residents. The program offers start-to-finish guidance and uses a network of qualified contractors for homeowner and business installation.

**Getting Started:** Across the spectrum of community energy actions, making use of the Efficiency Maine Program begins with visiting their website, where interested parties can:

- Explore available programs and incentives
- Connect with qualified contractors through their vendor network
- Access free energy consultation services

## Make a community energy plan

Communities may choose to develop and adopt an energy plan to guide their actions. While some integrate energy goals into broader municipal or regional planning, energy-related initiatives can be implemented both before and during the planning process.

Tribal governments have been leading on energy planning. In 2006, the Penobscot Nation's Long-Term Strategic Energy Plan laid out its energy efficiency and resource goals, including a wide range of sustainable energy generation considerations. The Penobscot Nation has built on this plan to advance projects for integrated solar, storage, and microgrids (see Microgrids section below). The Passamaquoddy Tribe at Indian Township and the Houlton Band of Maliseet Indians have proposed community-scale renewable energy and electrification goals as part of their Priority Climate Action Plans.

Penobscot Climate Action, a coalition of 11 towns in the greater Bangor area led by the Bangor Area Comprehensive Transportation System (BACTS), features energy-related strategies in its regional climate plan.

The Town of Mount Desert's climate action plan includes a range of energy-focused goals, from renewables to beneficial electrification for residents, and the Tremont Community Resilience Plan aims to find solutions to residents' needs for a more affordable and reliable energy system. These plans illustrate collaborations among town leadership, municipal staff, volunteer committees, and the public, facilitated by the community-based organization A Climate to Thrive.

Standalone energy plans are infrequent at the local level in Maine, but communities can look to the U.S. DOE's community strategic energy planning guides, the VECAN municipal energy planning guide, and the State of Vermont's guidance for translating state-level energy plans (such as the updated Maine Energy Plan) into actions that municipalities can take. In Vermont, this planning process leads to the adoption of an "municipal enhanced energy plan,"

supplementing local comprehensive plans and earning favorable review standards for renewable energy projects sited within the plan's area.

In addition, many Maine communities and Wabanaki Tribes have incorporated energy-related goals into the government resolutions they passed to join the Maine Community Resilience Partnership. In July 2024, a team led by Dr. Sharon Klein at the University of Maine collected data on community resolution goals, analyzing 85 resolutions submitted by these communities, of which 33 specifically focused on energy-related goals. These goals guide decision-making at the local and tribal government levels about the focus of their CRP's Community Action Grant proposals.

## Build a community-owned renewable energy project

A range of models for ownership and co-development of community-scale solar, tidal, and wind projects are being developed by citizen collectives, tribal governments, and municipalities across Maine.

The Maine Community Power Collective is a new cooperative ownership model, building a network of small-scale solar farms (approximately 100kW per farm) that can connect to distribution grid branches that have limited solar hosting capacity. This strategy brings the solar electricity supply closer to residential customers. Backed by the U.S. DOE's Energy Improvements in Rural or Remote Areas grant program and other partners, member-owners benefit from a 15% savings on their electricity bills and participate in the governance of the cooperative. This model is currently being deployed in the CMP utility territory, but there are plans to expand into Versant territory as well.

A Climate to Thrive has helped municipalities, schools, and community members with solar initiatives in Versant territory, including the Long Pond Solar Farm on an old landfill in Tremont, serving approximately 100 community member-owners.

Revision Energy has been supporting community solar in CMP territory for many years and includes an ownership model.

There are also many developer-owned community solar arrays to which individual people, as well as local and tribal governments, can subscribe to save money (typically around 15%) on their electric bills. EnergySage offers a tool to find these types of projects in your utility service territory and compare options.

# Improve grid resilience and use locally generated clean energy in a **community-scale microgrid**

The *Maine Energy Plan: Pathway to 2040* highlights microgrids as a solution for resilient energy systems at the community level. These localized electricity grids can continue to operate in power outages, potentially providing underserved community members with affordable backup power and local clean

energy. To do this, microgrids coordinate renewable energy sources and battery energy storage with the electricity needs of communities' homes, businesses, and other facilities that are connected within the microgrid service area.

The Penobscot Nation is designing a solar-plus-storage microgrid to power community and critical facilities, supported by the Maine Grid Resilience Program.

The Passamaquoddy Tribe at Indian Township is taking a distributed approach to developing its community microgrid by installing solar and battery systems throughout its residential buildings, in addition to solar arrays on community facilities.

The City of Eastport secured a grant to develop a community-scale microgrid powered by solar and tidal power, to combat reliability problems in their position at the end of a 40 mile-long transmission line. Exploratory planning began when Eastport participated in the U.S. DOE's Energy Transitions Initiative Partnership Project (ETIPP), a technical assistance program that is working with nine remote and island communities/regions in Maine to integrate both resilience and mitigation strategies into their long-term energy plans.

Although a community-scale microgrid has yet to come online in Maine, a number of towns are looking toward completed projects and feasibility studies in Massachusetts, Florida, and California communities.

The University of Maine recently received a grant from the National Science Foundation to study community co-design of microgrids in Maine, Alaska, and Puerto Rico.

# 6.3.6 Pursue Community Benefits Agreements for large-scale energy projects

When outside companies seek permission to build renewable energy projects and other energy infrastructure in a community, such as utility-scale solar, wind, and electricity transmission, communities may negotiate to receive expanded benefits from the company by establishing a Community Benefits Agreement (CBA). These benefits might include monetary payments, such as profit sharing; protection of natural resources; community infrastructure improvements; or programs including local hiring and training.

Maine Climate Action NOW (MCAN) is collaborating with several communities to ensure that offshore wind developers work with affected communities to reach agreements.

The Columbia University Sabin Center for Climate Change Law maintains a database of Community Benefits Agreements, which includes CBAs for the Maine towns of Osborn, Monhegan, and Norridgewock.

Plan for community **economic development through energy projects** and other strategies to support resilient communities and energy

Many other types of community-led initiatives could catalyze clean and resilient energy projects at the local level, for instance:

The Maine Community Energy Redevelopment Program is now providing technical assistance to six communities to support new business and industrial activity, in several cases by repurposing former industrial sites and existing power plants for clean energy projects.

Community Choice Aggregation (CCA) programs allow municipal/tribal governments, either individually or collectively, to negotiate and sign contracts with energy suppliers on behalf of their residents. CCAs might reduce or stabilize energy supply costs for communities, and they might enable communities to select "greener" electricity sources or even incentivize local development of renewable energy suppliers, like a community solar farm. Although Maine law does not allow municipalities to enroll residents in CCA programs by default, like New Hampshire and Massachusetts do, Maine public entities may set up a program and encourage residents to opt-in by switching their electricity supplier from Maine's Standard Offer Service to the CCA.

## Transformation over Time

As communities across Maine continue to tackle the challenges of climate change and energy resilience, these various programs, initiatives, and strategies provide a framework for local action. From community-owned renewable energy projects to microgrids, from efficiency programs to economic development opportunities, Maine's communities have multiple pathways to transition toward a more sustainable and resilient energy future. Success in these endeavors benefits from continued collaboration among community members, local governments, tribal nations, and state agencies, along with sustained commitment to implementing these solutions. By leveraging available resources and working together, Maine's communities can build a more sustainable and equitable energy system that serves both current and future generations.

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# 9.5 Transportation

# Alignment with State and Maine Climate Council Strategies and Maine Community Actions (Community Resilience Partnership)

## **Strategy A: Embrace the Future of Transportation in Maine:**

- Accelerate the Transition to Electric Vehicles (EVs)
  - A1 Purchase or lease electric vehicles for municipal or Tribal government-owned vehicle fleets. (Grants capped at \$2,000 per light duty EV.)
  - O A2 Install EV chargers in public parking areas.
  - A3 Adopt ordinances to encourage EV charging infrastructure, including at multifamily dwellings, businesses, and public parking areas.
  - O A4 Adopt an anti-idling ordinance.
- Improve Mobility and Reduce Vehicle Miles Traveled (VMT)
  - A5 Implement strategies that increase public transit ridership and alternative transportation modes, including bike and walking infrastructure.
  - A6 Implement strategies that encourage municipal/Tribal employees to commute via carpools, public transit, bike/walk, or other alternatives to single-occupancy vehicles.
  - A7 Adopt a telework policy for municipal/Tribal government staff positions that can work remotely some days per week.
  - A8 Adopt land use and development policies in plans and codes that reduce the need for driving (e.g., locating schools, workplaces, and shopping near where people live; encouraging density of development near housing and transportation).
  - A9 Adopt a Complete Streets policy which addresses safety, bike/pedestrian uses, and transit.
  - A10 Adopt a broadband plan that reduces the need to drive by increasing access to high-speed internet for underserved residents to support telecommuting, access to remote education and telehealth.

# **Strategy F: Build Healthy and Resilient Communities**

- Assess climate vulnerability of infrastructure:
  - G1 Conduct a vulnerability assessment for critical community infrastructure that includes: 1) the climate hazards to which infrastructure assets are exposed and how the intensity and likelihood will change over time; 2) the susceptibility to damage or failure given location, design, age, .condition, and state of repair; and 3) the consequences that impairment or failure of the infrastructure will have on the community.
  - G2 Develop a Capital Investment Plan that a) identifies vulnerable municipal/ Tribal facilities and assets, and b) prioritizes resilience in improvements and/or new construction.
- Utilize Climate-ready standards, designs, and practices to improve infrastructure:
  - G3 Improve and protect drinking water and wastewater treatment facilities to reduce physical damage and sustain function during extreme weather events

Roadways, culverts, and bridges are inherently exposed to damage from water. Hazards originate from either coastal flooding via sea level rise or storm surge, riverine flooding when extreme water levels driven by rain or snowmelt overtop natural or engineered features, or when road runoff is severe enough to cause damage on road shoulders or ditches. Designing transportation systems weighs a community's tolerance for risk and the costs associated with upgrading transportation systems to better endure flooding or runoff.

The first step for municipalities is to discern which areas or elements of the transportation system are at risk. If floodplain maps are available, a common surrogate to localize hydrologic modeling that incorporates climate science is simply to use the 0.2 %, 500-year storm within the FEMA floodplain rather than the 1%, 100-year storm scenario in design specifications. We believe this approach should be complemented with sea level rise maps and/or sea level rise and storm surge maps to ensure that vulnerable locations within a community's transportation system are not overlooked by the 0.2% storm floodplain maps alone. Public works department supervisors and field crew are likely to have important local knowledge of where and how flooding and water damage occurs throughout the transportation system. Make use of this collective information to envision which features of a transportation system need modification for more intense precipitation and flooding occurrences.

Prioritize projects by public safety and travel urgency and consider added resilience whenever culverts, bridges, or roads are scheduled for maintenance or replacement.

The costs associated with higher design standards are often minimal. Although few small municipalities' public works departments perform exacting cost-benefit analyses for routine maintenance and upgrades, we believe it is advisable to consider tracking the time and expenses associated with chronic small-scale damages to transportation systems. Such tracking acts as a way of monitoring climate change related damages and supports community decision-makers in understanding the costs of inaction compared with the investment of upgrading transportation system features to accommodate more extreme events.

<u>Municipal Climate Adaptation Guidance Series</u> – Transportation Chapter

#### **Rethinking Tidal Road Crossings:**

Traditional practices for designing tidal road crossings do not adequately address the unique complexities, uncertainties, risks, or benefits associated with tidal environments and climate change. In response, the Maine Coastal Program and an inaugural CoastWise Steering Committee convened experts in the field and marshaled the input of over 30 organizations to develop the CoastWise Approach for tidal crossing design. CoastWise provides a voluntary set of best practices, decision-making tools, and path for designing safe, cost-effective, ecologically supportive, and climate-resilient tidal crossings.

• Visit the <u>CoastWise Website at Maine's Department of Marine Resources</u> to learn best practices for tidal crossings and get advice on individual projects.

90% of Maine's tidal road crossings are tidal restrictions<sup>38</sup>, and are thus more apt to experience flooding, higher maintenance costs, and to interrupt access to emergency services during major storm events. Furthermore, when tidal flows are not restricted by road crossings, tidal wetlands can provide a variety of services to society including coastal storm and flood damage protection, pollutant removal, fish and wildlife habitat, and opportunities for shellfish harvesting and recreation. Some habitats, like saltmarshes and seagrass meadows, have an outsized ability to store atmospheric carbon that would otherwise contribute to sea level rise and other climate shifts. To deliver these services, tidal wetlands must remain healthy and resilient to sea level rise which requires tidal flow that is unimpaired by our transportation system.

We request content for the following case studies for the following edition:

- Prioritizing based on public safety
- Road elevation
- Road built to accommodate episodic saltwater flooding
- Culvert upgrade/change for fish passage and testimonial from Stream Smart Crossings
- Bridge example

-

<sup>&</sup>lt;sup>38</sup> Tidal restrictions are structures such as roads and dams that limit the flow and exchange of water and dissolved or suspended materials as tides rise and fall.

# 9.6 Drinking Water

# Alignment with State and Maine Climate Council Strategies and Maine Community Actions (Community Resilience Partnership)

# **Strategy F: Build Healthy and Resilient Communities**

- Assess climate vulnerability of infrastructure:
  - O G1 Conduct a vulnerability assessment for critical community infrastructure that includes: 1) the climate hazards to which infrastructure assets are expose and how the intensity and likelihood will change over time; 2) the susceptibility to damage or failure given location, design, age, condition, and state of repair; and 3) the consequences that impairment or failure of the infrastructure will have on the community.
  - G2 Develop a Capital Investment Plan that a) identifies vulnerable municipal/Tribal facilities and assets, and b) prioritizes resilience in improvements and/or new construction.
- Utilize Climate-ready standards, designs, and practices to improve infrastructure:
  - G3 Improve and protect drinking water and wastewater treatment facilities to reduce physical damage and sustain function during extreme weather events.

"Regardless of whether drinking water comes from a public system or a private well, it is one of the most crucial elements making an area habitable. Maine is fortunate to have high quality and quantity of drinking water throughout most of the state. However, both public and private drinking water systems may be at risk from changing climate conditions. This is another issue for which engineering expertise as part of the vulnerability assessment may be a worthwhile investment. Drinking water supplies may be at risk from saltwater contamination due to sea level rise and/or storm surges regardless of the type of drinking water infrastructure. Increasing temperatures are likely to increase demand for water and draw down for irrigation is likely to impact low-flow conditions differently. Maintaining adequate quantity and quality of drinking water requires long-term planning and budgeting". 39

<sup>&</sup>lt;sup>39</sup> Municipal Climate Adaptation Guidance Series: Overview (2017), https://www.maine.gov/dacf/municipalplanning/docs/CAGS\_01\_Overview.pdf

Key considerations for increasing resilience in drinking water infrastructure:

- Participating in community planning collaborations and emergency response exercises.
- Analyze a range of climate impacts over a specified period of time (scenario-based approach).
- Assess the location and condition of all parts of the drinking water system relative to sea level rise and flooding risks, and if on the coast consider retrofitting the system to detect and respond to saltwater intrusion if appropriate.
- Assess how forested or grassland habitats associated with drinking water aquifers may be affected by climate changes, e.g., case studies like the Wells Barrens Preserve.
- Determine if adaptation is needed in the face of climate impacts and if strategies exist and are cost effective.
- Consider additional sources for source redundancy or develop interconnections if appropriate.
- Use planned maintenance and repair as opportunities to implement adaptation strategies.
- Investigate funding options for work beyond the scope of planned maintenance and repair.
- Update drought contingency plans, develop emergency response plans, and establish mutual aid agreements with neighboring utilities if appropriate.
- Establish alternative or on-site power supply.
- Monitor surface water conditions and consider retrofitting intake to accommodate lower flow or water levels.

For more information specific to drinking water, visit the following websites and resources:

- Municipal Climate Adaptation Series Drinking Water
- <u>Significant Aquifers in Maine</u> Maine Department of Agriculture, Conservation and Forestry Maps, Sand and Gravel Aquifers
- Maine Water/Wastewater Agency Response Network (MEWARN)
- Resilience and Adaptation in New England (RAINE) database 207 wastewater and water utilities in New England addressing climate change in some way.
- US EPA guidance on assessing risk, emergency response, recovery, and training for drinking water and wastewater resilience: <u>Climate Impacts to Water Utilities (ARC-X)</u> | <u>Adaptation Strategies Guide for Water Utilities | Climate Change Adaptation Resource</u> <u>Center (ARC-X) | Water Resilience</u>
- Financial Resources for Maine Public Water Systems, Maine CDC Drinking Water Program
- Drinking Water State Revolving Fund, Maine CDC Drinking Water Program
- US EPA's Creating Resilient Water Utilities (CRWU) initiative provides drinking water, wastewater, and stormwater utilities with tools, training, and technical assistance to increase resilience to climate change. CRWU promotes an understanding of climate change and helps identify potential long-term adaptation options for decision-making related to implementation and infrastructure financing. CRWU's tools and resources

- include a Resilient Strategies Guide, Climate Resilience Evaluation and Awareness Tool (CREAT), and Climate and Weather Data Maps.
- New England Water Infrastructure Network (NEWIN) is a regional network of partners led by the New England Environmental Finance Center offering free financial, managerial, and technical support to help municipalities, water utilities, and tribes access funding for drinking water needs through the Drinking Water State Revolving Fund and other sources.

#### 9.7 Wastewater Utilities

"Wastewater infrastructure is essential for protecting the public health from waterborne diseases and protecting the quality of our fresh and coastal waters. Evaluating the vulnerability of wastewater infrastructure to climate hazards should include not only evaluating the treatment plant but pump stations and sewer lines as well. This is an area where engineering expertise is a worthwhile investment as part of the vulnerability assessment process. Treatment plants and pump stations are frequently located at the 'low point' in town – they could be in a floodplain, or in an area at risk from sea level rise or storm surge. Sewer lines may run along roadways and be at risk if culverts or bridges are damaged from increased amounts of precipitation. Damaged sewer lines create risk of sewage spilling into rivers and streams. Understanding the level of vulnerability of all the components of the system and possible adaptation strategies is essential to being able to make an informed determination on whether cost-effective options exist to increase wastewater resiliency.

Several Maine communities have gone through this analysis; see links on the following page for case studies.

Communities with septic systems should consider mapping the location of these systems in relation to floodplains and areas at risk from inundation from sea level rise. Increased freshwater flooding or impacts from sea level rise are both stressors which can impact if and how well a septic system continues to function. Malfunctioning septic systems can impact drinking water supplies, natural resources, and pose a serious risk to human health."<sup>40</sup>

Key considerations for increasing resilience in wastewater infrastructure:

- Analyze a range of climate hazards and the impacts to the infrastructure over a period of time (scenario-based approach).
- Assess the location and condition of all parts of the wastewater system relative to all types of applicable storm and flooding risks as well as compound risks.
- Determine if adaptation is needed and if strategies exist and are cost effective.
- Use planned maintenance and repair as opportunities to implement adaptation strategies.
- Investigate funding options for work beyond planned maintenance and repair.
- For septic systems: map current location of septic systems.
- Analyze location of septic systems relative to increased flooding risks.
- Consider ordinance language to add performance standards for installation of new systems in vulnerable locations and for inspection and decommissioning of existing systems in vulnerable locations when certain conditions arise.
- Integrate climate change projects for your utility across multiple activities including a County Hazard Mitigation Plan, Emergency Operation Plan, Asset Management Plan,

<sup>&</sup>lt;sup>40</sup> Municipal Climate Adaptation Guidance Series: Overview (2017), <a href="https://www.maine.gov/dacf/municipalplanning/docs/CAGS">https://www.maine.gov/dacf/municipalplanning/docs/CAGS</a> 01 Overview.pdf

- Capital Improvement Plan, as well as in a community-wide Climate Adaptation Plan or standalone plan Climate Plan for the utility.
- Explore multiple funding sources to assess, plan, design, and implement climate projects including:
  - FEMA Public Assistance (PA) Grant Program & Hazard Mitigation Grant Program (HMGP)
  - EPA Hazard Mitigation for Natural Disasters & Drinking Water and Clean Water
     State Revolving Loan Funds (DWSRF) & Loan Principal Forgiveness
  - O USDA Rural Development Emergency Community Water Assistance Grants
  - o HUD CDBG and Section 108 Guaranteed Loans
  - o SBA Disaster Loans

For more information specific to wastewater management, visit the following websites and resources:

- Municipal Climate Adaptation Guidance Series Wastewater Infrastructure
- Maine Water/Wastewater Agency Response Network (WARN)
- Resilience and Adaptation in New England (RAINE) database specific examples of wastewater and water utilities in New England addressing climate change
- US EPA guidance on assessing risk, emergency response, recovery, and training for drinking water and wastewater resilience
  - Adaptation Strategies Guide for Water Utilities | Climate Change Adaptation Resource Center (ARC-X) | Water Resilience | Creating Resilient Water Utilities (see Drinking Water section for more detail)
- New England Water Infrastructure Network (NEWIN) is a regional network of partners led by the New England Environmental Finance Center offering free financial, managerial, and technical support to help municipalities, water utilities, and tribes access funding for wastewater needs through the Clean Water State Revolving Fund and other sources.
- New England Interstate Water Pollution Control Commission resources for Storm Resiliency including the Guide for the Design of Wastewater Treatment Works and the Preparing for Extreme Weather at Wastewater Utilities: Strategies and Tips document.
- Environmental Finance Center Network podcast: How are Wastewater Systems Adapting to Climate Change?

Learn from and connect with peers across many examples in Maine:

- Coastal Community Grants (CCG) Wiscasset, Boothbay Harbor, Stonington, South Portland, and vulnerable wastewater treatment plants including Portland, Saco and Ogunquit
- <u>Clean Water State Revolving Loan Fund</u>, Maine Department of Environmental Protection

#### 9.8 Stormwater Runoff and Inland and Coastal Erosion

#### **Addressing Inland and Coastal Erosion**

The O.U.R. S.H.O.R.E Program was established in the winter of 2024-2025 as an initiative addressing coastal and inland waterfront erosion in Maine through Nature-Based Solutions (NBS). This program provides practical guidance for implementing sustainable shoreline protection measures.

The program offers step-by-step guidance on assessing erosion risks, implementing nature-based solutions, and navigating which activities require permitting from the Maine Department of Environmental Protection. The guide explains how to construct green-gray interventions, revegetate rip-rap revetments to strengthen them against storm damage, and use vegetation and grading instead of rip-rap revetments. It also details methods to revegetate eroding coastlines and other practices that don't require a Maine DEP permit.

These nature-based approaches and revegetation of existing rip-rap (living rip-rap) strengthen coastal banks and reduce erosion by allowing roots to bind aggregate soils, helping resist wave action and overland flood erosion. The methods are aesthetically pleasing, improve habitat value, enhance property value, and provide long-term ecological benefits. Through detailed guides, assessment tools, and training opportunities, O.U.R. S.H.O.R.E makes complex shoreline stabilization practices accessible and practical for anyone involved in coastal and waterfront management.

This guide contains dozens of helpful resources, including plant species selection lists for inland and coastal environments, design guidance for various living shoreline types, and information about existing programs and technical assistance from Maine organizations. The O.U.R. S.H.O.R.E guide serves as an efficient gateway to inland and coastal erosion control resources and best practices.

- OUR SHORE Program Maine Department of Environmental Protection
- Manuals and Guides to Reduce Water Pollution Maine Department of Environmental Protection

#### **Stormwater Runoff**

As highlighted in the Scientific Assessment of Climate Change in Maine, precipitation in Maine "has become both heavier and more frequent," with most of the increase in precipitation coming from one-inch and two-inch storm events (though three-inch and four-inch storm events are also increasing in frequency.)

#### <u>Addressing Increased Sediment Runoff and Non-Point Source Pollution:</u>

The highest concentrations of pollutants and sediments occur in the initial surface runoff during a storm event. This is known as the "first flush" effect and it is the reason why current Maine stormwater management rules require capture and treatment of the first inch of stormwater

runoff from impervious surfaces and 0.4 inches of runoff from landscaped surfaces for new development projects. An increase in the frequency of precipitation events due to climate change will increase the amount of sediment and pollutants running off a site. This will negatively impact water quality in the State's streams, rivers, ponds, and lakes. A reduction in water quality affects the entire ecosystem of organisms that rely on clean water, including us.

Federal authorities on water quality like the Environmental Protection Agency (EPA) and National Oceanic and Atmospheric Administration (NOAA) as well as regional environmental protection organizations like the New England Interstate Water Pollution Control Commission (NEIWPCC) and the Southeast New England Program (SNEP) encourage the use of green infrastructure to filter and remove pollutants from stormwater runoff. Green infrastructure offers excellent pollutant removal through a variety of mechanisms including physical filtering, nutrient uptake by vegetation, and microorganism breakdown.

Green infrastructure practices also come with a variety of co-benefits:

- Increased water quality and reductions in stormwater runoff and pollutant loading
- Decreasing localized temperature and reduction of urban heat island effect
- Increase natural habitat and biodiversity
- Improved aesthetics leading to an increase in social and economic value
- Recreation opportunities
- Noise abatement
- Carbon dioxide removal
- Improved air quality

Additionally, the Bureau of Land Resources at the Maine Department of Environmental Protection updated the Maine Construction General Permit in December 2024 to help address soil erosion and sediment control on construction sites. The Stormwater Engineering Team in the Bureau of Land Resources at the Maine Department of Environmental Protection is also in the process of updating the Chapter 500 stormwater rules. Two areas of focus with the Chapter 500 stormwater rules through consensus-based rulemaking. Chapter 500 revisions will address incorporation of new Low Impact Development (LID) standards, improving standards for climate adaptation and resiliency of stormwater including changing precipitation frequency and intensity due to climate change, consistency with other state and federal regulations, and update Erosion and Stormwater BMP Manuals.

#### <u>Addressing Legacy Infrastructure and Flooding:</u>

An increase in the frequency and intensity of extreme precipitation events will also impact existing stormwater infrastructure. Some legacy infrastructure is not designed to handle the increasing volumes of stormwater runoff leading to failures, flooding, and erosion problems.

Entities like the Maine Turnpike Authority (MTA) and Maine Department of Transportation (MaineDOT) are incorporating increasing precipitation volumes into their stormwater infrastructure design and working to replace undersized culverts. The Maine Department of

Environmental Protection also operates the Municipal Stream Crossing Upgrade Grant Program to help municipalities upgrade culverts at stream crossings to improve public safety, minimize flooding, and improve habitat for fish and wildlife.

In communities with combined sanitary and storm sewers, increasing rainfall intensities may increase combined sewer overflow (CSO) events where a mix of untreated wastewater and stormwater discharge into waterways to prevent overwhelming wastewater treatment facilities. Currently, communities with combined sewers are required to obtain discharge licenses with the Maine Department of Environmental Protection and efforts are underway to reduce and eliminate the occurrence of discharge events.

Case Study: Assessing and Reducing Impervious Cover and Evaluating Green
Infrastructure Feasibility in Urban Centers and Combined Sewer Communities in New
Jersey. Additional information and examples can be found here: <u>Water Resources</u>
<u>Program at Rutgers NJAES</u>.

New Jersey has large areas of intense urbanization and development. Studies suggest that watersheds with as little as 10% impervious coverage can see impairments to water quality. Since 2014, the Rutgers Cooperative Extension Water Resources Program has been assessing impervious coverage totals for dozens of municipalities using land use cover GIS layers using grant funding from the National Fish and Wildlife Foundation and others. Based on this data, they identified many municipalities greatly exceed 10% impervious coverage leading to major impairments to their watersheds. Using the impervious cover data, aerial image analysis, and site visits, the Water Resources Program creates reduction action plans that identify 10-20 opportunities in each municipality where impervious cover can be removed or disconnected and treated with stormwater management practices.

The Water Resources Program also conducts Green Infrastructure Feasibility Studies where they identify 15 locations in a town that could benefit from green infrastructure practices to treat stormwater runoff. For several of these locations, a rendering of the proposed green infrastructure practice is provided to help facilitate implementation. Many of the projects in the impervious cover reduction action plans and green infrastructure feasibility studies end up getting built with the help of federal and private grants. These projects also fit with the other initiatives in the state to disconnect impervious surface and slow stormwater from entering combined sewer systems to reduce overflow events.

While no programs currently exist in the State of Maine to identify retrofit opportunities to legacy development at a large scale like the Rutgers Water Resources Program, there may be an opportunity for communities to establish their own initiatives. The Southeast New England Program recently released the "New England Stormwater Retrofit Manual, developed with the support of the NEEFC's Southeast New England Program (SNEP) Network," provides guidance around sizing, designing, and implementing stormwater

infrastructure retrofits.

Key considerations for increasing resilience in stormwater infrastructure:

- Inventory existing stormwater infrastructure for location and condition and ensure proper inspection and maintenance protocols are established
- Size new development using projections of future storm events that account for precipitation changes due to climate change (e.g., 500-year storm event or forecasts from localized climatology analyses)
- Identify opportunities to disconnect existing impervious surfaces
- Assess existing development for opportunities to retrofit legacy stormwater infrastructure or provide additional treatment/storage with green infrastructure practices
- Require low impact development techniques for new development projects
- Investigate Green Infrastructure opportunities
- Require conservation subdivisions that protect and maintain open space and natural areas

Additional sample best practices for stormwater management:

- Sized to treat stormwater on-site, preferably for a 100-year or 500-year storm event
- Must have formal equipment access
- Ease and minimal cost of cleaning
- Permanent maintenance easement
- Method and access for evaluation of maintenance
- Pretreatment devices are strongly recommended to prevent clogging or sedimentation problems
- Provisions for groundwater monitoring and assessment of quantities of water removed along with estimates in the design of expected sediment quantities
- A detailed and reasonable Operations and Maintenance plan exists

#### Regional Stormwater Management

Another approach to developing best management practices is forming a regional stormwater group to advance the implementation of common solutions and to share lessons among peers. To bring a formal structure to a group, a regional coordinating position could be funded specifically for stormwater management and could add capacity where limited resources may exist. While the examples below involve groups of Municipal Separate Stormwater Sewer System, or MS4, communities, a regional stormwater group could also be formed by towns that are not MS4s as they often also have common work areas as it pertains to stormwater management and community goals.

• Interlocal Stormwater Working Group (Cumberland County Soil & Water Conservation District) - A coalition of fourteen municipalities and two nested MS4 communities in the Greater Portland and Saco areas are working together to address stormwater pollutants. The Interlocal Stormwater Working Group (ISWG, pronounced "izzy-wig") consists of Biddeford, Cape Elizabeth, Cumberland, Falmouth, Freeport, Gorham, Old Orchard Beach,

Portland, Saco, Scarborough, South Portland, Southern Maine Community College, University of Southern Maine, Westbrook, Windham, and Yarmouth. These communities work collaboratively to implement the Clean Water Act Municipal Separate Storm Sewer System (MS4) permit. The permit aims to reduce the impact of stormwater pollution on local waterways. In Maine, the Department of Environmental Protection (DEP) administers this permit on behalf of the US Environmental Protection Agency. The Cumberland County Soil & Water Conservation District coordinates ISWG and provides regional support and implementation of many of the permit's six required minimum control measures (MCMs). More information is available online: https://www.cumberlandswcd.org/iswg

- Southern Maine Stormwater Working Group The Southern Maine Stormwater Working Group (SMSWG pronounced "sim-see-wig") is a collaboration of five Towns in York County working to protect stormwater from pollution that includes Berwick, South Berwick, Eliot, Kittery, and York. Each of these towns is regulated by a Clean Water Act Permit. The Permit requires that the towns conduct public education and outreach activities related to stormwater pollution prevention, inspect the storm drain system regularly for pollutants, and maintain the storm drain system and municipal properties. Clean Water is the primary goal of the SMSWG activities. More information is available online: <a href="https://smswg.org/">https://smswg.org/</a>
- Bangor Area Stormwater Group The Bangor Area Stormwater Group (BASWG pronounced "ba-see-wig") is a collaboration of Bangor, Brewer, Hampden, Milford, Old Town, Orono, Veazie, Eastern Maine Community College, Maine Air National Guard, University of Maine Augusta Bangor, and University of Maine. BASWG uses public education and sound science to improve regional water quality through collaborative stormwater management in the Greater Bangor Urbanized Areas. More information is available online: https://www.baswg.org/

For more information specific to stormwater management, visit the following websites and resources:

- Municipal Climate Adaptation Guidance Series Stormwater Management
- Maine Climate Council Reports | Governor's Office of Policy Innovation & Future
- Resilience and Adaptation in New England (RAINE) database
- US EPA guidance on assessing risk, emergency response, recovery, and training for drinking water and wastewater resilience
  - Climate Change Adaptation Resource Center (ARC-X) Actions for Water Quality
  - O <u>Dover, Building Climate Resiliency through Simpler, Lower-cost Green</u> Infrastructure Designs.
- Adapting Stormwater Management for Coastal Floods, NOAA
  - Determine how the flooding of today and tomorrow can affect their stormwater systems
- New England Water Infrastructure Network (NEWIN) is a regional network of partners led by the New England Environmental Finance Center offering free financial,

managerial, and technical support to help municipalities, water utilities, and tribes access funding for stormwater and nonpoint source pollution needs through the Clean Water State Revolving Fund and other sources.

#### Retrofitting Legacy Stormwater Infrastructure:

- Stream Crossing Upgrade Grant, Bureau of Land Resources (Maine DEP)
- New England <u>Stormwater Retrofit Manual</u> provides guidance around sizing, designing, and implementing stormwater infrastructure retrofits. The manual offers an alternative approach that is practical, achievable, and can offer solutions to communities' size restrictions, while achieving measured and verified infiltration and pollution goals.
- Clean Water State Revolving Fund, Maine Department of Environmental Protection
  - O Green Project Reserve: Potential funding opportunity to construct green infrastructure for municipalities, districts, and quasi-municipalities
- Potential green infrastructure funding opportunity for areas on the Nonpoint Source Priority Watersheds List: <u>319 Grant Program, Maine Department of Environmental</u> <u>Protection</u>

#### Resources Relating to Green Infrastructure:

- Green Stormwater Infrastructure 101 for New England Communities (Recorded Webinar) from the Southeast New England Program (SNEP) Network
- NOAA's Green Infrastructure Tools: Helping communities reduce extreme weather impacts using nature's processes.
- The Department of Environmental Protection developed <u>fact sheets</u> to reduce water pollution. The materials describe practical green infrastructure designs. Some of the sheets on this page are grouped under a "Conservation Practices for Homeowners" heading and are targeted to lakefront property owners.
- <u>Maine Stormwater Best Management Practices Manual</u> Technical manual that includes Best Management Practices (BMPs) specifications and chapter on Low Impact Development (LID).
- Think Blue Maine: statewide campaign that provides resources and information for residents, businesses, and municipalities to reduce stormwater pollution.
- Native Plants, Landscaping, and Green Infrastructure Fact Sheets from the Cumberland County Soil and Water Conservation District.

#### Examples in Maine:

- City of South Portland: Stormwater Management Program
- City of Portland: Clean Water, Clean Growth
  - Stormwater service charge
- City of Bangor: <u>Stormwater Utility/Ordinance</u>

#### Additional Department of Environmental Protection Resources:

• Land Resources, Maine Department of Environmental Protection

• <u>Combined Sewer Overflow Program</u>, Maine Department of Environmental Protection

Join the Maine DEP Stormwater Engineering Team Listserv to find out more information about the Chapter 500 update and other stormwater related topics:

Maine Department of Environmental Protection (govdelivery.com)

# 9.9 Buildings

Alignment with State and Maine Climate Council Strategies and Maine Community Actions (Community Resilience Partnership):

# Strategy B: Modernize Maine's Buildings: Energy Efficient, Smart, and Cost-Effective Homes and Businesses

- Transition to Heating and Cooling and Efficient Appliances in Municipal/Tribal Buildings:
  - B1 Adopt and execute a plan for energy efficiency and building envelope weatherization improvements for municipal/Tribal buildings. Collaborate with local school district for school building improvements.
  - B2 Upgrade to energy efficient interior lighting in municipal/Tribal buildings.
  - o B3 Upgrade to energy efficient appliances in municipal/Tribal buildings.
  - O B4 Install a heat pump system or VRF system for heating/cooling and heat pump water heating in municipal/Tribal buildings.
  - B5 Upgrade streetlights and exterior lighting for municipally/Tribally owned facilities with energy efficient LED lighting (and minimize light pollution with downlighting where possible).
  - B6 Adjust procurement policies to prioritize climate-friendly Maine forest products (e.g., mass timber, wood-fiber insulation) in construction projects.
- Advance the Design and Construction of New Buildings
  - B7 Adopt the energy efficiency stretch building code (currently IECC 2021).
  - B8 Require EV charging readiness and solar energy readiness for all new construction.
  - B9 Support regular professional development for code enforcement officers, especially Efficiency Maine's code trainings.
  - B10 Adopt C-PACE ordinance for commercial property owners to install renewable energy systems, energy efficiency measures, and EV charging infrastructure (pending state program launch).

Maine's cities and towns play a vital role in reducing the carbon emissions from the building sector in their communities. The buildings construction and operation sectors accounted for about 21 percent of all greenhouse gas emissions worldwide in 2022 and accounted for about

37 percent of energy and process-related global CO<sub>2</sub> emissions (i.e., CO<sub>2</sub> emissions not related to land use changes).<sup>41</sup> In the State of Maine, almost one-third of the greenhouse gas emissions are from heating, cooling, and lighting of our buildings.<sup>42</sup>

While the building sector can be divided into various components and looked at in different ways, for the purposes of municipal actions a community can implement, the three primary sectors are municipal, commercial, and residential buildings. Within all three sectors, resilience can be improved for both existing buildings and new construction.

#### 9.9.1 Municipal

The easiest and most logical first step to address carbon emissions within buildings in a community is to implement changes within municipal buildings. Given the municipality has complete control over the operations and any renovations or new construction, making significant changes within these buildings is very achievable. There are budget implications which will require input from municipal government and may require residents' approval, but by implementing changes to municipal buildings, a community can demonstrate its commitment to carbon reductions making it politically easier to enact changes for the commercial and residential sectors.

Many progressive communities have started their journey towards a more sustainable future by passing a municipal "green building" ordinance or policy. While these ordinances/policies look different for each community, the goal is a commitment from the municipality to operate and build facilities to a certain standard. The <a href="New Buildings Institute">New Buildings Institute</a> has published a zero-emissions building policy for municipalities which is a road map for municipalities to make changes to their operations and achieve zero emissions in current and new buildings.

#### Here are their primary steps:

- All municipally owned, occupied, or leased buildings take action to achieve net-zero
  emissions. This shall be achieved in new construction, major renovation and energy
  retrofit projects larger than 5,000 square feet by implementing the following
  strategies:
  - a. Prioritizing energy efficiency by achieving appropriate Site Energy Use Intensity (EUI) targets developed by using energy modeling for new buildings/retrofits and benchmarking in existing buildings.
  - b. Specifying electric sources for space conditioning, water heating, cooking, lighting, and all other non-emergency functions.
  - c. Offsetting building operational energy use with renewable energy sources.
  - d. Considering opportunities to reduce the lifecycle impacts of embodied carbon associated with materials.

<sup>&</sup>lt;sup>41</sup> United Nations Environment Programme Global Status Report for Buildings and Construction, (2024) Page ix, https://www.unep.org/resources/report/global-status-report-buildings-and-construction

<sup>&</sup>lt;sup>42</sup> Maine Won't Wait, (2020), Page 11, <a href="https://www.maine.gov/future/sites/maine.gov.future/files/inline-files/MaineWontWait">https://www.maine.gov/future/sites/maine.gov.future/files/inline-files/MaineWontWait</a> December 2020.pdf

- 2. All municipal departments shall develop a plan for the elimination of sources of fossil fuel combustion within their existing buildings by 2035.
- Disclose the environmental impact of select building materials used in new construction and major renovations projects by submitting Environmental Product Declarations.
- 4. To further reduce GHG emissions from buildings, the following strategies are encouraged, but not required, as part of new construction and major renovation projects.
  - a. Take steps to reduce the embodied carbon emissions associated with building materials.
  - b. Account for GHG emissions from refrigerants and take steps to promote the use of low global warming potential (GWP) refrigerants.
  - c. Account for GHG emissions from transportation sources and promote electrification of the county's [municipality's] vehicle fleet.

Other municipalities have instituted the preceding steps suggested by the New Buildings Institute and/or put in place policies mandating that all new buildings or major renovations be certified using a green building certification process. Several of the most common certifications include LEED, Green Globes, Living Building, and WELL Building Certification. Each certification has unique characteristics which might make it the best for an individual municipality.

#### 9.9.2 <u>Commercial</u>

The next sector to address on the municipal level is the commercial building sector. Businesses are the lifeblood of the community and depend on the services provided by the municipality to thrive. By pushing them to operate carbon-free buildings, the municipality can increase its competitiveness while creating a progressive environment that will attract other businesses.

#### Energy Use in the Commercial Building Sector

There are three distinct categories of policies that have been adopted by different municipalities around the country to address energy use in commercial buildings: 1) benchmarking and transparency policies; 2) audit, tune-up, and re-commissioning policies; and 3) building performance standard policies.

#### Benchmarking and Transparency Policies

The least demanding to owners is a benchmarking and transparency policy. As of October 2021, nearly 50 states and municipalities have adopted benchmarking/transparency policies including Portland and South Portland.<sup>43</sup> These policies require most commercial buildings over a certain size to track and report their energy usage on a regular basis. This information is then in the public realm and can be disclosed. These policies usually do not include a next step for either the commercial building or for the municipality. The goal of benchmarking and tracking policies is to demonstrate the amount and costs of the energy used within a building's operations to motivate owners to reduce usage. Lack of compliance results in penalties to the owner.

<sup>&</sup>lt;sup>43</sup> IMT, <a href="https://www.imt.org/wp-content/uploads/2015/09/Comparison-of-Benchmarking-Policies">https://www.imt.org/wp-content/uploads/2015/09/Comparison-of-Benchmarking-Policies</a> October-2021.pdf

#### Audit, Tune-up and Re-commissioning Policies

A more progressive approach to building energy use is a policy that requires owners to audit and then make changes to their properties. Similar policies have been passed in 15 different jurisdictions within the US as of October 2021.<sup>44</sup> Beyond simply reporting on usage, owners are required to then conduct an audit of the building to identify operational or capital problems resulting in unnecessary energy use. Various municipalities treat the final step differently as some do not require those changes to be made while other jurisdictions require owners to implement the suggested changes to their buildings' operations. Currently adopted policies vary in the length of time between audits from 5 to 10 years.

#### **Building Performance Standard Policies**

The most stringent of the policies currently adopted in the US are termed building performance standard (BPS) policies. As of July 2024, 47 jurisdictions have adopted or committed to adopt similar policies. <sup>45</sup> These policies generally require buildings to adopt a baseline for performance or set that baseline as of a certain date and then track and lower their usage over time with specific targets set by the municipalities. By requiring buildings to meet certain performance standards, municipalities can make significant reductions in carbon emissions. Penalties are levied for lack of compliance.

# Non-Energy Specific Green Building Ordinances

Various municipalities around the US have adopted policies that go beyond simply looking at the energy use within buildings. These policies have primarily been focused on using specific certification standards as a requirement for major renovations and new construction of commercial buildings. Although several programs are available, the most common certification program for US buildings is the United States Green Building Council's LEED certification. The process is a third-party certification which can be adapted for almost all building types. The process includes several requirements and optional elements for the building to pursue. For LEED, certification levels from "Certified" up to "Platinum" are available for projects and municipal policies vary on their requirements for levels of certification. This approach of using a third-party certification which requires a holistic approach to a building has proven to be a relatively easy way for a municipality to raise the standards for commercial buildings without encumbering staff with added responsibilities of monitoring and certifying projects.

While some jurisdictions have required certifications, others have provided incentives for buildings to achieve certifications. Expedited permitting time, reduced fees, and other measures can often be enough for owners to adopt certification standards.

#### 9.9.3 Residential Codes and Stretch Codes

Residential buildings may be the most difficult sector to regulate. While requirements like the

<sup>&</sup>lt;sup>44</sup> Institute for Market Transformation (IMT), https://imt.org/resources/implementing-energy-audit-and-tune-up-policies/

<sup>&</sup>lt;sup>45</sup> Institute for Market Transformation (IMT), https://imt.org/resources/map-national-bps-coalition-participating-jurisdictions/

suggestions for commercial buildings are an option, requiring owners to make additional investment in their homes can be politically tricky. Building codes are the easiest way to push residential buildings to adhere to higher standards. The State of Maine has just passed new building codes including a building energy code. These required measures will help to push buildings throughout the state to be built to a higher standard for energy efficiency and local jurisdictions play a major role in enforcing the new state codes. Various tools are available from the State to help in the enforcement process and municipalities should make a strong commitment to enforcing the new codes. The State has also made it possible for local jurisdictions to adopt a "stretch code" for its energy efficiency code. As the name implies, the code goes beyond the statewide code and calls for added efficiency within new buildings. The stretch code adopted by the State is equivalent to the 2021 International Energy Conservation Code. Municipalities can adopt the stretch code and require new buildings to adhere to stricter requirements for energy efficiency.

#### 9.9.4 Historic Properties and Climate Change

The future of historic properties is often overlooked in the complex process of planning for the effects of climate change, yet historic properties will also be physically affected by wind, water, heat, and fire. Historic homes, businesses and industries, and civic and religious structures help create a unique sense of place, and in many cases comprise the "infrastructure" that draws tourism and investment. Community members, municipal officials, planners, preservationists, and scientists can contribute to deciding how - and which – historic properties can be protected and can work to create incentives for properties to become resilient while preserving their historic significance. Due to their materials, designs or siting, some historic properties may require specialized approaches to protect them from water, wind, waves, heat, fire, or erosion.

Historic properties may differ from non-historic properties in many ways, e.g., structurally, or architecturally, they may include unique or fragile materials – sometimes irreplaceable, or feature craftsmanship and design characteristic of a specific era or tradition. Historic properties also derive their significance from their context – often including the location or setting. Every historic property is important for a particular reason, and there are character-defining features inherent in the property that convey its significance, without which the property's historic value may be diminished or lost. Understanding these features is key to helping historic properties adapt to the changing climate. The scale of the character-defining features can range from the tooled foundation treatment on a home to the layout and siting of a planned residential community. Some historic properties are iconic in their settings – lighthouses on the edge of the coast – and others may represent the identity of a community – a mill integrated into the town seal, for instance. Any adaptations or efforts to improve resilience should uniquely consider the features, contexts, materials, and associations of historic significance. It is important to provide property owners with the resources to identify these features, contexts, materials, and associations for their property so that they can take them into consideration when planning to increase resilience or undertake adaptations.

Certain types of funding and/or permitting require the historic significance of a property to be considered before undertaking a project. Section 106 of the National Historic Preservation Act

of 1966 (NHPA) requires federal agencies to consider the effects on historic properties of projects they carry out, assist, fund, permit, license, or approve throughout the country. If a federal or federally assisted project has the potential to affect historic properties, a Section 106 review is required. This review gives interested parties and the public the chance to weigh in on these matters before a final decision is made. This process is an important tool for citizens to lend their voice in protecting and maintaining historic properties in their communities.

As with municipal or regional adaptation efforts generally, adapting historic properties to climate change is a circular process: incorporating study, analysis, planning, implementation, and monitoring before repeating this cycle as needed. Below are a series of action points that characterize this process.

- Identify properties. Use existing community knowledge and consult with the Maine
  Historic Preservation Office to identify historic properties in your community. If the
  historic properties are well documented, this will inform mitigation and adaptation
  options; if not, create an easy-to-use inventory form to record the characterdefining features of a property (this can be combined with number 4 below).
- 2. Community dialogue. Convene stakeholders at all levels to consider the economic, social, cultural, and historic value of the communities' historic properties. Consider the variety of functions they perform (i.e., is the old school now a community clinic?) and the variety of users associated with the resource. If a historic downtown, fort, or concert hall draws visitors and tourists from away, what is its value to the local economy? Develop initial short-term and long-term priorities. Discuss the economics, risk, and insurance to help communities understand the importance of spending money on planning to preserve and protect their historic properties.
- 3. **Identify Risk.** Identify threats at a community wide level (including wildfire, floods, storms, heat, water, drought, and how economic decline or migration threaten historic properties) and provide property owners with easy-to-access information on specific threats (i.e., floodplain maps, sea level rise layers, wildfire vulnerability maps, etc.).
  - <u>Weathering Maine.</u> Location of National Register listed properties, cultural resources and National Historic Landmarks vis a vis inundation scenarios.
- 4. Undertake a **vulnerability assessment** on the historic resources to identify specific points of weaknesses or strengths. In some cases, historic properties may already have an existing capacity for resilience (think large porches or awnings to protect from heat). This can be done at a local or regional scale or target a specific resource. New technologies, such as LIDAR and 3D scans can assist with surveys. Examples of vulnerability assessment forms include:
  - Climate Change Vulnerability Assessment: Cities of Portland and South Portland (2019)
  - Weather It Together: Hazard Mitigation Planning for Historic Communities (Maryland Historical Trust)
- 5. Engage in further dialogue with the community and stakeholders to identify risk tolerance, refine economic assessments, present visual simulations of how the

- threats affect historic properties, discuss roles, responsibilities, and funding.
- 6. **Make a Plan.** Make a hazard mitigation plan, disaster plan, or comprehensive plan that recognizes and includes historic resources. Example guidance for threats, options for mitigation, and considerations of historic properties include:
  - a) Staying Above Water Property Owner's Guide
  - b) Guidelines of Flood Adaptation for Rehabilitating Historic Buildings
  - c) Boston Resilient, Historic Buildings Design Guide

The following are examples of short-term actions a community can take to implement projects specific to historic preservation:

- Create an action plan/ implementation team for community resources. Pre-plan for specific sites under a multitude of scenarios with Emergency Management officials and local organizations. Know who is going to do what in advance of a foreseeable threat.
- Purchase and install or store resiliency measures or devices (barriers, pumps, cooling units) appropriate for the characteristics of historic resources used by the community or public. Bulk purchase of protective equipment at a discount, or a tax incentive for adaptation activities can act as incentives for property owners to prepare for climate change.
- Publish town wide, neighborhood, or threat-specific guidance on options for adaptation and resilience. Publish the action plan, links to product information, and the results of surveys and assessments in multiple formats and targeted both to specific organizations and the entire community. Make this information readily and easily accessible.

The following are examples of long-term actions a community can take to implement projects specific to historic preservation:

- Short-term plans and practices are important and can provide an incremental approach to dealing with climate change, but longer-term vision is needed. *Today's flood barrier may be tomorrow's underwater navigational hazard.*
- Revisit, revise, update the action plan on a regular schedule.
- Consider alternatives to physical preservation (3-D mapping, photographic documentation) as warranted.
- Acknowledge that the community response to the challenge of climate change becomes part of its history and identity.

#### 9.10 Public Health and Services

# Alignment with State and Maine Climate Council Strategies and Maine Community Actions (Community Resilience Partnership):

# Strategy F: Build Healthy and Resilient Communities

- F13 Identify and plan to reduce public health threats in the community that are exacerbated by climate change.
- O F14 Develop and implement an extreme temperatures emergency plan, including strategies that increase use of cooling centers by residents.
- o F15 Establish a peer-to-peer program for checking in on vulnerable community members during extreme heat or cold events.
- O F16 Increase community-level resilience to mosquito-borne diseases by implementing vector controls to decrease mosquito habitat.
- o F17 Implement school-based programs to educate students about prevention of mosquito- and tick-borne diseases.

#### **Example Resources:**

- Climate and Health Page from the Maine Department of Health and Human Services
- Ozone and Particle Pollution Forecast: Maine Department of Environmental Protection Current and Expected Air Quality Concentrations for upcoming 24 hours
- Air Monitoring and Reporting: Maine Department of Environmental Protection Field Measurements
- Maine Tracking Network: Maine Department of Health and Human Services, Center for Disease Control. Health and environmental data, by geographic region, across age groups, genders, regions, and time periods, customized tables, charts, and maps
- Heat-related Illness: Maine Department of Health and Human Services, Center for Disease Control. Tips and strategies for dealing with extreme heat and hot weather, symptoms of heat- related illness
- heat.gov: National Integrated Heat Health Information System. Up to date information on extreme heat alerts, public health education, mitigation measures, tools, planning, funding opportunities, and more.
- <u>Lyme Disease</u> Maine Department of Health and Human Services, Center for Disease Control. History; resource for residents, educators, physicians; data; reports and publication; legislation
- Wildfires and Air Quality Information from the Maine Governor's Office
- USEPA and Partners: AirNow. Resources on fire and smoke impacts and other air quality information.

# 9.11 Urban Forests and Forestry

Alignment with State and Maine Climate Council Strategies and Community Actions (Community Resilience Partnership):

- Strategy D: Create Jobs and Grow Maine's Economy through Climate Action
  - O D2 Adjust procurement policies to prioritize climate-friendly Maine forest products (e.g., mass timber, wood-fiber insulation) in construction projects.
- Strategy E: Protect the Environment and Working Lands and Waters in Maine, Promote Natural Climate Solutions and Increase Carbon Sequestration
  - O E1- Set targets for increasing green space and tree planting to increase shade and water access in public spaces and carbon sequestration.
  - E2 Incorporate a goal into conservation plans of conserving 30% of land in the community by 2030 (including undeveloped town property), with a priority on addressing conservation gaps related to high biodiversity areas, undeveloped blocks, and land and water connectivity.
  - O E4 -Develop a natural resource and habitat inventory that includes climate stressors and impacts.

Urban forests offer considerable environmental, economic, and social benefits to communities. Street trees, parks, and community forests are considered green infrastructure and can reduce air and water pollution and excess stormwater runoff. Shade from trees cools pavement and buildings and reduces the "heat island" effect of developed areas, lowering air conditioning costs and improving public health and safety during heat waves. Urban forests, trees, and green spaces attract people to the outdoors, foster active living, improve physical and mental health, and are associated with reduced crime rates and increased neighborhood pride and social connections. Street trees increase real estate values, can hold spiritual value, contribute to a sense of place for residents. Overall urban forests and trees create more desirable places to live, work, and play. Street trees can even improve road safety by calming traffic and encouraging slower driving. The extent that urban trees and forests provide these services depends on species and age composition, planting designs and locations, and maintenance schedules and resources.

Urban trees and forests are an element of how communities adapt to the hazards of climate change. However, the trees themselves may also be vulnerable to climate change. Rising temperatures, more frequent and severe storms, exotic pests, and variability in seasonal rainfall and drought patterns create physiological stresses on urban forests. When climate

change stresses urban trees and forests, the ecosystem benefits to community wellbeing can be compromised.

Climate change will continue to alter species ranges and regeneration rates, further affecting the health and composition of any community forests that rely on natural regeneration. Warmer winter temperatures increase the likelihood of winter tree kill, especially in evergreens, as trees may briefly respond to signals to begin growing but may lack the available water to do so. Some trees which thrived under previous climate regimes may be unable to persist under novel climate conditions. Therefore, proactive management is necessary to protect urban forests against climate-related threats and sustain desired urban forest structure for future generations. Local climate action plans should incorporate urban forestry into mitigation and adaptation strategies.

#### Mitigation:

The sustainable use of local wood, food, and other goods provided by urban forests can displace imports associated with a higher carbon footprint. Urban wood is a valuable and underused resource, and can be utilized for carpentry, community firewood banks, and other types of projects. Productive use of wood from urban trees at the end of their lives as well as from trees removed by public works departments can reduce costs and emissions from waste transportation and disposal.

Strategically planting trees around buildings reduces energy demand, and enlarging and improving planting sites improves tree longevity and increases stormwater infiltration. Including trees in holistic street improvement projects can help reduce urban heat island effects by shading roadways and can improve community health and safety.

Urban forests also help mitigate climate change by capturing and storing carbon dioxide. Large-stature species with dense wood store the most carbon. Maintaining tree canopy in perpetuity and replacing trees as they die or must be removed also sustains carbon storage within urban trees and forests and allows carbon to accumulate in soils.

#### Adaptation:

Planting a diverse mix of pest and disease-tolerant, drought-resistant, low-maintenance, and long-lived trees ensures greater resilience of urban forests to climate change. Planting small groves of water-tolerant species in areas receiving peak volumes of stormwater runoff reduces flooding and removes pollutants. Establishing and adhering to a regular maintenance cycle can help protect communities from the hazard of blowdown in storms. Hazardous or diseased trees must be treated or removed, and young trees must be pruned early and often to encourage development of strong branching structures that are less vulnerable to storm and wind damage. Creating an urban forest inventory and a management plan, and continuing to update them, can help municipalities ensure sustainable long-term management of urban forests.

#### Local governance:

Due to limited staff and budget resources, many communities rely on partnerships with private

landowners, organized citizen groups, and nonprofit organizations to effectively manage urban ecosystems. Communities should assess staffing capacity dedicated to the management of their urban and community forest(s) along with local professionals available for tree and forest planning and management.

Volunteer and citizen-based initiatives may complement or augment municipally run adaptation and mitigation strategies. Community volunteers can gather data needed to develop informed urban forest management and climate action plans. Neighborhood workdays provide opportunities for residents to join forces to restore, maintain, and/or expand the urban forest. Such citizen involvement improves urban forest health while strengthening community social ties, creating an environment conducive to cooperative adaptation to climate change.

One example of a citizen-based nonprofit is Bangor Beautiful, an organization which has been working with the City of Bangor since 2022. This citizen-led group helps raise funds for planting additional trees beyond what the City can afford to plant in any given year, organizes volunteer workdays to support tree planting and maintenance, makes the City more pollinator-friendly, facilitates public art installations, and promotes improvements in pedestrian safety and sense of place through increasing landscaping and public art and decreasing pavement, in partnership with City staff.

#### **Specific strategies:**

- Know what you have through inventory/mapping of the resource. This forms the basis for planning and management.
- Assess capacity of staff, local resource professionals, and any local organizations dedicated to urban and community forest health, gardens, or open space stewardship.
   If such an organization does not exist in your community, explore the possibility of creating one.
- Use this information to develop a management plan, including realistic budgeting. Seek additional funding through grants or nonprofit donations, if applicable.
- Follow through on the plan by maintaining a healthy and diverse forest. Climate change
  poses the greatest risk in forests that lack genetic and structural diversity. Plant an
  array of non-invasive and preferably native species hardy to the Maine's weather
  (drought, intermittent flooding, heavy snow, ice, wind). Planting open spaces with
  variety in size, age, and structure will increase forest resilience to the many extremes
  of climate change. Ensure that trees along streets and in parks receive adequate
  follow-up care and maintenance throughout their lives.

For more information specific to forestry, visit the following websites and resources:

- <u>Invasive Threats to Maine's Forests and Trees</u>: Maine Department of Agriculture,
   Conservation and Forestry
- Forest Insect & Disease Conditions Reports: Maine Department of Agriculture, Conservation and Forestry
- <u>Climate Adaptation Fellowship</u>: Developed in partnership with University of Maine, Forest Stewards Guild, Manomet, USDA Northeast Climate Hub, and others. Peer-to-Peer Learning Program; Farms, Forests and Communities; Modules Tailored for

- Northeastern Land Managers and Advisors
- <u>Project Canopy</u>: funding and technical assistance opportunities from the Maine Department of Agriculture, Conservation and Forestry
- Community Tree Stewards: Partner with Maine Conservation Corps to host a Community Tree Steward to accomplish work related to forestry in underserved communities.
- Forest Adaptation: Climate Change Response Framework
- Northeast Climate Hub: US Department of Agriculture
- <u>Vibrant Cities Lab</u>: USDA Forest Service and American Forests
- <u>Climate Adaptation actions for urban forests and human health</u>: USDA Forest Service
- I-Tree Eco: tool for conducting urban forest inventories
- Tree Equity Score: American Forests. Helps identify neighborhoods in greatest need of urban tree planting
- Tree City USA: Arbor Day Foundation. Includes instructions for communities to become certified as a Tree City USA, recognizing their commitment to urban forest stewardship
- <u>Tree City USA Bulletin and Resources:</u> Arbor Day Foundation. Helpful resources for urban and community forestry, especially for new and intermediate practitioners

# 9.12 Working Waterfronts and Fisheries Sector Resilience

Alignment with State and Maine Climate Council Strategies and Maine Community Actions (Community Resilience Partnership):

# Strategy D: Create Jobs and Grow Maine's Economy through Climate Action

- Support Maine's Natural Resource Economy:
  - O D1 Adopt policies that enable, support, or incentivize local food production and consumption, including community gardens.

# Strategy E: Protect the Environment and Natural and Working Lands and Waters in Maine, Promote Natural Climate Solutions, and Increase Carbon Sequestration

- Protect Natural and Working Lands and Waters
  - E2 Incorporate a goal into conservation plans of conserving 30% of land in the community by 2030 (including undeveloped town property), with a priority on addressing conservation gaps related to high biodiversity areas, undeveloped blocks, and land and water connectivity.
  - E3 Create or update a watershed plan to identify flooding and water quality priorities and adaptation options.
  - O E4 Develop a natural resource and habitat inventory that includes climate stressors and impacts.
  - O E5 Conserve, revegetate and reconnect floodplains and buffers in riparian areas.
  - O E6 Preserve climate-threatened natural areas such as wetlands, riparian areas, and headwater streams through zoning or other regulations.
  - E8 Adopt policies that prioritize natural, nature-based or ecologically enhanced shoreline protection for coastlines, rivers, and lakes.
  - o E9 Identify and protect sites for living shorelines and saltmarsh migration areas.

#### **Working Waterfront and Fisheries Sector Resilience:**

Many coastal communities in Maine rely on diverse fisheries, aquaculture, and other marine sectors to support the local and regional economy. Coastal access and reliable infrastructure are the foundation of water-dependent businesses that make up our state's blue economy. Climate change is affecting both the integrity of critical coastal infrastructure and marine habitats and resources. In addition to the physical and environmental impacts, these changes pose significant challenges to the health and wellbeing of fishermen, as well as the resiliency of marine businesses. The stress and uncertainty brought about by shifting marine ecosystems and damage/loss of infrastructure from storms, as well as changes in shoreland and in-water rules and management in response to climate change can each lead to mental

health challenges, while the economic impact threatens the stability of their livelihoods. Supporting the resilience of coastal communities requires addressing environmental, social, and policy dimensions of climate change.

While there are various factors affecting access to fisheries and sea farms, sea level rise, storm surge, and flooding have unique risks that municipalities should consider when planning for climate adaptation. Many coastal municipalities have town owned and/or maintained working waterfront infrastructure that supports these marine sectors and provides coastal access, in addition to many sites that are privately owned by fishing co-ops and small businesses. Rising water levels and more frequent storm damage affect intertidal access and working waterfront infrastructure, which limits coastal access to mudflats, fishing vessels, sea farms, and wharves.

Strategic and forward-looking planning by municipalities will allow for continued reliance on the 'blue economy' for jobs, tourism, and availability of local seafood. Blue economy refers to "an economic system or sector that seeks to conserve marine and freshwater environments while using them in a sustainable way to develop economic growth and produce resources such as energy and food," as defined in the Seafood Economic Accelerator (SEA) Maine Roadmap, a strategic economic development roadmap published in 2024. Mixed-use planning for working waterfront properties provides resilience for marine businesses. This flexibility helps them cope with reduced fishing revenue and adapt to habitat changes caused by climate change. For example, other industries and businesses can offer additional revenue sources - such as seafood processing, direct sales or seafood food trucks, water-based tourism, or other marine-related businesses or supply stores. In addition, protecting coastal and intertidal habitat from pollutants, stormwater runoff, and shoreline erosion will continue to support a healthy ecosystem, upon which our blue economy relies. Collectively, the following efforts can help to preserve the economic, cultural, and environmental value of Maine's coast.

#### **Working Waterfront Inventories**

One of the first steps to building more resilient fisheries and working waterfront is to take an inventory of existing marine resource-based jobs and businesses, shoreside infrastructure, as well as coastal habitats and regionally significant species.

An inventory of jobs and businesses can include the number of municipal shellfish licenses, the number of state and federal fishing licenses held by residents, the volume and value of fishery landings, the number and acreage of aquaculture leases and limited purpose aquaculture (LPA) licenses, as well as marine supportive businesses, commercial vessels, and number of employees/self-employed individuals.

A similar inventory should be conducted for working waterfront infrastructure. Inventories can include: wharves, docks, slips, broadside berthing, boat ramps, moorings, hoists, parking, unloading facilities, bait or gear storage, ice access, and fuel supplies. Lastly, the factors within the purview of town decision-making that relate to habitat and ecosystem health for local fisheries (further detailed in the marine resources section) can be included.

An example template of an inventory for both working waterfront infrastructure and access points was developed through efforts by the Maine Coast Fishermen's Association (MCFA) and Tidal Bay Consulting (Working Waterfront Inventory Report and Template). The Comprehensive Planning and Land Use

Regulation Act (MRSA Title 30-A, §4312.3.G; §4326.1.D; §4326.3-A.E., 2001) requires that each comprehensive plan (for a coastal community) include an inventory and analysis of: marine-related resources and facilities such as ports, harbors, commercial moorings, commercial docking facilities and related parking, and shellfishing and worming areas. The Template expands beyond these minimum requirements and provides worksheets to standardize the data collection and includes information on where to find data. Starting in early 2025, MCFA will be providing technical assistance to municipalities that would like to utilize the Working Waterfront Inventory Template. Once these inventories are created, municipalities can use existing data and resources to assess risk. Access to intertidal areas is also important to inventory and map to understand any change in access, as well as to help identify future access points. A guidance document for towns interested in inventorying access points to intertidal areas was developed by Manomet, and can be found here.

The most recent statewide inventory of all working waterfront access points is from 2005, compiled by the Island Institute for a report titled "The Last 20 Miles" which describes the status and trends threatening coastal access in Maine. Although dated, inventory data at the town level can be used as a jumping off point for future inventory efforts. For most access points, the database includes: facility name, location coordinates, access type, ownership, accessibility, zoning, and services available (i.e. parking, fuel, ice, hoists). The database of working waterfront access points from the report can be downloaded as shape files through the Maine GeoLibrary Data Catalog.

The Maine Coastal Program is currently working on an inventory project to evaluate crowding, conflict, and management needs at public waterfront facilities (state and municipal boat launches and public piers). Results will be finalized in early 2025 and posted on the Maine Coastal Program website: Maine Coastal Program | Department of Marine Resources. Preliminary results indicate that crowding is increasing at public facilities and stronger and more frequent coastal storms are causing damage and exacerbating existing maintenance issues. The need for additional access and funding to expand and maintain public boating access was clear. A possible connection was raised between short-term rental use and increased crowding and conflict at public boating facilities. This needs to be evaluated further, but the amount and type of tourism should be considered when evaluating management and use of public boating facilities. Improved signage and user education could alleviate some challenges.

#### **Working Waterfront Vulnerability Assessment**

Working waterfronts are vulnerable to a range of climate hazards including increases in coastal flooding that are driven by sea level rise. Assessing flood risk for working waterfront requires knowing: 1) what structures, assets, and operations are vulnerable to flooding and wave damage and 2) how high water levels and waves will reach relative to those site-specific vulnerabilities over their lifespans.

Present-day flood risk can be understood using a combination of local knowledge and state and federal tools. Gathering verbal and photographic documentation of water levels during spring high tides and floods of record (April 10, 2020, Downeast; January 10, 2024, in the Midcoast; and January 13, 2024 in Southern Maine), then measuring the heights of structures and utilities relative to those water levels provides key baseline flood risk information. The Maine Geological Survey Sea Level Rise Viewer shows areas that are inundated at the Highest Astronomical Tide (a water level that is generally exceeded 2-10 times per year). Federal Emergency Management Agency (FEMA) Flood Insurance Rate Maps also show

areas that are impacted by inundation (AE zones) or inundation and damaging waves (VE zones) during the 1% annual chance of coastal flooding event under present-day sea level conditions.

Once there is a baseline understanding of the heights of structures and assets relative to present-day water levels, the Maine Climate Council Scientific and Technical Subcommittee Report (2024) provides the expected rate of sea level rise (in inches) each decade through the year 2150. There are several scenarios of possible future sea level rise, and Maine Climate Council recommends that the state commit to managing sea level rise under the Intermediate scenario and prepare to manage under the High scenario.

The Maine Coastal Program has completed a number of working waterfront vulnerability assessments that model potential inundation and storm, tidal, and wave inundation impacts on working waterfronts. Read the Penobscot Bay Vulnerability Assessment and Resilience Planning assessment here.

#### Planning, Permitting, Zoning, and Flood Insurance

Throughout the planning process, ensure adequate outreach and engagement to help understand the priorities of different groups within a community, including working waterfront industry members. Group gatherings such as town meetings can foster conversations around what resiliency looks like from different perspectives and for different groups. Additional engagement opportunities outside of a municipal planning process (such as at community events and library talks) can also foster conversations that can be critical to informing decision-making.

Land-use strategies, such as zoning and rights-of-way, can be used to protect working waterfront properties. The shoreland zoning ordinance, coastal waters ordinance, and marine resource ordinances can all have an impact on how working waterfront is maintained, developed, and managed. Floodplain ordinances will also impact working waterfront, especially with the new FEMA revised Flood Insurance Rate Maps.

Marine businesses obtaining loans in a FEMA-designated flood zone are required to secure the appropriate insurance and are often referred to flood insurance; however, these insurance policies unfortunately do not cover any structures built over water, like a wharf or pier. Municipalities and working waterfront businesses should be aware of this limitation and collaborate with knowledgeable insurance agents to ensure they obtain the most suitable insurance for their infrastructure.

Comprehensive plans, climate action plans, and harbor management plans are tools municipalities can use to plan for the future of the working waterfront. Coastal municipalities also approve permits for residents and business owners applying to build new wharves, docks, or piers. This is also an opportunity to ensure proposed new structures over the water are financially viable, will be resilient to coastal flooding, storm surge, and sea level rise, and will not adversely affect the environment.

- Rebuild and redesign working waterfronts with climate change and ecosystems in mind.
- Incorporate the Maine Won't Wait recommended sea level rise and flood projections into municipal planning.
- Prioritize clean energy projects, including electric boat charging stations and solar-powered facilities, where feasible.
- Consider ecological restoration of coastal habitats (eelgrass beds, wetlands, oyster reefs) that can provide natural storm surge protection.

Preparing for climate change can be expensive. While federal, state, regional and philanthropic funding opportunities are sometimes available, creating a community fund is another tool towns can consider when looking to establish long-term financial support for resiliency and planning efforts. The Fish Pier Reserve Fund created by the Town of Stonington provides an example of how to structure this type of municipal fund. Under an ordinance, fees and fines as well as donations are put into a reserve fund, which can then be used, under the outlined permissions, for capital improvements, management, operation, and maintenance of the Town Fish Pier. In Bristol, the Round Pond Village Improvement Society preserves working waterfront with support from members, dues, and donations. As another example, the City of Portland created a quasi-municipal not-for-profit corporation, the Portland Fish Pier Authority, to manage city owned seafood infrastructure and to insulate Fish Pier finances from other local priorities.

#### **Fisheries Sector-Specific Planning:**

It is important to look beyond what fishermen are currently catching to consider future fisheries distributions and identify the infrastructure needed for the fishing industry to adapt and remain resilient. Because resilience planning and implementation in fisheries contexts can involve many different stakeholders, including fishermen and supply chain actors to community leaders and fisheries managers, having effective stakeholder engagement mechanisms is critical to ensure diverse perspectives, knowledge, values, and ideas are heard and accounted for during these processes.

The Gulf of Maine Research Institute recently launched a new Climate Adaptation Resource Hub for Fishing Communities, which is a resource for understanding climate impacts and adaptation options for fishing communities in the Northeast. This hub provides information and strategic steps for individuals, businesses, and fishing communities to plan for how to adapt to climate change impacts. It includes information on climate hazards impacting Northeast U.S. fisheries, community-specific syntheses and projections of climate-driven shifts of marine species, and examples of adaptation strategies and actions. Building on this knowledge, users can then explore adaptation options and implications at a range of scales, and access additional resources and guidance for strengthening community-scale climate resilience.

Other resources that may be useful for fishing communities undertaking climate adaptation and resilience planning include:

- Climate-Resilient Fisheries Planning Tool
- This tool can be used by fishery participants, community leaders, managers, NGO partners, scientists, and others seeking to enhance resilience of marine fisheries to climate change. It integrates research, case studies, and expert knowledge to guide users through a six-step process that includes resilience assessment and planning. Results will provide key elements of a fishery-focused climate resilience plan. More information is available at: www.ClimateResilientFisheries.net
- Fisheries Strategies for Changing Oceans and Resilient Ecosystems by 2030 (FishSCORE)
  FishSCORE is a United Nations Ocean Decade program focused on climate-resilient fisheries from local to global scales. It is building a global network to foster information exchange and learning, and advance approaches to achieve climate-resilient fisheries. FishSCORE seeks involvement and knowledge-sharing by many different types of participants, including scientists, fishing industry members, managers,

rightsholders and stakeholders, practitioners, and policy makers. Contact Claire Enterline (centerline@gmri.org) or Kathy Mills (kmills@gmri.org) for more information or to get involved. More information is available at: www.gmri.org/fishscore2030

#### • NOAA Social Indicators for Coastal Communities

This toolbox comprises 14 indicators that characterize wellbeing for coastal communities within the US, covering social, economic and climate change themes. The online portal and map allow users to analyze climate vulnerability and environmental justice of over 4,600 communities. Climate change indicators include sea level rise risk and storm surge risk. More information is available at: www.fisheries.noaa.gov/national/socioeconomics/social-indicators-coastal-communities

#### East Coast Climate Change Scenario Planning

This initiative involved multiple fisheries management organizations along the East Coast in a scenario planning exercise to understand jurisdictional and governance issues related to climate change and shifting fishery stocks. The work resulted in a report and identified recommendations and actions to take forward. A scenario planning toolkit provides materials and guidance for other fishery stakeholder groups who want to undertake similar scenario planning efforts. More information is available at: www.mafmc.org/climate-change-scenario-planning

#### **Working Land Conservation and Acquisition**

Working waterfront access points are increasingly threatened by growing development interests, in addition to ongoing issues of aging infrastructure, higher water levels, and worsening storms. Regional land trusts, familiar with navigating projects and relationships with landowners, towns, and communities, have historically played a role in conserving coastal property to maintain and/or expand access to the coast. Maine Coast Heritage Trust (MCHT), a statewide conservation organization, has pursued various working waterfront access projects. Previously privately owned, but widely used and valued by the public as the only good boat launch in the region, MCHT purchased Bailey's Mistake Preserve in Lubec, to ensure commercial and recreational boating access in perpetuity, as well as to make critical infrastructure improvements. MCHT also focuses on traditional walk-in access points, and it is currently working to preserve shellfish harvester access points in various towns across the coast.

MCHT and partners, including the Gouldsboro Shore Project, are currently working with municipalities and shellfish committees to develop a harvester use agreement or license as an alternative to acquisition of fee title or an easement for harvesting access sites. The agreement would be between the landowner and a municipality and will be designed to be a flexible, non-permanent tool to formalize harvesters' rights to cross private property for specific purposes and with specific guidelines such as location of parking and trails that can be used for access. Similar work to protect foot paths for harvesters is underway in Freeport (along with the Freeport Conservation Trust) to develop a permanent trail easement for harvester access.

Another way to preserve working waterfront properties in perpetuity, specifically for commercial fishing and aquaculture use, is through a working waterfront covenant. Currently, the State holds 30 such covenants under the Working Waterfront Access Protection Program (WWAPP). Information about each project, including an interactive map, can be found on the Land for Maine's Future (LMF) website. The Program is jointly administered by LMF - under the Maine Department of Agriculture, Conservation, and

Forestry - and the Maine Department of Marine Resources (DMR). LMF provides funding to purchase the development rights, in the form of a covenant, held by DMR. The goal is to ensure permanent access to, availability, and affordability of working waterfront properties for commercial fisheries use.

In May 2023, the ability to hold working waterfront covenants was extended to other nonprofits, including land trusts, with the passing of LD 574. This legislation offers another pathway for protecting working waterfronts into the future, as rising real estate pressure adds to the slew of challenges facing our coastline.

The Current Land Use Program within the Maine Revenue Service has four programs that offer owners reductions in the assessed value based on the specific use of their land, and one of these programs is for working waterfront. It was the least utilized program, and the revisions proposed in LD 2162 sought to make tax reductions consistent with the other programs (tree growth, farmland and open space) and incentivize enrollment. The amendments were approved by the legislature and will be in effect for the 2025 tax year. For a description of these revisions and more detail see MCFA's blog post.

#### Water Quality and Fisheries Ecosystems:

It is important for municipalities to consider conserving and/or restoring habitat and maintaining good water quality for fish species and overall coastal environmental and human health.

To assess risks to environments and ecosystems, municipalities can use various GIS-based mapping tools. Examples include the Maine Department of Inland Fisheries and Wildlife's Beginning with Habitat program and the DMR's Shellfish Closures and Monitoring Data Map Viewer. Maine's Department of Environmental Protection "Our Shore" program offers guidance on reducing coastal erosion and revegetating coastal habitats. The National Oceanic and Atmospheric Administration (NOAA) Fisheries' Climate Vulnerability Assessments are also valuable. These assessments evaluate the vulnerability of fish stocks, protected species (marine mammals), habitats, and fishing communities to changing climate and ocean conditions, informing the diverse people and businesses that depend on them. The State and various academic and nonprofit organizations regularly test and monitor water quality parameters in nearshore waters. Results are typically available online from the state and local organizations collecting the data.

For municipalities with shellfish programs, the DMR conducts shoreline sanitary surveys in each growing area to identify potential point and nonpoint pollution sources. Once identified, towns can collaborate with homeowners on failing septic systems, farmers on waste management, or sewer and stormwater professionals to address these issues.

Municipalities can also mitigate the risk of harmful algal blooms by regulating fertilizer and pesticide use through ordinances and education. The Cumberland County Soil and Water Conservation District's YardScape Program is an excellent resource for towns to educate residents. South Portland has implemented ordinances limiting pesticide and fertilizer use, while Harpswell has maintained and updated a pesticide ordinance for over two decades.

#### **Outreach and Education:**

Engage in public outreach and education around what it means to live in a working waterfront community.

For example, communities such as Brunswick, Harpswell and Gouldsboro created a Scuttlebutt publication to educate existing, new, and potential community members on what it's like to live in a working waterfront community in Maine. MCFA has hosted a number of panel events with municipalities and local land trusts about working waterfront in their towns.

# 9.13 Agriculture

Alignment with State and Maine Climate Council Strategies and Community Actions (Community Resilience Partnership):

- Strategy D: Create Jobs and Grow Maine's Economy through Climate Action
  - O D1 Adopt policies that enable, support, or incentivize local food production and consumption, including community gardens.
  - O D2 Adjust procurement policies to prioritize climate-friendly Maine forest products (e.g., mass timber, wood-fiber insulation) in construction projects.
- Strategy E: Protect the Environment and Natural and Working Lands and Waters in Maine, Promote Natural Climate Solutions and Increase Carbon Sequestration
  - E1 Protect Natural and Working Lands and Waters

Maine agriculture is diverse and generates over \$660 million of direct value into the Maine economy. Farms rely on a variety of local business services to support their operations, thereby contributing to the local economy. From potato and broccoli operations in St. Agatha to small urban farms in downtown Lewiston, the nature of agriculture in Maine varies in size, scope, and strategy. Beyond the direct economic impact of crop sales, farms and farmers are responsible for stewarding many shared public resources: including scenic landscapes, ground and surface water reservoirs, and wildlife habitat. These resources all contribute either directly or indirectly to healthy communities and ecosystems. To best protect the integrity of these resources and the food system more generally, farmers need to be welcomed participants in municipal planning processes.

The majority of Maine food is imported from out-of-state sources. Transportation of food over long distances contributes to climate-warming greenhouse gas emissions. Disruptions in supply chains have demonstrated the importance of local sources to support community food security. Maine has enough land to produce large volumes of food for itself and neighboring states. Local plans and ordinances should support expanding agricultural capacity.

Farming is largely weather-dependent, and climate change is impacting agriculture in various ways. Warming temperatures may increase the length of growing seasons and expand the variety of crops that can be grown in Maine. However, agriculture can also be adversely affected by both too much and too little rainfall at various points in the growing season. Shifts in Maine's climatic conditions have already put pressure on Maine farms and food producers:

historic levels of drought, new pest populations that have migrated northward or survived mild winters, and unpredictable frost dates at the beginning and end of the seasons have all led to challenging growing seasons in recent years. The University of Maine is now expanding weather stations and climate prediction abilities statewide with federal investment.

Development pressures are often a threat to farms. Local comprehensive plans and land use ordinances that recognize the importance of protecting agricultural lands can reduce sprawl, municipal infrastructure costs, and loss of open space. Soils designated as "Prime Farmland" or "Farmland of Statewide Importance" are unique resources that can support a wide range of agricultural activities – they typically have ideal drainage and water retention capacity, adequate depth to bedrock, ideal conditions for plant nutrition, and minimal slopes or stones. Protecting these soils is a high priority in safeguarding the future of our food system. Even if they do not fall within the property boundary of a farm, natural resources like surface and groundwater sources or resilient and diverse ecosystems are major factors in the viability of local agriculture and should be considered as indirect, but important, facets of a strong food system.

Many farms in Maine have adopted practices to either reduce the carbon output of their operation or to mitigate risk to their operation with a changing climate. This could look like reducing tillage to build a biologically active soil with greater water-carrying capacity, building greenhouses or high tunnels to protect crops from extreme weather events, or letting some fields return to meadow or forest to build wildlife habitat and sequester carbon. Municipal officials are encouraged to recognize that farming looks different in different places and that the very nature of agriculture in Maine is changing as quickly as our climate.

For more information specific to agriculture, visit the following websites and resources:

- Maine Farmland Trust Policy and Planning Resources for Towns
- NRCS Web Soil Survey
- NRCS Conservation Planning resources
- Soil & Water Conservation Districts
- DACF Farmland Protection resources
- Municipal Planning Assistance Program Technical Assistance
- Land For Maine's Future LMF Working Farmland Access Protection Program

#### 9.14 Education and Community Science Programs

Throughout Maine, the Department of Education, nonprofit organizations, professional associations, and universities are working towards educating all generations about the impacts of climate change and how to mitigate and adapt. Public participation in science activities can build local environmental literacy, the data from community science investigations can help communities prepare for and respond to climate impacts, and the participatory process can galvanize public interest and attitudes in your community's choices for adaptation.

Community science programs can involve members of the public through photography, collecting samples, and mapping or counting a variety of indicators. Community science can empower community members, can leverage the cost savings of volunteerism, and can broaden municipal governments' capacity for many efforts by way of recruiting new participants to join workgroups and committees that interface with town leadership. School and University students and teachers, existing town committees, and members of the public with community organizing or science backgrounds are typically principal contributors. The most impactful community science programs are those that have a clear, decision-oriented objective, ensuring that the data collected, and the new knowledge gained from community science goes beyond providing only *more information* and leads to specific improvements in a community process or action.<sup>46</sup>

#### 9.14.1 Maine Department of Education

#### **Climate Education:**

Climate education is a focus within the Office of Innovation at the Maine Department of Education (Maine DOE). The Maine Legislature passed L.D.1902 in 2022. As a result, the Maine DOE hired the Climate Education Specialist in 2023. This position oversees the Climate Education Professional Development Grant Pilot Program | Department of Education (maine.gov), which started in Fall 2023. The program is a 3-year program and has already funded 17 programs with 50+ schools throughout the state. Applications for the 2025-26 School Year will be available from mid-January to mid-March 2025 with a start date of July 1st (available at the MDOE link above). The MDOE convened the Climate Education Taskforce in Spring 2024. The subsequent Climate Literacy Plan will be published in January 2025 and serve as an addendum to the Maine Environmental Literacy Plan. The plan will detail 7 recommendations that: 1. Increase Capacity Building for Advancing Climate Literacy in Maine Schools, and 2. Develop a Holistic Maine Green Schools Program.

Climate Education overlaps with several teams within the Maine DOE including: Wabanaki Studies, Interdisciplinary Instruction, specifically the Maine Solutionary Project, Rethinking Responsive Education Ventures (RREV), and more. The current Maine Science & Engineering Standards (adapted from Next Generation Science Standards, NGSS) were adopted in April of 2019. NGSS incorporates standards on

<sup>&</sup>lt;sup>46</sup> Parker Gassett, Katie O'Brien-Clayton, Carolina Bastidas, Jennie E. Rheuban, Christopher, W. Hunt, Elizabeth Turner, Matthew Liebman, Emily Silva, Adam, R. Pimenta, Jason. Grear, Jackie Motyka, Daniel McCorkle, Esperanza Stancioff, Damian, C. Brady & Aaron, L Strong (2021) Community Science for Coastal Acidification Monitoring and Research, Coastal Management, 49:5, 510-531, DOI: <a href="https://doi.org/10.1080/08920753.2021.1947131">10.1080/08920753.2021.1947131</a>

climate change mechanisms and human impacts. Maine DOE developed a PK-12 Climate Education Learning Progression as a part of the Maine Online Open-Source Education project (MOOSE). These modules are inquiry based and encourage students to apply critical thinking skills and develop their own ideas and answers to essential questions. Maine DOE also revised the Environmental Literacy Plan in 2022 (originally written in 2010). This plan incorporates climate change education.

#### **Maine DOE Green Schools:**

The Maine DOE hired the Director of Green Schools in the fall of 2024 to oversee Green Schools efforts statewide. This position was created by the Maine Legislature, L.D. 612. The Director will oversee the coordination of funding opportunities for Maine facilities, education, and transportation around reducing environmental impact and increased sustainability. The Maine DOE has received funding to contract for School Decarbonization Guides that will help schools understand their decarbonization needs and next steps.

The Maine's Green School Initiative which supports students, educators, schools, and school administrative units throughout the state of Maine in the following areas:

- Build strong and lasting Green Schools Leadership within youth, educators, administrators, and community members,
- Support the work of Maine school districts in their desire to increase efficiencies and reduce carbon emission in their buildings, energy systems, transportation, food use, and landscaping,
- Construct an engaging support-system and framework for environmental literacy through the sharing and networking of curricular units, projects, and outdoor activities among Maine educators,
- Establish a seamless pathway for career exploration and skill development in climate-ready fields

#### 9.14.2 <u>Professional Development for Climate Educators and Students</u>

There are many regional, statewide, and national resources available for professional development for educators as well as for students to become involved in climate education. A few of these programs are described in this section.

#### Maine Environmental Education Association (MEEA)

According to MEEA, "MEEA organized the first statewide Climate Education Summit in 2021. On the leading edge of aligning state climate education with upcoming national guidelines, MEEA, our Changemakers Network, JustME for JustUS, the Nature Based Education Consortium, and Maine Climate Action Now, identified the need for a convening event where organizations working on intersectional climate and climate justice education can learn about the work of one another, build a shared vision about climate education and literacy in Maine, and start to identify strategies that will support the advancement of critical climate and climate justice education statewide". More information is available online: https://www.meeassociation.org/climateeducation

#### The Climate Initiative

The Climate Initiative (TCI) is a nonpartisan, science-based climate change organization whose mission is to empower youth voices for climate action. Through education and empowerment initiatives, youth are learning about climate solutions and becoming agents of change in our communities and beyond. They offer many programs to engage youth in local communities and empower them to become agents of change. The Learning Lab provides interactive tools and curriculum for students and teachers. Their ambassador program trains youth and adults to turn their climate passion into action. TCI offers a Community Mapping Workshop to discover the places your community values and to start important conversations on how climate change will affect them. They coordinate town hall meetings to provide a platform for youth to engage with politicians, business leaders and decision makers in their communities. TCI also offers a Gulf of Maine Field Studies class, which focuses on addressing local issues. More information is available online: <a href="https://www.theclimateinitiative.org/">https://www.theclimateinitiative.org/</a>

#### 9.14.3 University of Maine Climate Change Institute - Climate Education Resources

The University of Maine's Climate Change Institute (CCI) has, "assembled a collection of materials produced by CCI researchers and students that can be used in the classroom – informational websites, data tools, videos, learning activities, and other resources – to support teaching about climate change and its connections to people."

The Climate Education Resources webpage has content organized by themes, and each item has a list of related Next Generation Science Standards (NGSS) and suggested student grade levels. More information is available online: <a href="https://climatechange.umaine.edu/climate-matters/climate-education-resources/">https://climatechange.umaine.edu/climate-matters/climate-education-resources/</a>

#### 9.14.4 Gulf of Maine Research Institute - LabVenture:

Each year, GMRI hosts nearly 10,000 Maine middle schoolers in its LabVenture program — a hands-on, interactive, authentic investigation of the changing Gulf of Maine ecosystem. Completely free for Maine schools, nearly 70% of Maine's fifth and sixth grade cohort experience LabVenture annually. At the lab, students use authentic tools of science and methods of inquiry to explore many of the same questions about the Gulf of Maine that research scientists at GMRI are addressing. More information is available online: <a href="https://www.gmri.org/projects/labventure/">https://www.gmri.org/projects/labventure/</a>

#### 9.14.5 Community Science Initiatives

There are numerous community science initiatives throughout the State. Just a few of these programs are detailed in this section.

University of Maine Cooperative Extension and Maine Sea Grant coordinate the <u>Signs of the Seasons</u> program in partnership with the <u>USA National Phenology Network</u> (USA-NPN), Acadia National Park, Schoodic Education and Research Center, U.S. Fish and Wildlife Service, Maine Maritime Academy, Maine Audubon, Coastal Maine Botanical Gardens, and climate scientists and educators at the University of Maine. Using

backyards as laboratories, participants' phenology observations of plants and wildlife provide data on the local effects of global climate change.

- Southern Maine Conservation Collaborative (Community Science) Network. According to the organization, "The Climate Change Observatory Network (CCON) is a photo monitoring program designed to work with environmental organizations and communities to assist with the observation, measurement, and documentation of long-term climate change trends. Using participatory tools and collaborative partnerships, the program brings people with various perspectives and knowledge together to colearn about climate change and adaptation. The CCON encourages participation in climate change study, develops an interest and community ownership in climate action, and inspires collaboration amongst community stakeholders."
- The Gulf of Maine Research Institute (GMRI) has a <u>Coastal Flooding Community Science</u> program. GMRI provides guidance on how to contribute observations that will help identify high-risk flooding areas in a community. Community members volunteer to identify the weather and water level conditions during flooding events and describe how the flood impacts a community.
- The Maine Department of Inland Fisheries and Wildlife has a Citizen Science Program, with several different ongoing projects aimed towards monitoring priority wildlife species.
- Maine Audubon has a Community Science Program where volunteers can help collect data to support wildlife conservation.

#### 9.15 Getting Out of Harm's Way: A Transformative Approach to Increasing Resilience

Climate changes—including increased flooding, sea level rise, shoreline erosion, and more frequent severe storms—are intensifying risks to both coastal and inland communities across Maine. These changes affect lives, livelihoods, property, infrastructure, economies, and ecosystems.

Resilience strategies for responding to these impacts include actions to:

**Protect** (e.g., stabilizing or buffering vulnerable areas)

**Accommodate** (e.g., adapting to more water by elevating buildings, utilities, and infrastructure)

**Avoid** (e.g., phasing out development in hazardous areas through zoning ordinances and land-use planning)

**Retreat** (e.g., "getting out of harm's way" by relocating people, property, and infrastructure away from hazardous areas and thus minimizing the risk associated with storms and flooding)

Although Maine currently has few examples of retreat strategies, in some areas, this approach may be the only viable long-term solution for reducing exposure to current and future climate and extreme weather hazards. Moving people, property, and infrastructure away from vulnerable areas—in a proactive, strategic, and coordinated way—reduces communities' disaster risk as climate impacts intensify. Retreat strategies also enable ecosystems to adapt naturally to rising sea levels and changing flood plains through conservation, restoration, and migration. Returning high risk areas of development to conservation creates vital wildlife habitats while offering communities multiple benefits, including storm protection, flood control, economic value, cultural preservation, and recreational spaces.

**Urgency:** The devastating storms of December 2023 and January 2024 highlight the urgent need to discuss "getting out of harm's way." While retreat offers opportunities to reimagine communities and shorelines, moving away from dangerous areas is both costly and complex—requiring difficult but necessary conversations. Without proactive planning, communities face the risk of unmanaged retreat, which often leads to inequitable outcomes.

**Community Driven:** Maine residents have deep connections to many of their most vulnerable places. These locations are woven into their history, identity, culture, traditions, and memories. The prospect of leaving or losing access to such places stirs profound emotions. Any retreat-related conversations and planning processes must therefore be grounded in empathy for people's experiences. For retreat strategies to be both equitable and effective, they must remain voluntary, community-driven, and responsive to local needs.

**Retreat and Relocation in Long-Term Planning:** Communities can adopt a phased approach, implementing protection and accommodation strategies in the short to medium term while planning their transition toward avoidance and retreat strategies in the long term. For current examples, communities such as East Hampton, NY, Warren, RI, and Hampton, NH are incorporating retreat and relocation into their long-term planning processes.

Funding Retreat and Relocation: Property buyouts and acquisition programs are the primary mechanism for "getting out of harm's way." In such programs, the government partners with willing property owners to purchase homes that have been damaged by floods or are at risk of future flooding. The federal government typically provides most of the funding (about 75%) through agencies like Federal Emergency Management Agency, the Department of Housing and Urban Development, or the Natural Resources Conservation Service while state or local entities administer the programs and contribute matching funds (about 25%). Homeowners receive the pre-damage, fair market value for their properties. The homes are then removed, and the land is restored and permanently preserved as natural, open space—meaning it cannot be redeveloped. Buyout programs are active in several states, including New York, New Jersey, the Carolinas, Texas, and Washington.

#### Further Resources include:

Managed Retreat Toolkit (Georgetown Climate Center)

Managing the Retreat from Rising Seas Case Studies (Georgetown Climate Center)

Lead With Listening: A Guidebook for Community Conversations on Climate Migration (Climigration Network)

Climate-Driven Relocation in Coastal Cities: Principles and Recommendations (Urban Ocean Lab)

Recommendations on "getting out of harm's way" from the Community Resilience Working Group of the Maine Climate Council. Page 17-20 of Committee report. 2024.

# **Appendix A – History of Maine Climate Actions**

1995	Anticipatory Planning for Sea-Level Rise Along the Coast of Maine, EPA					
2000	Maine Climate Action Plan, Maine State Planning Office					
2001	Climate Action Plan, New England Governors and Eastern Canadian Premiers					
2003	LD 845 "An Act to Provide Leadership in Addressing the Threat of Climate Change", 121st Legislature					
2004	Maine Climate Action Plan (GHG inventory), ME DEP					
2005	Regional Greenhouse Gas Initiative Memorandum of Understanding, RGGI					
2006	First Biennial Report on Progress Toward Greenhouse Gas Reduction Goals, ME DEP					
2007	Gov. Baldacci Letter to University of Maine for Creating Maine Climate Future Report					
2007	Maine adopts legislation and rules to implement Regional Greenhouse Gas Initiative, RGGI					
2008	Second Biennial Report on Progress Toward Greenhouse Gas Reduction Goals, ME DEP					
2009	Maine's Climate Future: An Initial Assessment, <i>University of Maine</i>					
2009	LD 460 "Resolve to Evaluate Climate Change Adaptation Options for the State", 124 <sup>th</sup> Legislature  · "DEP shall establish and convene a stakeholder group to evaluate the options and actions available to Maine people and businesses to prepare for and adapt to the most likely impacts of climate change."  · "DEP shall build upon the 2009 climate impact assessment by the University of Maine"					
2010	Third Biennial Report on Progress Toward Greenhouse Gas Reduction Goals, ME DEP					

2010	People & Nature Report: Adapting to a Changing Climate Charting Maine's Course, ME DEP  • The most likely impacts of climate change in Maine are identified in the Maine's Climate Future Report and the vulnerability of the natural and societal systems are further assessed in the findings and recommendations sections of the People and Nature report. The report contains more than 60 recommendations for action to plan for changes to Maine's climate.  • Complete a Climate Change Adaptation Plan for Maine (Phase II)
2012	Fourth Biennial Report on Progress Toward Greenhouse Gas Reduction Goals, ME DEP
2012	Report to Environment and Natural Resources Committee, ME DEP  · Adopts 2010 report as working plan to implement.
2013	LD 825 "Resolve to Study Climate Change and Implement the Recommendations of the Department of Environmental Protection Report on Climate Change", 126 <sup>th</sup> Legislature
2013	Convene Interagency Work Group – Environmental & Energy Resources Working Group, ME DACF, DEP, DMR, DOT, IFW, GEO  • At the direction of the Governor, convene WG, DEP chair
2014	Fifth Biennial Report on Progress Toward Greenhouse Gas Reduction Goals,  ME DEP
2014	Monitoring, Mapping, Modeling, Mitigation and Messaging: Maine Prepares for Climate Change, <i>EERWG</i>
2015	Maine's Climate Future (update), University of Maine
2016	Sixth Biennial Report on Progress Toward Greenhouse Gas Reduction Goals,  ME DEP
2016	Expand Interagency Work Group – Maine Interagency Climate Adaptation Work Group, ME DACF, DEP, DMR, DOT, IFW, GEO, DHHS-CDC, DVEM-MEMA
2018	Maine Prepares for Climate Change: 2018 Update, MICA
2018	Expand Interagency Work Group – Maine Interagency Climate Adaptation Work Group, ME DACF, DEP, DMR, DOT, IFW, GEO, DHHS-CDC, DVEM- MEMA, MHPC
2018	Seventh Biennial Report on Progress Toward Greenhouse Gas Reduction Goals, <i>ME DEP</i>
2019	Maine Prepares for Climate Change: 2019 Update, MICA

	LD 1679 "An Act To Promote Clean Energy Jobs and To Establish the Maine Climate Council"
	· Established new greenhouse gas reduction goals
	· Established framework of the Maine Climate Council
2019	· Requires development of an updated and unified Climate Action Plan across
	greenhouse gas mitigation, hazard mitigation and adaptation actions, and to be
	updated every 4 years
	· Requires development of a Clean Energy Economy Transition Plan
	· Requires report on latest information on climate change effects
	Ocean and Coastal Acidification Planning Report, An Action Plan to Address Ocean
2019	Climate Change in Maine
2020	Maine joins International Ocean Acidification Alliance
2020	Scientific Assessment of Climate Change and its Effects in Maine
2020	Eighth Biennial Report on Progress Toward Greenhouse Gas Reduction Goals, ME
2020	DEP
2020	Maine Won't Wait: A Four-Year Plan for Climate Action, December 1, 2020
<b>2020</b> 2021	Maine Won't Wait: A Four-Year Plan for Climate Action, December 1, 2020  1-Year Progress Report, Maine Won't Wait
2021	1-Year Progress Report, Maine Won't Wait  Ninth Biennial Report on Progress Toward Greenhouse Gas Reduction Goals, ME  DEP
2021 2022 2022	1-Year Progress Report, Maine Won't Wait  Ninth Biennial Report on Progress Toward Greenhouse Gas Reduction Goals, ME DEP  2-Year Progress Report, Maine Won't Wait
2021 2022 2022 2023	1-Year Progress Report, Maine Won't Wait  Ninth Biennial Report on Progress Toward Greenhouse Gas Reduction Goals, ME DEP  2-Year Progress Report, Maine Won't Wait  3-Year Progress Report, Maine Won't Wait
2021 2022 2022	1-Year Progress Report, Maine Won't Wait  Ninth Biennial Report on Progress Toward Greenhouse Gas Reduction Goals, ME DEP  2-Year Progress Report, Maine Won't Wait
2021 2022 2022 2023	1-Year Progress Report, Maine Won't Wait  Ninth Biennial Report on Progress Toward Greenhouse Gas Reduction Goals, ME DEP  2-Year Progress Report, Maine Won't Wait  3-Year Progress Report, Maine Won't Wait  Tenth Biennial Report on Progress toward Greenhouse Gas Reduction Goals, ME
2021 2022 2022 2023 2024	1-Year Progress Report, Maine Won't Wait  Ninth Biennial Report on Progress Toward Greenhouse Gas Reduction Goals, ME DEP  2-Year Progress Report, Maine Won't Wait  3-Year Progress Report, Maine Won't Wait  Tenth Biennial Report on Progress toward Greenhouse Gas Reduction Goals, ME DEP
2021 2022 2022 2023 2024 2024	1-Year Progress Report, Maine Won't Wait  Ninth Biennial Report on Progress Toward Greenhouse Gas Reduction Goals, ME DEP  2-Year Progress Report, Maine Won't Wait  3-Year Progress Report, Maine Won't Wait  Tenth Biennial Report on Progress toward Greenhouse Gas Reduction Goals, ME DEP  Scientific Assessment of Climate Change and Its Effects in Maine, 2024 Update State of Maine Infrastructure Rebuilding and Resilience Commission Interim
2021 2022 2022 2023 2024 2024 2024	1-Year Progress Report, Maine Won't Wait  Ninth Biennial Report on Progress Toward Greenhouse Gas Reduction Goals, ME DEP  2-Year Progress Report, Maine Won't Wait  3-Year Progress Report, Maine Won't Wait  Tenth Biennial Report on Progress toward Greenhouse Gas Reduction Goals, ME DEP  Scientific Assessment of Climate Change and Its Effects in Maine, 2024 Update State of Maine Infrastructure Rebuilding and Resilience Commission Interim Report, November, 2024

#### **Example Agency Actions:**

- In 2009, the Climate Change and Transportation in Maine Adaptation Plan was developed to prepare Maine DOT to respond to challenges presented from the 2004 Climate Action Plan and identify solutions in response to the 2009-2010 Adaptation Plan development process. The Plan also positioned MaineDOT to receive support for its proactive approach and commitment to action. The Plan was updated in 2019.
- Since 2010, Maine CDC has used funding from the US Centers for Disease Control (CDC) Climate and Health Program to better understand the potential impacts of climate change on public health, complete climate adaptation plans for both vector-borne diseases and extreme heat, and to begin implementing vector-borne disease and heat illness intervention activities. Maine CDC has applied CDC's BRACE framework (Building Resilience Against Climate Effects) to address concerns about the increase in vector- borne diseases over the last decade, and to increase public health preparedness for extreme heat events.
- The 2015 Maine State Wildlife Action Plan revision engaged over 100
  conservation partners to identify Maine's 378 Species of Greatest Conservation
  Need (SGCN), and actions needed to prevent further species declines. Many of
  these actions focus on greater understanding of and reducing impacts of climate
  change to SGCN and their habitats and enhancing habitat connectivity to allow
  for species range shifts and movement.
- The Maine Climate Hub, housed by Maine DEP was launched in 2015 and serves as a Maine-focused and centralized directory of available climate resources. Hub resources are maintained through interagency coordination. DEP additionally partners with organizations to create regional and local professional training opportunities to build capacities and strengthen partnerships to implement a broader statewide response for resilience.
- The 2018 State Hazard Mitigation Plan was the first update in Maine to describe the potential impacts of climate change on natural hazards, which will likely increase the extent of natural hazard events. MEMA to corroborated data with the scientific community to prepare a more climate change focused plan, which was released in 2023. MEMA addresses the effects of climate change on existing natural hazards in the State Hazard Mitigation Plan, and coordinates and supports the development of County-wide Hazard Mitigation Plans in all sixteen counties, all of which are updated every five years. Hazard Mitigation Plans must include natural hazard risk assessments and strategies for mitigating future impacts.

- In 2019-2020, the State Historic Preservation Commission updated the Statewide Historic Preservation Plan and included a goal for resiliency and the effects of climate change for the first time.
- Within the Department of Agriculture, Conservation and Forestry, the Bureau of Resource Information and Land Use Planning has several complementary programs that work closely together to collect, develop, and translate scientifically sound data, and help provide the funding, technical tools, and support needed for municipalities, land managers, landowners, conservation planners, government agencies, and others in Maine to better understand and integrate climate science and resiliency into their decision making.

Additional information can be found on the Maine Climate Hub here:

- <a href="https://www.maine.gov/dep/sustainability/climate/mitigation-actions.html">https://www.maine.gov/dep/sustainability/climate/mitigation-actions.html</a>
   Climate/GHG Mitigation
- <a href="https://www.maine.gov/dep/sustainability/climate/adaptation-actions.html">https://www.maine.gov/dep/sustainability/climate/adaptation-actions.html</a>
  Adaptation/Preparedness/Hazard Mitigation

Additional information on climate science, and U.S. and international climate policy is maintained in *A Climate Chronology* by faculty at the University of Maine. More information here: https://umaine.edu/climatechronology/.

## **Appendix B – Example Questions for Community Workshops**

As mentioned in Section 4.9, the following questions may be used by facilitators in community planning. This example focuses on coastal hazards, but these questions can be adapted for other hazard types:

- 1. What have you identified as a high priority for your community's coastal hazards? From some preliminary conversations, we have gathered that the focus is currently on sea level rise. Is storm surge, and other coastal flood related hazards such as from erosion, extreme precipitation, (rain, snow or ice) and wind also on your priority list?
- Prompt/Follow up- If the interviewee does not mention a hazard that you have identified (e.g. saltwater intrusion), probe about this hazard
- 2. What have you done thus far to address your concerns regarding coastal hazards?
- Prompt/Follow up Having reviewed information that has been collected to date and then explore if the projects are not identified initially.
- Prompt/Follow up— What technical assistance have you received so far? Who provided this? Why was it helpful or not helpful?
- Prompt/Follow up Have you collaborated with other communities in your region?
- **3.** Participants (focus question on who has been participating in planning processes). Who have you worked with or spoken with so far? What role did they play?
- Prompt/Follow up- based upon review of town processes, ask about specific individuals if not mentioned.
- **4.** Stakeholders or community leaders (focus question on who has been participating in planning processes) Who has been at the table with you for discussing these hazards or for any work done? What role did they play?
- Prompt/Follow up- based upon review of town processes, ask about specific individuals if not mentioned.
- Prompt/Follow up Who has not participated or been at the table and why do you think that is?

- **5.** Future stakeholders (*focus question on who has been participating in planning processes*) What public engagement strategies are being planned? How might you engage the stakeholders who have not been at the table?
- **6.** What barriers or challenges have you encountered or are perceived? What do you anticipate or want to see happen to overcome these challenges or barriers?
- Prompt/Follow up- based upon review of town processes, ask about specific challenges if not mentioned.
- **7.** What do you anticipate for assistance needs? From a university? From state agencies? From regional or nonprofit organizations? What roles would be helpful for them to play?
- Prompt/Follow up What data needs do you have?
- Prompt/Follow up- What specific type of technical assistance do you need?
- **8.** What do you envision for a process going forward for addressing coastal hazards in your community? What do you expect your timeline might be? What are your immediate priorities? What do you see as longer-term priorities and why?
- Prompt/Follow up- What type of technical assistance would you need to achieve these goals?
- Prompt/Follow up- We are planning regional meetings over the next year. Would you be willing to participate? Are there others we should invite to participate? What would you most hope to gain from participating?

# **Appendix C – Template for a Community Action Plan**

Southern Maine Planning and Development Commission (SMPDC) has developed a Climate Action Plan Outline as part of their Climate Action Planning Toolkit https://smpdc.org/index.asp?SEC=7BAC6CD1-2785-4EEE-AB7B-14C0C473BC1D

Climate Action Plan Outline: To aid in the construction of an outline and/or table of contents for your CAP.

#### Preface

Acknowledgments Letter from the Mayor Executive Summary

#### **Introduction and Background**

What is a Climate Action Plan?
Our Climate Action Planning Timeline
Vision, Goals, and Targets
Community Engagement
What We Heard
Planning for Equitable Climate Action

#### Climate Change in [Your Community]

How Climate Change is Affecting [Our Community] Greenhouse Gas Emissions Greenhouse Gas (GHG) Inventory Key Takeaways

#### **Climate Actions**

Buildings and Energy Transportation and Infrastructure Land Use and Natural Resources Health, Safety, and Well-Being Leadership and Support

#### Conclusion

What Comes Next Looking Ahead

#### **Appendices**

Climate Change Vulnerability Assessment Greenhouse Gas Inventory Summary and Forecast Complete Strategy Matrix

#### Several additional templates are available in the Climate Action Plan Toolkit including:

- Climate Action Plan Outline: To aid in the construction of an outline and/or table of contents for your CAP.
- Climate Change Vulnerability Assessment Table of Contents: To aid in the construction of an outline and/or table of contents for your climate change vulnerability assessment.
- Greenhouse Gas Emissions Summary: Includes an overview of community-wide emissions, business-as-usual forecast, and information about climate targets and emissions reduction strategies.
- Climate Action Public Survey: To aid in creating a survey to assess public concern about local climate change impacts and inform the strategies/actions that will appear in your CAP.
- Community Conversations: Guiding information on collecting feedback from community groups on potential climate actions and local climate concerns.
- Strategy Matrix: An Excel spreadsheet laying out strategies/actions for climate change mitigation and adaptation.

#### **Plan Development Resources:**

- Vulnerability Assessment Framework: Guide for assessing climate hazards that are projected to
  - impact an area as well as the things, people, and places that are vulnerable to those hazards.
- Greenhouse Gas Emissions Protocol: Southern Maine GHG inventory guide, including methodologies for collecting energy use data and calculating associated GHG emissions across sectors.
- CAP Best Practices: Lessons learned through the CAP Cohort process.

#### **Example Materials:**

Flooding/Inundation Maps: Guiding information on regional inundation and flooding data. Community Engagement Plan: Public engagement guide for the CAP process.

Regional Impacts Report: Summary of climate change impacts in southern Maine.

Public Engagement Materials: Strategy Posters, Climate Change Impacts Flyer 1 & Flyer 2, "Small Steps: Climate Actions for Individuals and Households" Flyer, CAP Development "Pathway" Flyer

Formatted Strategy Matrix: Example formatted strategy matrix for CAP appendix.

The Climate Action Plan Toolkit was supported by the Kittery Joint Land Use Study Implementation Grant and a Community Action Grant from the Maine Community Resilience Partnership. The work by SMPDC was also partially supported by funding from the Maine Coastal Program through the National Oceanic and Atmospheric Administration.

The State Climate Action Plan Maine Won't Wait 2024 (PDF) can also provide structure to community climate action planning. It contains a total of 37 recommendations and dozens of actions across the seven overarching strategies. The strategies within the Climate Action Plan can also serve a dual purpose for communities in Maine by providing areas where specific actions can be taken. Many of the topics and actions included in this Workbook align with strategies and recommendations identified in Maine Won't Wait. Best practices presented in this workbook represent areas where communities might inventory their own actions to identify gaps and to pursue further action using the resources and experts provided.

The strategies in Maine Won't Wait are listed below and are referenced in relevant sections.

- Strategy A: Embrace the Future of Transportation in Maine
- Strategy B: Modernize Maine's Buildings: Energy Efficient, Smart, and Cost-Effective Homes and Businesses
- Strategy C: Transition to Clean Energy
- Strategy D: Create Jobs and Grow Maine's Economy Through Climate Action
- Strategy E: Protect the Environment and Natural and Working Lands and Waters in Maine
- Strategy F: Build Healthy and Resilient Communities
- Strategy G: Engage With Maine People on Climate Action

# Appendix D – Sample Risk Assessment Framework & Guiding Questions

Identifying where communities or assets are most vulnerable, and the extent of the risks are the most important steps in developing strategies to make a community or specific infrastructure more resilient. Answering these Vulnerability and Risk Assessment questions can help planners, asset managers, and other decision-makers understand how natural hazards could impact their community or infrastructure, and the potential consequences of vulnerabilities as a result so that resilient courses of action can be determined. A team can use these questions when beginning a project, for evaluation during a project, and/or after the project is completed to determine if objectives were met. The questions are intended to prompt thinking. They are a sample, starting list to draw from; however, there is some redundancy and collectively they may not represent all critical questions your project aims to address. A team or whole community can use these questions to guide their processes and discussions – outside expertise is often sought out to adequately address them.

Section 4 Assessment, Planning, Implementation, and Evaluation of the Community Resilience Workbook presents the vulnerability assessment process in more detail in. A common framework is available from the <u>five-step process of the US Climate Resilience Toolkit</u>. The "Steps to Resilience" are:

- 1. Explore Hazards
- 2. Assess Vulnerability & Risk
- 3. Investigate Options
- 4. Prioritize & Plan
- 5. Take Action

Conducting an assessment often begins with determining vision and objectives, followed by understanding natural hazards risks and vulnerabilities they present, and then determining options to achieve desired outcomes. This can be done through various meetings and workshops, and often involves consulting with or inviting outside experts to speak to technical information, topics of interest, or where knowledge or information gaps arise in the process. A common practice for engagement is use of participatory mapping where those involved can visually identify and discuss vulnerable locations and services on a map of their community or project area and think holistically about planning and actions.

#### Sample Risk Assessment Questions

#### **Natural Hazards**

- What natural hazards are potential risks to your community or infrastructure?
- What is already known from historical information about these natural hazards and the impacts they have caused on your community or infrastructure?
- What is projected for these natural hazards with climate change in the future that corresponds to your community vision or infrastructure timespans?
- What scenarios create the worst-case events for use in your analysis?
- What data sources or community insights were used in your analysis?
- Did you consult with national, regional, and local experts for best available information?

#### **Hazard Mitigation**

- What geographic areas and populations are likely to be affected in the future due to climate change, and how do these impacts differ from the present?
- What are the specific impacts of climate change on the geographical area and populations of interest, and how long into the future are those impacts expected to occur?
- What infrastructure is the most important to ensuring the safety of the town and its residents (e.g., hospitals, evacuation routes, etc.)?
- What built and natural infrastructure can be constructed, improved, or preserved to reduce the impacts of climate change?
- What built and natural infrastructure should be prioritized in terms of making a community more resilient to climate change?

#### **Community Engagement, Outreach, and Adaptive Capacity**

- What does your community most care about?
- What does the community envision for its future?

- Who in this community is on the frontlines of climate change and what are they already doing to build resilience?
- What knowledge do community members have about climate change impacts here? What do community members need to know to make informed decisions about adaptation?
- How can educational materials share scientific information and multiple forms
  of knowledge about climate change? How can this information be designed to
  accommodate multiple languages, abilities, and perspectives?
- How can this project create opportunities for learning, relationship building, and networking?
- How can intentional approaches to learning, relationship building, and networking help this community change governance structures, like comprehensive plans, ordinances, and budget priorities? What do community members need to know and who needs to be involved to make governance decisions and changes?
- What do community members identify as priority improvements in local governance? What would it take to enact these changes to governance?

#### **Whole Community Resilience**

- Who in this community is most vulnerable and what are the specific risks to these groups?
- Who is or who will be most affected by changes in our community? How are those groups responding to these changes?
- Will some people be more affected by changes than others, and what helps explain the differences in who will be affected? Consider differences in race and ethnicity, gender and sexuality, income and socioeconomic status, ability, and age.
- How can affected communities participate in projects to ensure their voices and concerns are represented?
- Who else in this community is already working with affected groups and how can service providers and affected parties become involved?
- How can projects use a bottom-up and inclusive approach to designing and implementing a project?

#### Socioeconomic and Cultural Consideration

- What are the cultural, social, economic, recreational, and environmental cobenefits that could occur by adapting to climate change in specific ways?
- What and where are the cultural sites that need to be protected from the impacts of climate change? Who needs to be involved in decisions about cultural and historic preservation?
- How is climate change affecting community well-being? How can climate adaptation projects improve community well-being?

#### Implementing a Plan, Continuous Assessment, and Budgets

- What outcomes matter in this community and how will progress towards these outcomes and eventual success be measured? How can these data be used to inform the project as it evolves?
- Does the plan provide clear, well-defined, flexible, and timely strategies for implementation?
- Does the plan have a timeline for when actions need to be completed to ensure project goals are achieved?
- Are specific stakeholders assigned the responsibility for implementing and monitoring each action?
- Do all actions have well-defined cost estimates and corresponding funding sources?

# **Appendix E – Model Ordinance Language for Coastal Maine Municipalities**

Municipal Guidance for Coastal Resilience was created through a Maine Coastal Community Grant in partnership with Vinalhaven, South Portland, Tremont, Wells, and Kittery, Maine and prepared by the Southern Maine Planning and Development Commission and FB Environmental Consultants. The guidance document outlines opportunities for incorporating coastal resilience measures in existing municipal land use ordinances by offering technical language for provisions, as well as considerations regarding those provisions, and examples of that work being done elsewhere in the U.S. The table below presents summaries of provisions and language related to coastal resilience, organized according to existing municipal ordinance(s) that are most applicable for the proposed provision/language, lists associated coastal hazards, and identifies topics of provisions/language. The table also includes color coding based on which existing municipal ordinance(s) the proposed provision/language best applies. There is additional, important information and context that is not included in the table but is available in the full report.

We added this table to this version of the workbook, to demonstrate the relevance of this information for our key audience; however, the content is evolving with the legislative review on sea level rise and other climate measures. SMPDC completed project and publication of content April 22, 2022. Project report can be referenced here: https://smpdc.org/vertical/Sites/%7B14E8B741-214C-42E2-BE74-5AA9EE0A3EFD%7D/uploads/Municipal\_Guidance\_For\_Coastal\_Resilience\_Model\_Ordinance\_Lanuagge\_for\_ME\_Municipalities\_April\_2022.pdf

Color Key:
Floodplain Management - Shoreland Zoning - Subdivision / Site Plan Review (SPR) - Stormwater Management - Zoning - Wetlands Ordinance

#	Ordinance	Hazard	Topic / Strategy	Summary of Provision / Language
1	Floodplain	Coastal	Freeboard <sup>47</sup>	Municipalities can require additional freeboard or require freeboard in
	Management	flooding		areas that are outside of the mapped 100-year floodplain in order to
				reduce flood risk to properties and people, and to address sea level
				rise.
2	Floodplain	Coastal	Establish new flood	Adopt, by reference in the floodplain ordinance, a supplemental map
	Management	flooding	zone of sea level	depicting inundation from the sea level rise scenario(s) for which the
			rise areas and apply	community wishes to regulate development. Incorporate
			floodplain	supplemental map, in addition to Flood Insurance Rate Map (FIRM),
			development	showing areas subject to select future sea level rise/storm surge in
			requirements	floodplain management ordinance and apply existing and/or new
				floodplain management development standards and requirements to
				those areas
3	Floodplain	Coastal	Establish new flood	Establish a 'waterfront area' zone that includes areas subject to
	Management	flooding;	zone of sea level	flooding from sea level rise and storm surge to serve as a buffer zone,
		Natural	rise areas and apply	allow for flooding in the event of severe storms or sea level rise, and
		resources	floodplain	provide recreational open space for public use and access to the water
			development	during 'dry' times
			requirements	
4	Floodplain	Coastal	Prohibit new	Prohibit new residential dwelling units in areas vulnerable to future
	Management	flooding	development in	flooding (sea level rise) and in the regulatory floodplain (requires
			areas vulnerable to	adopting supplemental map, in addition to FIRM, showing areas
			future flooding	subject to select future sea level rise for floodplain management
				ordinance)

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<sup>&</sup>lt;sup>47</sup> Freeboard is a factor of safety usually expressed in feet above a flood level for purposes of floodplain management.

#	Ordinance	Hazard	Topic / Strategy	Summary of Provision / Language
5	Floodplain Management	Coastal flooding	Prohibit new expansion of existing development in atrisk areas	Prohibit new structures, expansion of existing structures, and increase in impervious surface in VE zone <sup>48</sup>
6	Floodplain Management	Coastal flooding	Variance for building height to accommodate freeboard	Allow variance, with necessary BoA approval, for certain dimensional standards when a new or redeveloped structure is elevated above the base flood elevation (BFE) (e.g., allow for an increase in the maximum building height to account for increased freeboard)
7	Floodplain Management	Coastal flooding	Freeboard	Require applicant to submit proposed building elevation that accounts for local projected coastal flooding (sea level rise/storm surge) based on ME Climate Action Plan recommendations or other widely accepted sea level rise projections
8	Floodplain Management	Coastal flooding; Natural resources	Prohibit certain development activities in at-risk areas; Require development in coastal flood zones to be elevated on piers, pilings, or columns	Prohibit certain activities in VE-zones, including foundations other than open pilings or columns; new or expansion of roads, driveways, or parking lots, or impermeable paving for existing unpaved roads, driveways, or parking lots; and new or proposed expansions of coastal engineering structures

 $<sup>^{48}</sup>$  Coastal areas with a 1% or greater chance of flooding and an additional hazard associated with storm waves.

#	Ordinance	Hazard	Topic / Strategy	Summary of Provision / Language
9	Floodplain Management	Coastal flooding; Natural resources	Prohibit expansion and reconstruction of structures in atrisk areas	In VE Zone and sea level rise areas, prohibit the addition, alteration or reconstruction of an existing structure that results in an increase in building footprint; repair of a substantially damaged existing structure which results in an increase in building footprint; any increase in impervious surface on a residential lot. This may include, but is not limited to, swimming pools, tennis/basketball courts and retaining walls. (For functionally dependent projects allowed in the VE Zone and sea level rise areas, impervious surfaces accessory to the use are allowed provided an engineer/surveyor licensed in Maine certifies in writing that the impervious surface will not cause an increase in wave run-up, a deflection or channelization of floodwaters, or an increase in the velocity of flow)
10	Floodplain Management	Coastal flooding; Natural resources	Lower threshold for 'Substantial Improvement' & 'Substantial Damage'	Change definition of 'substantial improvement' and 'substantial damage' to account for incremental improvements and/or cumulative improvements/repairs over a certain time period. (e.g., 10-year cumulative, or improvements/repairs shall be considered substantial if, within a five-year period, they cumulatively meet the definition of 'substantial improvement')
11	Floodplain Management Shoreland Zoning	Coastal flooding; Natural resources	Establish new flood zone of sea level rise areas and conserve for public access, recreation, and flood storage areas	Establish a 'waterfront area' zone that includes areas subject to flooding from sea level rise and storm surge to serve as a buffer zone, allow for flooding in the event of severe storms or sea level rise, and provide recreational open space for public use and access to the water during 'dry' times

#	Ordinance	Hazard	Topic / Strategy	Summary of Provision / Language
12	Subdivision/ SPR <sup>49</sup> Stormwater Management Zoning	Coastal flooding; Natural resources	Encourage/require green buildings and low impact development	Encourage or require green building performance elements, including incorporation of on-site retention, detention, and LID treatment of stormwater runoff and on-site and off-site stormwater drainage sized to accommodate effects of sea level rise, flooding, and increased frequency and intensity of storm events
13	Subdivision/ SPR	Coastal flooding; Natural resources	Consider sea level rise during development review process	Require development applicant to submit information about site-specific coastal flooding (sea level rise and storm surge) impacts and development design response measures; The applicant shall, to the extent practicable and applicable, integrate considerations of adaptation planning into their project to promote climate change resilience to protect natural resource, infrastructure, and people from coastal hazards and climate change impacts
14	Subdivision/ SPR	Coastal flooding; Natural resources	Consider sea level rise during development review process	Require development application reviewing body (Planning Board) to consider a project's adaptation to potential climate change impacts
15	Subdivision/ SPR	Coastal flooding; Natural resources; Shoreline change	Resource Protection area removed from lot calculations	Require areas zoned as resource protection to be removed from the total land area for calculations used to determine density and/or lot coverage

<sup>&</sup>lt;sup>49</sup> Site Plan Review.

#	Ordinance	Hazard	Topic / Strategy	Summary of Provision / Language
16	Subdivision/	Coastal	Conservation /	Require open space to the first consideration in the development
	SPR	flooding;	Open Space	design, with priority given to conservation of important natural
		Natural	Subdivision -	resources; habitat; connectivity of conserved lands; water quality
		resources;	Prioritization of	protection; recreation opportunities; public access; and protection of
		Shoreline	conserving natural	areas vulnerable to existing and future (sea level rise) flooding
		change	resources and at-	
			risk areas as first	
			step in	
			development design	
			uesigii	
17	Subdivision/	Coastal	Allow for enhanced	Allow reviewing body (Planning Board) to require applicant to design
	SPR	flooding;	storm design	stormwater management components for more intense/severe storm
	Stormwater	Natural	standards	frequency/interval event (e.g., 100-year, 24-hour event; 500-year flood
	Management	resources		frequency event period, etc.)
18	Shoreland	Coastal	Include areas	Incorporate areas subject to sea level rise, storm surge, and marsh
	Zoning	flooding;	subject to sea level	migration in shoreland zoning districts and definitions so that setbacks,
		Natural	rise and storm	buffers, and development standards will apply to areas that account
		resources	surge in shoreland	for existing resources and future conditions
			zoning	
19	Shoreland	Coastal	Zone sea level rise	Designate areas subject to future sea level rise, storm surge, and marsh
	Zoning	flooding; Natural	and marsh	migration as 'Resource Protection' in Shoreland Zoning ordinance language and map; or establish a new shoreland zoning district for
			migration areas as protected	future coastal flooding and marsh migration with specific protective
		resources	protected	buffer, setback, and development requirements
20	Shoreland	Natural	Prohibit new	New sewage disposal systems are prohibited in the resource protection
	Zoning	resources	sewage disposal	district (or a new district that consists of areas subject to sea level rise
	- 0		systems in	inundation)
			Resource	
			Protection district	

#	Ordinance	Hazard	Topic / Strategy	Summary of Provision / Language
21	Shoreland Zoning	Coastal flooding; Natural resources; Shoreline change	Include sea level rise in setback consideration for expansion of nonconforming structures	Expansion of principal and accessory structures within the shoreland zone must meet the resource setback requirements (if resource area definitions/descriptions are revised to include sea level rise areas) / the resource setback requirements and sea level rise area setback requirements (if definitions/descriptions are not revised). A substantial expansion is one that increases either the volume or floor area by 30% or more. Structures located less than the required setback from the normal high-water line of the selected sea level rise scenario may not be expanded toward the water.
22	Shoreland Zoning	Coastal flooding; Shoreline change	Erosion-based setback	Implement an erosion-based setback requirement to regulate development on lots created on or after a selected date. The rule would state that lots created on or after that date shall utilize the current erosion rate setback factor (historical erosion rate multiplied by X# (50, 100, other) years, or intended lifespan of the development) in the calculation of the development setback. If the application of the current erosion rate setback factor in the calculation of the development setback would preclude the placement of permanent buildings, then the erosion rate in effect at the time that the lot was created may be used in the calculation of the development setback, provided that the development conforms to all other development requirements in the municipality's land use ordinances

#	Ordinance	Hazard	Topic / Strategy	Summary of Provision / Language
23	Shoreland Zoning	Coastal flooding;	Require sea level rise and marsh	Establish a new zone(s) of sea level rise and marsh migration areas (e.g., "transitional zone(s)") and establish development setbacks from
	Zoring	Natural	migration to be	those areas; Require that relative/future sea level rise and the
		resources	incorporated in	landward migration of resource areas in response to relative sea level
	Zoning		design and	rise (e.g., marsh migration) be incorporated into the design and
			construction of	construction of structures and proposed uses/activities
			structures and other activities	
24	Shoreland	Coastal	Limit vegetation	No person shall remove native vegetation in buffer areas around
	Zoning	flooding;	clearing around	wetlands and sand dune systems in order to preserve natural
	Wetlands	Natural	resources	protection for storm surge and coastal erosion, except that which is
	Ordinance	resources		necessary for uses expressly authorized in the district
25	Shoreland	Coastal	Preserve	No person shall remove or destroy natural growth essential to the
	Zoning	flooding;	vegetation around	prevention of erosion and storm damage, except that which is
	Wetlands	Natural resources;	resource	necessary for uses expressly authorized in the district
	Ordinance	Shoreline		
	Oramanee	change		
26	Shoreland	Coastal	Increase resource	Increase distance of buffer and/or setback from resources (e.g.,
	Zoning	flooding;	buffer and setbacks	wetlands, streams, rivers, etc.) beyond state minimums
	Wetlands	Natural		
27	Ordinance	resources	Dogulata watlas de	Apply the valend region requirements to wetlende and the there the
27	Shoreland Zoning	Coastal flooding;	Regulate wetlands below state	Apply shoreland zoning requirements to wetlands smaller than the state 10-acre minimum; require protection of isolated wetlands, vernal
	Wetlands	Natural	threshold	pools, and vernal pool habitat
	Ordinance	resources		

#	Ordinance	Hazard	Topic / Strategy	Summary of Provision / Language
28	Zoning  Subdivision/ SPR  Stormwater Management	Coastal flooding; Natural resources; Shoreline change	Require on-site infiltration of stormwater	Require runoff from impervious roof surfaces to be infiltrated on site. Runoff from traveled ways and parking areas shall be collected and petroleum products removed using Best Management Practices (BMPs) prior to infiltration on-site. On sites where the proposed improvements exceed fifty (50) percent of the assessed value of the property as determined by the Code Enforcement Officer (CEO), or where repaving is proposed, the Planning Board may also require treatment for storm water from existing impervious areas. All treatment facilities shall be permanently maintained in full working order by the owners(s)
29	Subdivision/ SPR Zoning	Coastal flooding; Natural resources; Shoreline change	Protect key habitat	Require development to avoid important plant and wildlife habitat, as identified in Beginning With Habitat data and/or other habitat data
30	Subdivision/ SPR Shoreland Zoning Ordinance Zoning Wetland Ordinance (Fee Schedule)	Coastal flooding; Natural resources; Shoreline change	Impact fee for funding coastal resilience	Require applicant to pay an impact fee based on an established fee amount, cost per square foot/acre of development, or some other criteria, to the municipality for municipal use to fund coastal resilience projects such as elevation of low-lying roads, culvert replacement, stormwater infrastructure improvements, etc.

#	Ordinance	Hazard	Topic / Strategy	Summary of Provision / Language
31	Floodplain Management Shoreland Zoning	Coastal flooding; Natural resources; Shoreline change	Hazard disclosure for real estate transactions	During real estate transactions, require real estate agents or individual sellers acting without an agent to disclose whether a property is located within a flood hazard area designated by FEMA and/or in an area subject to sea level rise
32	Shoreland Zoning Zoning	Coastal flooding; Natural resources; Shoreline change	Reduce maximum lot coverage	Reduce maximum lot coverage in areas adjacent to/nearby wetlands, streams, rivers, etc.
33	Subdivision/ SPR (Road/Street Ordinance)	Coastal flooding; Shoreline change	Include sea level rise considerations into road acceptance standards	Municipal road acceptance criteria demonstrating that surface flooding is not expected to impact roadway under projected sea level rise and storm surge conditions
34	Zoning	Coastal flooding; Natural resources; Shoreline change	Coastal resilience overlay zoning district	Establish coastal resilience overlay zone that includes areas subject to existing and future coastal hazards, such as sea level rise (SLR), surge, marsh migration, and erosion  * core components to consider/address  General purpose: (a) identifying areas that may be subject to chronic coastal natural hazards including ocean flooding, beach and dune erosion, dune accretion, bluff recession, landslides, and inlet migration; (b) assessing the potential risks to life and property posed by chronic coastal natural hazards, including erosion and earth movement; and (c) minimizing potential public and private risks and losses to life and property due to these chronic hazards through hazard avoidance and

#	Ordinance	Hazard	Topic / Strategy	Summary of Provision / Language
				development requirements consistent with Statewide Planning Goals;
				(d) protect barrier beach and dune systems; (e) create incentives for
				development that can withstand sea level rise and increased flooding
				and frequency and intensity of storms; (f)promote 'green buildings' as
				defined in ordinance. Identified green building performance elements
				include incorporation of on-site retention, detention, and LID
				treatment of stormwater runoff and on-site and off- site stormwater
				drainage sized to accommodate effects of SLR, flooding, and increased
				frequency and intensity of storm events; (g) impose additional
				regulations on an existing zone based on special characteristics in that
				zone, such as for natural, historical, or cultural resources protection
				Phase out development in vulnerable coastal areas experiencing sea-
				level rise, recurrent flooding, and land loss by limiting or prohibiting
				new development or redevelopment, particularly in a post-disaster
				context, above a specified legal threshold (e.g., "substantial damage")
				or requiring development setbacks or the removal or relocation of
				structures upon the occurrence of future physical impacts or
				"triggering" events
				Prohibit hard/'gray' shoreline armoring structures and promote the      Prohibit hard/'gray' shoreline armoring structures are structured by the structure armoring structures are structured by the structure armoring structures are structured by the structure armoring structure are structured by the struc
				use of living shorelines (with natural or nature-based features) to
				facilitate the construction of natural shoreline protection measures
				that can enable coastal ecosystems to maintain their connectivity to the surrounding ocean and coastal environment and also remove
				structural barriers to inland ecosystem migration as sea levels rise and
				coasts are eroding
				<ul> <li>Protect inland habitat and species migration corridors and higher</li> </ul>
				ground establishment areas that can support and sustain migrating
				habitats and species through natural resource conservation zones or
				overlays
				<ul> <li>Allow increased density and more resilient design standards in higher</li> </ul>
				ground or inland "receiving" areas

#	Ordinance	Hazard	Topic / Strategy	Summary of Provision / Language
				<ul> <li>Require construction in the 100-year floodplain to be elevated at least 3 feet above the 100-year base flood elevation, and construction in the 500-year (0.2% chance) floodplain to be elevated or floodproofed to 1.5 feet above the 500-year flood elevation. Or require structures in areas subject to sea level rise to be elevated to 1 foot above the sea level rise scenario flood elevation in all flood zones. Use permeable surfaces on new parking spaces, stormwater infiltration; maintenance of open space; and limits are set on paved parking spaces that cannot absorb rainwater.</li> <li>Basements are prohibited, and electrical system components must be raised a foot above the finished floor. Landscaping must consist exclusively of salt-tolerant and native species.</li> </ul>
35	Zoning	Coastal flooding; Natural resources; Shoreline change	Transfer of development rights	Applicants extinguishing development rights in the coastal overlay zoning district (requires establishment of new district, or could apply to certain existing Shoreland Zoning districts) by acquiring open space conservation easements or restricting density of development in that district(s) are eligible for a density bonus of X% for development in designated growth areas (or districts identified by municipality as target for growth and increased density)

# **Appendix F – Funding Guide Maine Government Sources for Resiliency**

### August 6, 2024

Plan ahead and schedule your work with the timing of these grant and loan programs.

Combine complementary grants to address your needs.

Program Name and Contact Information	Department	Grant or Loan?	Who's Eligible?	When Available?	What's Fundable – and Why?
Land and Water Conservation Fund  https://www.maine.gov/dacf/park s/grants/land_water_conservation fund.html  Grants and Community Recreation Bureau of Parks and Lands 124 State House Station Augusta, Maine 04333 Douglas Beck, Outdoor Recreation Supervisor E-mail: Doug.Beck@maine.gov (207) 592-0439  Matthew Henion, LWCF Outdoor Recreation Grants Specialist Email: Matthew.B.Henion@maine.gov	Agriculture, Conservation and Forestry	Grant	State, county, and municipal governments, tribal governments, and school districts	Ongoing. Deadline - annually on the last Friday in June	Purpose: Improve access to outdoor recreation Eligible Activities:  • Acquiring, developing, and/or renovating an outdoor recreation resource

Program Name and Contact Information	Department	Grant or Loan?	Who's Eligible?	When Available?	What's Fundable – and Why?
Maine Coastal Community Grants  https://www.maine.gov/dacf/muni cipalplanning/financial_assistance.s html  Municipal Planning Assistance Program 22 SHS/Harlow Building Augusta, Maine 04333-0022  Joan Walton joan.walton@maine.gov 207-419-8661  Tom Miragliuolo, tom.miragliuolo@maine.gov 207-441-1288	Agriculture, Conservation and Forestry	Grant	Coastal municipalities, coastal unorganized township, groups of coastal municipalities, townships and coastal Regional Planning Organizations	Ongoing. Typically, applications open in Spring. Subject to available funding.	Purpose: Improve water quality, conserve coastal habitat, promote sustainable development, enhance the coastal-dependent economy while preserving natural coastal resources, and increase resilience/adaptation to erosion and flooding.  Fundable activities: Vulnerability assessments, adaptation planning, community education, and strategy development).  Please note: Each project involves regional or local-level partnerships, and each grantee provides a minimum of 25% in matching funds or services. An updated comprehensive plan is required.

Program Name and Contact Information	Department	Grant or Loan?	Who's Eligible?	When Available?	What's Fundable – and Why?
Economic Development Program https://www.nbrc.gov/content/econ omic-infrastructure-development- investments  Maine Department of Economic and Community Development https://www.maine.gov/decd/comm unity-development/contact	Economic and Community Development	Grant	Municipalities	Ongoing	Purpose: provides communities with gap funding to assist identified businesses in the creation/retention of jobs for low-and moderate-income persons.  Eligible activities:  a) Grants to Municipalities: for acquisition, relocation, \$500,000* demolition, clearance, construction, reconstruction, installation and rehabilitation associated with public infrastructure projects. All public infrastructure must be owned by the municipality, or public or private utility, and be in support of an identified business.  b) Grants to Municipalities for Direct Business Support: \$500,000* for capital and non-capital equipment, land and site improvements, rehabilitation or construction of commercial or industrial buildings, job training, working capital and capital equipment and be in support of an identified
					business. Acquisition is not an allowable activity under this group.

Program Name and Contact Information	Department	Grant or Loan?	Who's Eligible?	When Available?	What's Fundable – and Why?
Brownfield Assessment and Revolving Loan Fund Program  https://www.maine.gov/decd/busin ess-development/financial-incentives-resources/resources/brownfields-revolving-loan-fund  https://www.maine.gov/dep/spills/brownfields/index.html  Chris Redmond christopher.redmond@maine.gov 207-215-8597	Environmental Protection Economic and Community Development	Grants and Loans	For grants: Municipalities and qualifying non- profits For loans: Any qualifying entity (public or private)	Ongoing as funds are available.	Purpose: Encourage redevelopment of properties that are complicated by the presence or potential presence of hazardous substances, pollutants or contaminants.  Eligible activities: Investigations and remediation where necessary to allow for productive re-use of brownfields sites.

Clean Water State Revolving Fund  https://www.maine.gov/dep/water /grants/SRF/cwsrf/index.html  https://www.mmbb.com/clean- water-srf-program/  Brandy Piers, Engineering Services Manager Division of Water Quality Management 17 State House Station Augusta, ME 04333-0017 207 287-6093 brandy.m.piers@maine.gov  Maine Municipal Bond Bank Protection Maine Municipal Bond Bank Protectio	Program Name and Contact Information	Department	Grant or Loan?	Who's Eligible?	When Available?	What's Fundable – and Why?
Augusta, ME 04330  800-821-1113 toll-free 207-622-9386	Fund https://www.maine.gov/dep/water/grants/SRF/cwsrf/index.html https://www.mmbb.com/clean-water-srf-program/ Brandy Piers, Engineering Services Manager Division of Water Quality Management 17 State House Station Augusta, ME 04333-0017 207 287-6093 brandy.m.piers@maine.gov  Maine Municipal Bond Bank P.O. Bo 2268 127 Community Drive Augusta, ME 04330  800-821-1113 toll-free	Protection  Maine Municipal		wastewater treatment	accepted continuously during the year. The Municipal Bond Bank issues bonds once in the spring and once in the fall. The bond bank's website specifies deadlines for the	water and sewer districts, and other governmental entities access to low-cost funds through sale of the bond bank's tax- exempt bonds.  Eligible activities: Examples of eligible projects include but are not limited to:  • secondary & advanced treatment facilities  • infiltration & inflow correction  • interceptors  • pumping stations  • force mains  • combined sewer overflow abatement  • certain sewer extensions in designated areas and

Program Name and Contact Information	Department	Grant or Loan?	Who's Eligible?	When Available?	What's Fundable – and Why?
Maine Natural Resources Conservation Program  https://www.maine.gov/dep/land/ nrpa/ILF_and_NRCP/index.html  Dawn Hallowell, Maine DEP, dawn.hallowell@maine.gov  Bryan Emerson, The Nature Conservancy Maine maineresources@tnc.org	Environmental Protection U.S. Army Corps of Engineers The Nature Conservancy	Grant	Public agencies, non- profit conservation organizations, municipalities, and Tribal nations	Ongoing	Purpose: Restore and protect high priority aquatic resources.  Eligible: Projects that encompass:  • removal of fill or structures from wetlands and streams  • salt-marsh restoration  • preservation of high-quality wetlands and associated upland buffers  • aquatic habitat restoration and enhancement, e.g., through removal of small dams or undersized culverts  Maine Natural Resource Conservation Program funding rounds are announced in June. A joint federal and state committee makes funding decisions in the fall.
Municipal Stream Crossing Program https://www.maine.gov/mdot/gran ts/stream/ Sierra Millay Environmental Office Maine Department of Transportation 16 State House Station Augusta, ME 04333 MunicipalStreamCrossing.MDOT@ maine.gov	Maine Department of Transportation	Grant	Local governments, municipal conservation commissions, soil and water conservation districts and private non-profit organizations	Annual.	Purpose: upgrade of municipal culverts at stream crossings, with the goal of creating infrastructure that is resilient to future climate conditions and that provides community, economic, and environmental benefits  Eligible activities: Projects that achieve improvements, modifications, repairs or upgrades to existing stream crossing culverts.  Projects must be located on a municipal road to be eligible. Private landowners and state and federal agencies are not eligible recipients.

Program Name and Contact Information	Department	Grant or Loan?	Who's Eligible?	When Available?	What's Fundable – and Why?
Small Community Grant Program  https://www.maine.gov/dep/water /grants/scgp.html  Brandy Piers, Engineering Services Manager Division of Water Quality Management 17 State House Station Augusta, ME 04333-0017 207 287-6093 brandy.m.piers@maine.gov	Environmental Protection	Grant	Municipalities	Annual, spring application	Purpose: Enable municipalities to help replace malfunctioning septic systems that are polluting a waterbody or causing a public nuisance.  Eligible activities: Replace systems that are: (1) contaminating a public drinking water supply, (2) polluting a shellfishing area, (3) discharging into a body of water, or (4) creating a public nuisance condition.
Waste Diversion https://www.maine.gov/dep/sustainability/compost/grant.html  Mark King, mark.a.king@maine.gov, 207-592-0455	Environmental Protection	Grant	Municipalities, regional associations, counties and Maine businesses	Spring and Fall announcements	<ul> <li>Purpose:</li> <li>Increase organics management and recycling infrastructure in underserved areas of the state.</li> <li>Reduce waste through reuse, repair and sharing-economy initiatives.</li> <li>Expand the types of materials managed through composting and recycling.</li> <li>Eligible activities: Examples:</li> <li>Establishment of regional composting facilities</li> <li>Expansion of municipal reuse programs</li> <li>Recycling polyvinyl chloride fencing and siding.</li> </ul>

Program Name and Contact Information	Department	Grant or Loan?	Who's Eligible?	When Available?	What's Fundable – and Why?
Wastewater Climate Adaptation Clean Water State Revolving Loan Fund https://www.maine.gov/dep/water / grants/srfparag.html  Brandy Piers, Engineering Services Manager Division of Water Quality Management 17 State House Station Augusta, ME 04333-0017 207 287-6093 brandy.m.piers@maine.gov	Environmental Protection	Principal- forgive- ness loans	Municipalities, Wastewater Districts, Quasi- Municipalities	Temporary incentive offered annually pending federal allotment for "green" projects.	Purpose: Assess adequacy of wastewater systems Eligible activities: Develop climate action plans and fiscal sustainability plans. Through Maine's State's Clean Water State Revolving Fund, Maine DEP has made available up to \$20,000 per project in loan-principal forgiveness for wastewater utilities to assess their systems and develop climate adaptation plans for them. Additionally, through loan principal forgiveness, up to \$50,000 per project is available for wastewater utilities to create fiscal sustainability plans.
Invasive Aquatic Plant Removal https://www.maine.gov/dep/water / grants/invasive/index.html  Denise Blanchette Denise.L.Blanchette@maine.gov 207-215-5040	Environmental Protection	Grant	Municipal and county governments, quasi- municipal organizations (including water districts) and 501(c)(3)-eligible organizations	As announced Most recent deadline was February 2, 2024	<ul> <li>Purpose:</li> <li>Plan and manage the removal of known invasive aquatic plant infestations.</li> <li>Reduce the likelihood of invasive aquatic plants spreading to other waters.</li> <li>Limit the impact on natural habitat and on human use of water bodies.</li> <li>Maintain property values in lake-water areas.</li> <li>Eligible activities: Support lake organizations that are removing infestations of invasive aquatic plants.</li> </ul>

Program Name and Contact Information	Department	Grant or Loan?	Who's Eligible?	When Available?	What's Fundable – and Why?
Source Protection Grant Program https://www.maine.gov/dhhs/mec dc/environmental- health/dwp/sitemap/financialResou rces.shtml#Grants  Sofia Licht, Sofia.Licht@maine.gov 207-441-3217	Health and Human Services, Center for Disease Control and Prevention	Grant	Community and non- profit, non-community public water systems	Ongoing. Available annually.	Purpose: Protect surface water or groundwater sources  Eligible activities:  Developing or updating Watershed Management Plans or Wellhead Protection Plans  Establishing local protective ordinances or legal agreements in the source protection area  Developing or implementing drinking water education and public outreach programs  Developing and/or implementing lake monitoring programs.  Identifying and/or removing potential sources of contamination from the source protection area
Drinking Water Capacity Development Grants https://www.maine.gov/dhhs/mec dc/environmental- health/dwp/sitemap/financialResou rces.shtml#Grants  Sofia Licht Sofia.Licht@maine.gov 207-441-3217	Health and Human Services, Center for Disease Control and Prevention	Grant	Community and non-profit, noncommunity public water systems	Ongoing.	Purpose: Improving a water system's technical, financial, or managerial capacity  Eligible activities: public water systems can receive grants for the preparation of documents that will assist them in the maintenance or enhancement of water quality by identifying improvements in systems technical, financial, and managerial operations (i.e., Risk and Resilience Assessments, Emergency Response Plans, Water Audit Reports, etc.)

Program Name and Contact Information	Department	Grant or Loan?	Who's Eligible?	When Available?	What's Fundable – and Why?
Drinking Water State Revolving Fund Loans  https://www.maine.gov/dhhs/mec dc/environmental-health/dwp/pws/srf.shtml  McKenzie Parker McKenzie.Parker@maine.gov 207-557-2255	Health and Human Services, Center for Disease Control and Prevention	Loan	Public water systems	Annual	Purpose: bolster resilience to drought and flooding through infrastructure upgrades funded by low interest loans for capital improvement  Eligible activities: Public water system capital improvements
Land Acquisition Loans https://www.maine.gov/dhhs/mecd c/environmental- health/dwp/sitemap/financialResou rces.shtml#Grants  Sofia Licht Sofia.Licht@maine.gov 207-441-3217	Health and Human Services, Center for Disease Control and Prevention	Loan	Community water systems, both privately and publicly owned, and non- profit non- community water systems	Ongoing. No deadline for Land Acquisition Loan applications. Whenever there are land and/or conservation easements available for purchase, a water system may apply for a loan.	Purpose: protect drinking water supplies through ownership, easements, or other legal control of the land around a drinking water source.  Eligible activities: purchase of land and/or conservation easement that protect sources of drinking water.  Land Acquisition Loans are administered by the Maine CDC's Drinking Water Program and serviced through the Municipal Bond Bank.  There is no project limit, and funding amount is based on available funds at the time of loan application.

Program Name and Contact Information	Department	Grant or Loan?	Who's Eligible?	When Available?	What's Fundable – and Why?
Outdoor Heritage Fund  https://www.maine.gov/ifw/progra ms-resources/grants/outdoor- heritage-fund.html  Maine Department of Inland Fisheries and Wildlife  Carol Gay Carol.gay@maine.gov (207) 458-8421	Inland Fisheries and Wildlife	Grant	Qualified sponsoring agencies	Semiannual	Purpose: Conserve wildlife and open spaces.  Eligible activities: Projects that promote:  conservation of Maine's fish and wildlife habitat  acquisition and management of special places  protect endangered species or  conservation law enforcement and protection of public health.
Federal Emergency Management Agency Community Disaster Loan Program https://www.fema.gov/assistance/p ublic/community-disaster-loan	Maine Emergency Management Agency	Loan	Municipalities	Ongoing. Upon declaration of a major disaster, one may apply for assistance through the Governor's authorized representative.	Purpose: Offset the loss of local tax revenues or other revenues as a result of a major disaster  Fundable activities: Maintenance of local governmental functions such as police and fire protection, or water and sewer services.  Please note: Loans are not to exceed 25% of the local government's annual operating budget for the fiscal year in which the major disaster occurs, up to a maximum of \$5 million.
Project Canopy Planning and Planting Grants https://www.maine.gov/dacf/mfs/policy management/project canopy/grants/grant applications.html Questions call the Maine Forest Service at: 207-287-2791 or the Project Canopy office at: 207-287-4987.	Department of Agriculture Conservation and Forestry	Grant	Municipalities	Annual. Applications are due in March and require participants in a grant workshop typically in February.	Purpose: Project that supports sustainable community forestry management, increases awareness of the benefits of trees and forests, and increases the health and livability of communities through sound tree planting and maintenance. Additional opportunities available with Inflation Reduction Act funding administered through Project Canopy.

Program Name and Contact Information	Department	Grant or Loan?	Who's Eligible?	When Available?	What's Fundable – and Why?
Flood Mitigation Assistance Grants https://www.fema.gov/grants/mitigation/floods https://www.maine.gov/mema/grants/mitigation-grants Maine Emergency Management Agency 72 State House Station 45 Commerce Drive Augusta, ME 04333 800-452-8735 toll-free Heather Dumais State Hazard Mitigation Officer Heather.Dumais@maine.gov	Maine Emergency Management Agency	Grant	Local communities that sponsor applications on behalf of property owners.	Annual	Purpose: Reduce or eliminate claims under the National Flood Insurance Program.  Eligible activities: Projects and planning that reduce or eliminate long-term risk of flood damage to structures insured under the National Flood Insurance Program.  This program is funded by the Federal Emergency Management Agency.  Local communities sponsor applications on behalf of property owners and then submit the applications to the state. All grant applications must be submitted to FEMA by a state, U.S. territory, or federally recognized tribal nation.
Hazard Mitigation Grant Program <a href="https://www.maine.gov/mema/grants">https://www.maine.gov/mema/grants</a> Maine Emergency Management Agency 72 State House Station 45 Commerce Drive Augusta, ME 04333  800-452-8735 toll-free	Maine Emergency Management Agency	Grant	Local government, state agency, Tribe or Tribal agency, or private nonprofit.	Available following federal declaration of a disaster in Maine or relevant counties.	Purpose:  Reduce future, long-term risk from natural hazards  Increase resilience to natural hazard events that may have been exacerbated by climate change.  Eligible activities: Risk-reduction projects that have been identified in local hazard mitigation plans prior to the occurrence of a federally declared disaster.  Individuals and businesses may apply through their local government if their local government agrees to serve as the sub-applicant to the state's application for funding.

Program Name and Contact Information	Department	Grant or Loan?	Who's Eligible?	When Available?	What's Fundable – and Why?
Volunteer Fire Assistance Program  https://www.maine.gov/dacf/mfs/f orest protection/programs service s.html#Volunteer Fire Assistance Program  Maine Forest Service Kent Nelson, Forest Ranger Specialist Kent.Nelson@maine.gov	Maine Forest Service	Grant	Municipality	Annual	<b>Purpose:</b> provide Federal financial, technical, and other assistance to State Foresters and other appropriate officials to organize, train and equip fire departments in rural areas and rural communities to prevent and suppress wildfires. A rural community is defined as having 10,000 or less population.
Community Wildfire Defense Grant https://www.fs.usda.gov/managing-land/fire/grants/cwdg  Maine Forest Service Kent Nelson, Forest Ranger Specialist Kent.Nelson@maine.gov	US Forest Service	Grant	Local governments, tribal governments, nonprofits, and state forestry agencies. Must meet defined criteria for being "at risk" from wildfire.	Annual	Purpose: creating a Community Wildfire Protection Plan (CWPP) or update a CWPP that is more than five years old, OR Carrying out activities described within a CWPP that is less than 10 years old.

Program Name and Contact Information	Department	Grant or Loan?	Who's Eligible?	When Available?	What's Fundable – and Why?
Pre-Disaster Mitigation Grant Program https://www.maine.gov/mema/grants  Maine Emergency Management Agency 72 State House Station 45 Commerce Drive Augusta, ME 04333  800-452-8735 toll-free	Maine Emergency Management Agency	Grant	States, territories, federally recognized Tribes and local governments are eligible to serve as sub-applicants for pre-disaster mitigation grants.	Annual	<ul> <li>Purpose:         <ul> <li>Reduce overall risk to the population and structures from future hazard events</li> <li>Reduce reliance on federal funding in future disasters.</li> </ul> </li> <li>Eligible activities:         <ul> <li>Planning and project grants that:</li> <li>break the cycle of disaster damage, reconstruction, and repeated damage</li> <li>raise public awareness about reducing future losses before disaster strikes.</li> </ul> </li> <li>Applicants must be participating in a county hazard mitigation plan approved by the Federal Emergency Management Agency.</li> </ul>
Severe Repetitive Loss https://www.fema.gov/sites/defaul t/files/2020-05/fim_appendix-i- severe-repetitive-loss- properties_apr2020.pdf Maine Emergency Management Agency 72 State House Station 45 Commerce Drive Augusta, ME 04333 800-452-8735 toll-free, in-state only 207-624-4400	Maine Emergency Management Agency	Grant	National Flood Insurance Program participants.	Ongoing	<ul> <li>Purpose: Reduce flood damages to insured properties that have had one or more claims to the National Flood Insurance Program.</li> <li>Eligible activities:</li> <li>Acquisition or relocation of at-risk structures and conversion of the property to open space;</li> <li>Elevation of existing structures; or</li> <li>Dry floodproofing of historic properties.</li> </ul>

Program Name and Contact Information	Department	Grant or Loan?	Who's Eligible?	When Available?	What's Fundable – and Why?
•	Maine Municipal Bond Bank	Loan	All public and private water systems.	Applications are accepted continuously during the year.	Purpose: provide municipalities, school systems, water and sewer districts, and other governmental entities access to low-cost funds through sale of the bond bank's tax- exempt bonds.  Eligible activities: Examples of eligible projects include but are not limited to:  • public health projects  • treatment facilities  • aging infrastructure  • main replacement  • federal Safe Drinking Water Act compliance  • land acquisition.  Although applications are accepted throughout the year, the Municipal Bond Bank issues bonds once in the spring and once in the fall. The bond bank's website specifies deadlines for the funding cycles.

Program Name and Contact Information	Department	Grant or Loan?	Who's Eligible?	When Available?	What's Fundable – and Why?
Shore and Harbor Planning Grants (Suject to Change) https://www.maine.gov/dmr/mcp/g rants/shore-and-harbor-planning- grants.html https://www.maine.gov/dmr/progra ms/maine-coastal-program/grants- and-rfps/shore-and-harbor- planning-grants  Ashley Gamache 207-816-0032 Ashley.Gamache@maine.gov  Melissa Britsch 207-215-6171 melissa.britsch@maine.gov	Marine Resources	Grant	Towns and unorganized townships in Maine's coastal zone  Groups of towns/ townships in Maine's coastal zone  Coastal Regional Planning Commissions; and coastal Councils of Government.	Annual. Subject to available funding.	<ul> <li>Purpose:         <ul> <li>Promote sound waterfront planning and harbor management,</li> <li>Promote balanced development of shore and harbor areas.</li> <li>Advance planning for waterfront infrastructure improvements and access to the shore.</li> </ul> </li> <li>Eligible activities: Development of:         <ul> <li>plans for waterfront, harbor and mooring areas</li> <li>regulatory and non-regulatory approaches to waterfront conservation and improvement</li> <li>planning studies for public and working access</li> <li>plans and designs for harbor improvements</li> <li>management plans for municipal waterfront facilities.</li> </ul> </li> </ul>
Boating Infrastructure Grant (BIG) Program https://www.maine.gov/mdot/pga/ Chris Mayo 207-624-3409 chris.mayo@maine.gov	Transportation	Grant	Public and private entities	Maine DOT sends out an announcement each year, typically in the summer	Purpose: Funding from U.S. Fish and Wildlife Service enables Maine DOT to benefit all users in a harbor or coastal tidewater facility.  Eligible activities: Waterfront infrastructure projects that benefit recreational transient boats 26 feet or longer.

Program Name and Contact Information	Department	Grant or Loan?	Who's Eligible?	When Available?	What's Fundable – and Why?
Small Harbor Improvement Program <a href="https://www.maine.gov/mdot/pga/ship/">https://www.maine.gov/mdot/pga/ship/</a> Chris Mayo 207-624-3409 chris.mayo@maine.gov	Transportation	Grant	Municipalities - coastal and tidewater	Ongoing application process	<ul> <li>Purpose:</li> <li>promote economic development, public access, improved commercial fishing opportunities</li> <li>preserve, and create infrastructure at facilities in tidewater and coastal municipalities</li> <li>Eligible activities: protection and enhancement of harbor infrastructure, including commercial and municipal pier and wharf improvements, hoists, ramps, and pilings.</li> <li>The SHIP program can provide up to \$250,000 in assistance towards eligible projects. The SHIP program requires a 50% local share.</li> </ul>

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## Appendix G – Request for Input to Subsequent Editions

## **Maine Community Resilience Workbook**

Thank you for engaging with the 2<sup>nd</sup> edition of Community Resilience Workbook (CRW)!

The Maine CRW, which includes the Climate Adaptation and Resilience Outcomes Tool (CAROT), is a compendium of climate initiatives in Maine and a guide to resources that helps communities and practitioners prepare for climate change. CRW aims to provide a framework and how-to guide for climate change assessment in Maine, taking collective actions, and achieving community resilience outcomes. We are always open to feedback to improve future editions of the workbook.

These resources were developed over the last several years and are built upon important work happening across Maine. Throughout 2024 and 2025, we have incorporated your and others' feedback, released the updated version of this product, and will establish an online portal to deliver guidance and reach experts for technical assistance and peer-to-peer learning. Your continued thoughtful and precise feedback can improve the CRW. Those who provide an indepth review, work above and beyond to gather feedback from local communities or contribute writing for sections in the CRW will be acknowledged in future publications.

If you share and use this resource with the communities/groups that you work with, you are effectively joining the team. We envision the co-production of this resource to be fully collaborative and intend to reflect that by expanding the team to anyone who steps into a role of a content generator or gatherer. Please use a disclaimer that this is a draft with room for improvement in both style and content. We'd appreciate it if you introduce this work with a caveat akin to, "This is an effort to co-produce practical guidance for addressing climate change at the local level. Editions will improve iteratively with input from diverse stakeholders. Guidance is not prescriptive, rather it is intended to expedite information sharing and connections to build capacity for community resilience initiatives through a process that supports efforts across Maine communities."

## To submit feedback:

- 1. provide edits and comments using track changes directly to the Maine CRW and email your responses,
- schedule a meeting with the steering committee by contacting parker.gassett@maine.edu and nathan.p.robbins@maine.gov

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## Maine Community Resilience Workbook 2<sup>nd</sup> Edition

June 2025

